

Web file Structure for

NSE Mutual Funds Service System



National Stock Exchange of India Ltd
Exchange Plaza, Plot No. C/1, G
Block, Bandra - Kurla
Complex, Bandra , Mumbai - 400 051.

NSE Confidential

Notice

© Copyright National Stock Exchange of India Ltd (NSEIL). All rights reserved. Unpublished rights reserved under applicable copyright and trades secret laws.

The contents, ideas and concepts presented herein are proprietary and confidential.

Duplication and disclosure to others in whole, or in part is prohibited.

Contents

| | |
|--|----|
| USER MASTER | 5 |
| REPORT - USER MASTER REPORT | 7 |
| USER MASTER - RIGHTS MANAGEMENT | 8 |
| CLIENT MASTER COMMON – 183 COLUMN FORMAT | 8 |
| CLIENT TAX STATUS..... | 23 |
| CLIENT OCCUPATION CODE | 25 |
| CLIENT HOLDING | 26 |
| PAN EXEMPT CATEGORY..... | 26 |
| ACCOUNT TYPE..... | 26 |
| NOMINEE AND GUARDIAN RELATIONSHIP | 27 |
| CLIENT BANK UPLOAD | 28 |
| CLIENT FATCA UPLOAD..... | 28 |
| FATCA - Country Code..... | 36 |
| FATCA - Wealth Source Code | 44 |
| FATCA – Tax Status | 44 |
| FATCA – Occupation..... | 46 |
| FATCA – Applicant Income Range | 47 |
| FATCA – UBO Code..... | 47 |
| FATCA – Identification Type | 48 |
| FATCA – State Code..... | 48 |
| FATCA – Exemption Code..... | 50 |
| FATCA – GIIN Exempt..... | 51 |
| FATCA – Active NFE Sub Category..... | 52 |
| FATCA REPORT | 54 |
| AOF IMAGE UPLOAD REPORT | 57 |
| BULK UPLOAD - UTR UPLOAD | 58 |
| ORDER ENTRY | 59 |
| ORDER CANCELLATION | 61 |
| SWITCH ORDER ENTRY..... | 61 |
| STATE MASTER..... | 63 |
| COUNTRY MASTER | 64 |
| VIEW ORDER | 73 |
| PROVISIONAL ORDER REPORT | 73 |
| ORDER STATUS REPORT | 76 |
| SWITCH ORDER REPORT | 78 |
| SETTLEMENT CALENDAR | 79 |
| SCHEME MASTER REPORT - DEMAT SCHEME MASTER | 80 |
| SCHEME MASTER REPORT - SIP SCHEME MASTER..... | 82 |
| SCHEME MASTER REPORT - STP SCHEME MASTER | 83 |
| SCHEME MASTER REPORT - SWP SCHEME MASTER | 85 |
| NAV MASTER..... | 85 |

| | |
|---|-----|
| SIP BULK UPLOAD (SIP REGISTRATION)..... | 86 |
| SIP BULK UPLOAD (SIP REGISTRATION WITH STEPUP) | 87 |
| SIP BULK UPLOAD (SIP CANCELLATION)..... | 89 |
| SIP CANCELLATION REASON CODES | 90 |
| MANDATE BULK UPLOAD..... | 90 |
| XSIP BULK UPLOAD (XSIP REGISTRATION) | 91 |
| XSIP BULK UPLOAD (XSIP REGISTRATION WITH STEPUP) | 93 |
| XSIP BULK UPLOAD (XSIP CANCELLATION)..... | 95 |
| DAILY XSIP BULK UPLOAD (XSIP CANCELLATION)..... | 96 |
| XSIP CANCELLATION REASON CODES | 96 |
| SIP TO XSIP UPLOAD..... | 97 |
| MANDATE DOWNLOAD..... | 97 |
| SIP REGISTRATION REPORT | 98 |
| SIP CANCELLATION REPORT | 100 |
| SIP INSTALLMENT DUE REPORT | 101 |
| MATURED SIP REPORT | 101 |
| SIP MISSED INSTALLMENT REPORT..... | 103 |
| MANDATE DETAIL REPORT..... | 104 |
| XSIP REGISTRATION REPORT | 104 |
| XSIP CANCELLATION REPORT | 106 |
| XSIP MATURED XSIP | 107 |
| XSIP INSTALLMENT DUE..... | 109 |
| XSIP MISSED INSTALLMENT | 110 |
| STP REGISTRATION..... | 111 |
| STP CANCELLATION..... | 112 |
| SWP REGISTRATION | 112 |
| SWP CANCELLATION | 113 |
| STP REGISTRATION REPORT | 113 |
| STP CANCELLATION REPORT | 115 |
| STP INSTALLMENT DUE REPORT | 116 |
| STP MATURED REPORT | 117 |
| SWP REGISTRATION REPORT | 118 |
| SWP CANCELLATION REPORT | 119 |
| MATURED SWP REPORT..... | 120 |
| SWP INSTALLMENT DUE | 121 |
| ALLOTMENT STATEMENT REPORT | 122 |
| REFUND REPORT..... | 124 |
| REDEMPTION STATEMENT REPORT | 125 |
| REDEMPTION PAYOUT DATE REPORT | 126 |
| SECURITY DELIVERY AND SHORTAGE REPORT | 128 |
| MEMBER FUND ALLOCATION ORDER WISE REPORT OTHER THAN PA..... | 129 |
| MEMBER FUND ALLOCATION ORDER WISE REPORT..... | 129 |

| | |
|--|-----|
| AGEWISE/BANKWISE ORDERS FUNDS RECEIVED REPORT | 130 |
| CLIENT REGISTRATION REPORT | 132 |
| SIP/XSIP TOP UP REGISTRAION | 141 |
| SIP TOP UP REGISTRAION REPORT / XSIP TOP UP REGISRATION REPORT | 141 |
| REGISTRATION REPORT | 141 |
| 2FA REPORT | 143 |
| Client Authorization report..... | 144 |
| Order Lifecycle Report | 148 |
| NFT Bulk Upload – Nominee..... | 151 |

USER MASTER

PATH:- ADMIN - USER MASTER - USER MASTER ADD/EDIT

| SR NO | FIELD NAME | MANDATORY/ NON MANDATORY | FIELD TYPE | SIZE | DESCRIPTION | FIELD MASTER |
|-------|-----------------|-----------------------------|------------|------|---|--------------|
| 1 | MEMBER CODE | - | | | | |
| 2 | USER NAME | MANDATORY | VARCHAR | 50 | TYPE OF THE USER THAT HAS TO BE CREATED. | |
| 3 | LOGIN ID | MANDATORY | NUMBER | 11 | LOGIN ID | |
| 4 | DATE OF BIRTH | MANDATORY | DATE | | DATE OF BIRTH | |
| 5 | MEMBER ARN CODE | - | | | | |
| 6 | SUB BROKER CODE | NON-MANDATORY | VARCHAR | 50 | SUB BROKER CODE, THIS CODE WILL BE SENT TO RTA FOR ALL THE TRANSACTIONS. | |
| 7 | SUB BROKER ARN | NON-MANDATORY | VARCHAR | 15 | SUB BROKER ARN CODE, THIS CODE WILL BE SENT TO RTA FOR ALL THE TRANSACTIONS. HERE THE USERS HAS TO ONLY ENTER THE CODE, 'ARN' SHOULD NOT BE GIVEN THE | |
| 8 | EUIN NO. | NON-MANDATORY | VARCHAR | 10 | EUIN, THIS CODE WILL BE SENT TO RTA FOR ALL THE TRANSACTIONS | |
| 9 | ADDRESS | NON-MANDATORY | VARCHAR | 300 | ADDRESS | |
| 10 | COUNTRY | NON-MANDATORY | VARCHAR | 5 | COUNTRY | |
| 11 | STATE | NON-MANDATORY | VARCHAR | 5 | STATE | STATE MASTER |

| | | | | | | |
|----|--------------|---------------|---------|-----|------------------------|--|
| 12 | CITY | NON-MANDATORY | VARCHAR | 100 | CITY | |
| 13 | PIN CODE | NON-MANDATORY | NUMBER | 7 | PIN CODE | |
| 14 | PHONE | NON-MANDATORY | NUMBER | 12 | PHONE | |
| 15 | MOBILE | MANDATORY | NUMBER | 10 | MOBILE | |
| 16 | FAX NO | NON-MANDATORY | NUMBER | 13 | FAX | |
| 17 | EMAIL | MANDATORY | VARCHAR | 50 | MAIL | |
| 18 | ACCESS LEVEL | MANDATORY | NUMBER | 11 | ACCESS LEVEL | |
| 19 | IS ACTIVE | MANDATORY | NUMBER | 1 | YES/NO | |
| 20 | PASSWORD | MANDATORY | VARCHAR | 15 | USER MASTER EDIT FIELD | |

STATE MASTER

| STATE CODE | STATE NAME |
|------------|------------------------|
| AN | ANDAMAN & NICOBAR |
| AP | ANDHRA PRADESH |
| AR | ARUNACHAL PRADESH |
| AS | ASSAM |
| BH | BIHAR |
| CH | CHANDIGARH |
| CG | CHHATTISGARH |
| DN | DADRA AND NAGAR HAVELI |
| DD | DAMAN AND DIU |
| GO | GOA |
| GU | GUJARAT |
| HA | HARYANA |

| | |
|----|------------------|
| HP | HIMACHAL PRADESH |
| JM | JAMMU & KASHMIR |
| JK | JHARKHAND |
| KA | KARNATAKA |
| KE | KERALA |
| LD | LAKSHADWEEP |
| MA | MAHARASHTRA |
| MP | MADHYA PRADESH |
| MN | MANIPUR |
| ME | MEGHALAYA |
| MI | MIZORAM |
| NA | NAGALAND |
| ND | NEW DELHI |
| OR | ODISHA |
| OH | OTHERS |
| PO | PONDICHERRY |
| PU | PUNJAB |
| RA | RAJASTHAN |
| SI | SIKKIM |
| TN | TAMIL NADU |
| TG | TELANGANA |
| TR | TRIPURA |
| UP | UTTER PRADESH |
| UL | UTTARAKHAND |
| WB | WEST BENGAL |

REPORT - USER MASTER REPORT

PATH:- ADMIN - USER MASTER - USER MASTER VIEW

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | DESCRIPTION |
|-------|------------|------------|--------------|--|
| 1 | USERNAME | VARCHAR | 50 | TYPE OF THE USER THAT HAS TO BE CREATED. |

| | | | | |
|---|---------------------|---------|----|--|
| 2 | MEMBER ARN CODE | VARCHAR | 20 | AS PER LOGGED MEMBER |
| 3 | SUB BROKER ARN CODE | VARCHAR | 50 | SUB BROKER CODE, THIS CODE WILL BE SENT TO RTA FOR ALL THE TRANSACTIONS. |
| 4 | ACCESS LEVEL | NUMBER | 11 | ACCESS LEVEL |
| 5 | STATUS | NUMBER | 1 | IS ACTIVE YES / NO |
| 6 | ACTION | VARCHAR | 55 | RESEND EMAIL |
| 7 | CREATION DATE | DATE | | CREATION DATE |

USER MASTER - RIGHTS MANAGEMENT

PATH:- ADMIN – USER MASTER- RIGHTS MANAGEMENT

| SR NO | FIELD NAME | MANDATORY/ NON MANDATORY | FIELD TYPE | FIELD LENGTH | DESCRIPTION | FIELD MASTER |
|-------|------------|--------------------------|------------|--------------|--------------|--------------|
| 1 | ROLE | MANDATORY | VARCHAR | 50 | ACCESS LEVEL | |

CLIENT MASTER COMMON – 183 COLUMN FORMAT

PATH:- UTILITIES - BULK UPLOAD - CLIENT MASTER COMMON – 183 Column

| SR NO | FIELD NAME | FIELD TYPE | SIZE | MANDATORY/ NON MANDATORY | REMARKS |
|-------|----------------------------|------------|------|--------------------------|--------------------------|
| 1 | CLIENT CODE | VARCHAR | 10 | MANDATORY | Unique Client Code (UCC) |
| 2 | PRIMARY HOLDER NAME | VARCHAR | 70 | MANDATORY | |
| 3 | PRIMARY HOLDER MIDDLE NAME | VARCHAR | 70 | OPTIONAL | |
| 4 | PRIMARY HOLDER LAST NAME | VARCHAR | 70 | OPTIONAL | |
| 5 | TAX STATUS | VARCHAR | 5 | MANDATORY | REFER TAX STATUS |

| | | | | | |
|----|----------------------------------|---------|-----|-----------------------|--|
| 6 | GENDER | VARCHAR | 1 | CONDITIONAL MANDATORY | MANDATORY FOR INDIVIDUAL AND MINOR CLIENTS M/F/O/T |
| 7 | PRIMARY HOLDER DOB/INCORPORATION | DATE | | MANDATORY | DD/MM/YYYY |
| 8 | OCCUPATION CODE | VARCHAR | 5 | MANDATORY | REFER OCCUPATION CODE |
| 9 | HOLDING NATURE | VARCHAR | 5 | MANDATORY | REFER HOLDING(SI/JO/AS) |
| 10 | SECOND HOLDER NAME | VARCHAR | 70 | CONDITIONAL MANDATORY | MANDATORY IF MODE OF HOLDING JO/AS |
| 11 | SECOND HOLDER MIDDLE NAME | VARCHAR | 70 | OPTIONAL | MANDATORY IF MODE OF HOLDING JO/AS |
| 12 | SECOND HOLDER LAST NAME | VARCHAR | 70 | OPTIONAL | MANDATORY IF MODE OF HOLDING JO/AS |
| 13 | THIRD HOLDER NAME | VARCHAR | 70 | CONDITIONAL MANDATORY | MANDATORY IF MODE OF HOLDING JO/AS |
| 14 | THIRD HOLDER MIDDLE NAME | VARCHAR | 70 | OPTIONAL | |
| 15 | THIRD HOLDER LAST NAME | VARCHAR | 70 | OPTIONAL | |
| 16 | SECOND HOLDER DOB | DATE | | CONDITIONAL MANDATORY | MANDATORY IF SECOND HOLDER NAME MENTIONED DD/MM/YYYY |
| 17 | THIRD HOLDER DOB | DATE | | CONDITIONAL MANDATORY | MANDATORY IF THIRD HOLDER NAME MENTIONED DD/MM/YYYY |
| 18 | GUARDIAN FIRST NAME | VARCHAR | 120 | CONDITIONAL MANDATORY | MANDATORY FOR MINOR CLIENTS |

| | | | | | |
|----|----------------------|---------|-----|----------|--|
| 19 | GUARDIAN MIDDLE NAME | VARCHAR | 120 | OPTIONAL | |
| 20 | GUARDIAN LAST NAME | VARCHAR | 120 | OPTIONAL | |

| | | | | | |
|----|--------------------------------|---------|----|-----------------------|---|
| 21 | GUARDIAN DOB | DATE | 10 | CONDITIONAL MANDATORY | MANDATORY FOR MINOR CLIENTS DD/MM/YYYY |
| 22 | PRIMARY HOLDER PAN EXEMPT | VARCHAR | 1 | CONDITIONAL MANDATORY | 'Y' OR 'N' IS ALLOWED FOR PRIMARY HOLDER PAN EXEMPT. |
| 23 | SECOND HOLDER PAN EXEMPT | VARCHAR | 1 | CONDITIONAL MANDATORY | ONLY 'Y' OR 'N' IS ALLOWED FOR SECOND HOLDER PAN EXEMPT.' |
| 24 | THIRD HOLDER PAN EXEMPT | VARCHAR | 1 | CONDITIONAL MANDATORY | ONLY 'Y' OR 'N' IS ALLOWED FOR GUARDIAN HOLDER PAN EXEMPT.' |
| 25 | GUARDIAN PAN EXEMPT | VARCHAR | 10 | CONDITIONAL MANDATORY | ONLY 'Y' OR 'N' IS ALLOWED FOR GUARDIAN HOLDER PAN EXEMPT.' |
| 26 | PRIMARY HOLDER PAN | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF PRIMARY HOLDER PAN EXEMPT FLAG N. CLIENT MASTER VALIDATION |
| 27 | SECOND HOLDER PAN | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF SECONDARY HOLDER PAN EXEMPT FLAG N. CLIENT MASTER VALIDATION |
| 28 | THIRD HOLDER PAN | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF THIRD HOLDER PAN EXEMPT FLAG N. CLIENT MASTER VALIDATION |
| 29 | GUARDIAN PAN | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF GUARDIAN PAN EXEMPT FLAG N. CLIENT MASTER VALIDATION |
| 30 | PRIMARY HOLDER-EXEMPT CATEGORY | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IF PRIMARY HOLDER PAN EXEMPT FLAG Y. REFER PAN EXEMPT CATEGORY |

| | | | | | |
|----|-------------------------------|---------|---|-----------------------|--|
| 31 | SECOND HOLDER EXEMPT CATEGORY | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IF SECOND HOLDER PAN EXEMPT FLAG Y. REFER PAN EXEMPT CATEGORY. |
|----|-------------------------------|---------|---|-----------------------|--|

| | | | | | |
|----|------------------------------|---------|----|-----------------------|--|
| 32 | THIRD HOLDER EXEMPT CATEGORY | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IF THIRD HOLDER PAN EXEMPT FLAG Y. REFER PAN EXEMPT CATEGORY |
| 33 | GUARDIAN EXEMPT CATEGORY | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IF GUARDIAN PAN EXEMPT FLAG Y. REFER PAN EXEMPT CATEGORY |
| 34 | CLIENT TYPE | VARCHAR | 1 | MANDATORY | D/P |
| 35 | PMS | CHAR | 1 | OPTIONAL | MANDATORY IF CLIENT TYPE D, then pass Y/N |
| 36 | DEFAULT DP | VARCHAR | 4 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT TYPE D (CDSL/NSDL) |
| 37 | CDSL DPID | VARCHAR | 8 | CONDITIONAL MANDATORY | MANDATORY IF DEFAULT DP IS CDSL |
| 38 | CDSLCLTID | VARCHAR | 16 | CONDITIONAL MANDATORY | MANDATORY IF DEFAULT DP IS CDSL |
| 39 | CMBP ID | VARCHAR | 16 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT DEFAULT DP IS NSDL |
| 40 | NSDLDPID | VARCHAR | 8 | CONDITIONAL MANDATORY | MANDATORY IF DEFAULT DP IF NSDL |
| 41 | NSDLCLTID | VARCHAR | 8 | CONDITIONAL MANDATORY | MANDATORY IF DEFAULT DP IF NSDL |
| 42 | ACCOUNT TYPE 1 | VARCHAR | 2 | CONDITIONAL MANDATORY | "SB", "CB","NE" OR "NO" VALUES ARE ALLOWED REFER ACCOUNT TYPE |
| 43 | ACCOUNT NO 1 | VARCHAR | 40 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 1 IS AVAILABLE |
| 44 | MICR NO 1 | VARCHAR | 9 | OPTIONAL | |

| | | | | | |
|----|-------------------|---------|----|-----------------------|--|
| 45 | IFSC CODE 1 | VARCHAR | 11 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 1 IS AVAILABLE |
| 46 | DEFAULT BANK FLAG | VARCHAR | 1 | CONDITIONAL MANDATORY | Y/N - MANDATORY IF CLIENT ACCOUNT TYPE 1 IS AVAILABLE. |
| 47 | ACCOUNT TYPE 2 | VARCHAR | 2 | OPTIONAL | ONLY "SB", "CB","NE" OR "NO" VALUES ARE ALLOWED FOR ACCOUNT TYPE 2. REFER ACCOUNT TYPE |
| 48 | ACCOUNT NO 2 | VARCHAR | 40 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 2 IS AVAILABLE |
| 49 | MICR NO 2 | VARCHAR | 9 | OPTIONAL | MANDATORY IF CLIENT ACC TYPE 2 IS AVAILABLE AND IFSC NOT AVAILABLE |
| 50 | IFSC CODE 2 | VARCHAR | 11 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 2 IS AVAILABLE AND MICR NOT AVAILABLE |

| | | | | | |
|----|-------------------|---------|----|-----------------------|--|
| 51 | DEFAULT BANK FLAG | VARCHAR | 1 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 2 IS AVAILABLE (Y/N) |
| 52 | ACCOUNT TYPE 3 | VARCHAR | 2 | OPTIONAL | REFER ACCOUNT TYPE |
| 53 | ACCOUNT NO 3 | VARCHAR | 40 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 3 IS AVAILABLE |
| 54 | MICR NO 3 | VARCHAR | 9 | OPTIONAL | MANDATORY IF CLIENT ACC TYPE 3 IS AVAILABLE AND IFSC NOT AVAILABLE |
| 55 | IFSC CODE 3 | VARCHAR | 11 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 3 IS AVAILABLE AND MICR NOT AVAILABLE |
| 56 | DEFAULT BANK FLAG | VARCHAR | 1 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 3 IS AVAILABLE (Y/N) |
| 57 | ACCOUNT TYPE 4 | VARCHAR | 2 | OPTIONAL | REFER ACCOUNT TYPE |

| | | | | | |
|----|-------------------|---------|-----|-----------------------|--|
| 58 | ACCOUNT NO 4 | VARCHAR | 40 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 4 IS AVAILABLE |
| 59 | MICR NO 4 | VARCHAR | 9 | OPTIONAL | MANDATORY IF CLIENT ACC TYPE 4 IS AVAILABLE AND IFSC NOT AVAILABLE |
| 60 | IFSC CODE 4 | VARCHAR | 11 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 4 IS AVAILABLE AND MICR NOT AVAILABLE |
| 61 | DEFAULT BANK FLAG | VARCHAR | 1 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 4 IS AVAILABLE (Y/N) |
| 62 | ACCOUNT TYPE 5 | VARCHAR | 2 | OPTIONAL | REFER ACCOUNT TYPE |
| 63 | ACCOUNT NO 5 | VARCHAR | 40 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 5 IS AVAILABLE |
| 64 | MICR NO 5 | VARCHAR | 9 | OPTIONAL | MANDATORY IF CLIENT ACC TYPE 5 IS AVAILABLE AND IFSC NOT AVAILABLE |
| 65 | IFSC CODE 5 | VARCHAR | 11 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 5 IS AVAILABLE AND MICR NOT AVAILABLE |
| 66 | DEFAULT BANK FLAG | VARCHAR | 1 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT ACC TYPE 5 IS AVAILABLE (Y/N) |
| 67 | CHEQUE NAME | VARCHAR | 35 | NON-MANDATORY | SIZE OF CHEQUE NAME SHOULD BE BETWEEN 1 TO 35.' |
| 68 | DIV PAY MODE | VARCHAR | 2 | MANDATORY | 01-CHEQUE 02-DIRECT CREDIT 03-ECS 04-NEFT 05-RTGS |
| 69 | ADDRESS 1 | VARCHAR | 120 | MANDATORY | SIZE OF ADDRESS 1 SHOULD BE BETWEEN 1 TO 40.' . MANDATORY FOR NRI |

| | | | | | |
|----|--------------------|---------|-----|-----------------------|---|
| 70 | ADDRESS 2 | VARCHAR | 120 | CONDITIONAL MANDATORY | SIZE OF ADDRESS 2 SHOULD BE BETWEEN 1 TO 40.' |
| 71 | ADDRESS 3 | VARCHAR | 120 | CONDITIONAL MANDATORY | SIZE OF ADDRESS 3 SHOULD BE BETWEEN 1 TO 40.' |
| 72 | CITY | VARCHAR | 35 | MANDATORY | |
| 73 | STATE | VARCHAR | 5 | MANDATORY | NOT MANDATORY FOR NRI REFER STATE CODE |
| 74 | PINCODE | NUMBER | 6 | MANDATORY | |
| 75 | COUNTRY | | | MANDATORY | NOT MANDATORY FOR NRI REFER COUNTRY CODE |
| 76 | RESI. PHONE | VARCHAR | 15 | OPTIONAL | SIZE OF RESIDENCE PHONE FOR INDIA SHOULD BE BETWEEN 1 TO 15.' |
| 77 | RESI. FAX | VARCHAR | 15 | OPTIONAL | SIZE OF RESIDENCE FAX FOR INDIA SHOULD BE BETWEEN 1 TO 15. |
| 78 | OFFICE PHONE | VARCHAR | 15 | OPTIONAL | SIZE OF OFFICE PHONE FOR INDIA SHOULD BE BETWEEN 1 TO 15.' |
| 79 | OFFICE FAX | VARCHAR | 15 | OPTIONAL | SIZE OF OFFICE FAX FOR INDIA SHOULD BE BETWEEN 1 TO 15.' |
| 80 | EMAIL | VARCHAR | 50 | MANDATORY | MAXIMUM LENGTH ALLOWED FOR EMAIL IS 50 |
| 81 | COMMUNICATION MODE | CHAR | 1 | MANDATORY | P-PHYSICAL/E-ELECTRONIC/M-MOBILE |
| 82 | FOREIGN ADDRESS 1 | VARCHAR | 40 | CONDITIONAL MANDATORY | MANDATORY FOR NRI, EXCEPT FOR SEAFARER. REFER TAX STATUS |
| 83 | FOREIGN ADDRESS 2 | VARCHAR | 40 | OPTIONAL | |
| 84 | FOREIGN ADDRESS 3 | VARCHAR | 40 | OPTIONAL | |

| | | | | | |
|----|-------------------------------|---------|---------|--------------------------|---|
| 85 | FOREIGN ADDRESS CITY | VARCHAR | 10 0 | OPTIONAL | |
| 86 | FOREIGN ADDRESS PINCODE | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY FOR NRI/NRO |
| 87 | FOREIGN ADDRESS STATE | VARCHAR | 50 | CONDITIONAL MANDATORY | MANDATORY FOR NRI COUNTRY CODE |
| 88 | FOREIGN ADDRESS COUNTRY | VARCHAR | 10 0 | MANDATORY | COUNTRY OF FOREIGN IS MANDATORY WHEN TAX STATUS IS NRI/NRO. |
| 89 | FOREIGN ADDRESS RESI PHONE | VARCHAR | 15 | OPTIONAL | |
| 90 | FOREIGN ADDRESS FAX | VARCHAR | 15 | OPTIONAL | |

| | | | | | |
|----|-------------------------------|---------|----|--------------------------|---|
| 91 | FOREIGN ADDRESS OFF. PHONE | VARCHAR | 15 | OPTIONAL | |
| 92 | FOREIGN ADDRESS OFF. FAX | VARCHAR | 15 | OPTIONAL | |
| 93 | INDIAN MOBILE NO. | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY FOR INDIVIDUAL AND NONINDIVIDUAL. OPTIONAL FOR NRI |
| 94 | PRIMARY HOLDER KYC TYPE | CHAR | 1 | MANDATORY | (K/C/B/E) (K - KRA COMPLIANT CCKYC COMPLIANT B- BIOMETRIC KYC E- AADHAAR EKYC PAN) |
| 95 | PRIMARY HOLDER CKYC NUMBER | VARCHAR | 14 | CONDITIONAL MANDATORY | MANDATORY IF PRIMARY HOLDER KYC TYPE 'C' |
| 96 | SECOND HOLDER KYC TYPE | CHAR | 1 | OPTIONAL | (K/C/B/E) (K - KRA COMPLIANT CCKYC COMPLIANT B- BIOMETRIC KYC E- AADHAAR EKYC PAN) |
| 97 | SECOND HOLDER CKYC NUMBER | VARCHAR | 14 | CONDITIONAL MANDATORY | MANDATORY IF SECOND HOLDER KYC TYPE 'C' |

| | | | | | |
|-----|-----------------------------------|---------|----|-----------------------|--|
| 98 | THIRD HOLDER KYC TYPE | CHAR | 1 | OPTIONAL | (K/C/B/E) (K - KRA COMPLIANT CCKYC COMPLIANT B- BIOMETRIC KYC E- AADHAAR EKYC PAN) |
| 99 | THIRD HOLDER CKYC NUMBER | VARCHAR | 14 | CONDITIONAL MANDATORY | MANDATORY IF THIRD HOLDER KYC TYPE 'C' |
| 100 | GUARDIAN KYC TYPE | CHAR | 1 | OPTIONAL | |
| 101 | GUARDIAN CKYC NUMBER | VARCHAR | 14 | CONDITIONAL MANDATORY | MANDATORY IF GUARDIAN KYC TYPE 'C' |
| 102 | PRIMARY HOLDER KRA EXEMPT REF. NO | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF PRIMARY HOLDER PAN EXEMPT |
| 103 | SECOND HOLDER KRA EXEMPT REF. NO | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF SECOND HOLDER PAN EXEMPT |
| 104 | THIRD HOLDER KRA EXEMPT REF. NO | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF THIRD HOLDER PAN EXEMPT |
| 105 | GUARDIAN EXEMPT REF. NO | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF GUARDIAN PAN EXEMPT |
| 106 | AADHAAR UPDATED | VARCHAR | 1 | CONDITIONAL MANDATORY | ONLY "Y" OR "N" IS ALLOWED FOR AADHAAR UPDATED. |
| 107 | MAPIN ID | VARCHAR | 16 | OPTIONAL | |

| | | | | | |
|-----|-------------------------|---------|----|-----------------------|--|
| 108 | PAPERLESS FLAG | CHAR | 1 | MANDATORY | INVESTOR ONBOARDING P- PAPER/ Z-PAPERLESS |
| 109 | LEI NO | VARCHAR | 20 | CONDITIONAL MANDATORY | |
| 110 | LEI VALIDITY | DATE | | CONDITIONAL MANDATORY | MANDATORY IF LEI NO IS PROVIDED.DD/MM/YYYY |
| 111 | MOBILE DECLARATION FLAG | VARCHAR | 2 | MANDATORY | REFER EMAIL & MOBILE NO. SELF DECLARATION FLAG |

| | | | | | |
|---------|----------------------------------|---------|----|-----------------------|---|
| 11 2 | EMAIL DECLARATION FLAG | VARCHAR | 2 | MANDATORY | REFER EMAIL & MOBILE NO. SELF DECLARATION FLAG |
| 11 3 | SECOND HOLDER EMAIL | VARCHAR | 50 | CONDITIONAL MANDATORY | MANDATORY IN CASE MODE OF HOLDING JO/AS |
| 11 4 | SECOND HOLDER EMAIL DECLARATION | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IN CASE SECOND HOLDER EMAIL IS PROVIDED |
| 11 5 | SECOND HOLDER MOBILE | VARCHAR | 20 | CONDITIONAL MANDATORY | MANDATORY IN CASE MODE OF HOLDING JO/AS |
| 11 6 | SECOND HOLDER MOBILE DECLARATION | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IN CASE SECOND HOLDER MOBILE NUMBER IS PROVIDED. |
| 11 7 | THIRD HOLDER EMAIL | VARCHAR | 50 | CONDITIONAL MANDATORY | MANDATORY IF THIRD HOLDER AVAILABLE IN UCC |
| 11 8 | THIRD HOLDER EMAIL DECLARATION | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IF THIRD HOLDER EMAIL IS PROVIDED IN UCC |
| 11 9 | THIRD HOLDER MOBILE | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF THIRD HOLDER AVAILABLE IN UCC |
| 12 0 | THIRD HOLDER MOBILE DECLARATION | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IF THIRD HOLDER MOBILE IS PROVIDED IN UCC |
| 12 1 | GUARDIAN RELATIONSHIP | VARCHAR | 2 | CONDITIONAL MANDATORY | Mandatory for Minor Clients 06 - FATHER 13 - MOTHER 23 - COURT APPOINTED LEGAL GUARDIAN |
| 12 2 | NOMINATION OPT | CHAR | 1 | MANDATORY | Y/N |
| 12 3 | NOMINATION AUTHENTICATION | CHAR | 1 | MANDATORY | IF NOMINATION OPT IS "Y" THEN NOMINATION AUTHENTICATION MODE SHOULD BE "W-WET SIGNATURE"/"E-ESIGN"/"O-OTP AUTHENTICATION". IF NOMINATION OPT IS "N" |

| | | | | | |
|-----|------------------------|---------|----|-----------------------|--|
| | | | | | THEN NEED TO PASS 'O' FOR OTP WITH DECLARATION OR PASS 'V' FOR VIDEO RECORDING |
| 124 | NOMINEE 1 NAME | VARCHAR | 40 | CONDITIONAL MANDATORY | MANDATORY IF, NOMINATION OPT FLAG Y ELSE MUST BE BLANK |
| 125 | NOMINEE 1 RELATIONSHIP | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT NOMINEE AVAILABLE REFER NOMINEE AND GUARDIAN RELATIONSHIP |

| | | | | | |
|-----|--------------------------|---------|-----|-----------------------|---|
| 126 | NOMINEE 1 APPLICABLE (%) | NUMBER | 5,2 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT NOMINEE AVAILABLE |
| 127 | NOMINEE 1 MINOR FLAG | VARCHAR | 1 | CONDITIONAL MANDATORY | MANDATORY IF CLIENT NOMINEE AVAILABLE |
| 128 | NOMINEE 1 DOB | DATE | | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE MINOR FLAG Y DD/MM/YYYY |
| 129 | NOMINEE 1 GUARDIAN | VARCHAR | 35 | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE MINOR FLAG Y |
| 130 | NOMINEE 1 GUARDIAN PAN | VARCHAR | 10 | CONDITIONAL MANDATORY | MANDATORY IF THE NOMINEE_1 GUARDIAN IS ENTERED. SIZE OF NOMINEE 1 GUARDIAN PAN MUST BE 10. |
| 131 | NOMINEE 1 IDENTITY TYPE | VARCHAR | 1 | CONDITIONAL MANDATORY | Mandatory if Nominee 1 Name is entered. Values should be in numeric 1- PAN 2- Aadhaar 3- Driving license 4- OCI/Passport |

| | | | | | |
|---------|-----------------------|---------|---------|--------------------------|--|
| 13 2 | NOMINEE 1 IDENTITY NO | VARCHAR | 30 | CONDITIONAL MANDATORY | If, Nominee 1 Identity Type is entered then Mandatory. If, Identity Type is selected as , 1 (PAN validation shall apply), 2 (Aadhaar number, last 4 digits only) 3(Driving license number) . 4(OCI/Passport Number) |
| 13 3 | NOMINEE 1 EMAIL | VARCHAR | 12 0 | CONDITIONAL MANDATORY | Mandatory if Nominee 1 Name is Entered (Valid email for respective nominee) |
| 13 4 | NOMINEE 1 MOBILE | VARCHAR | 15 | CONDITIONAL MANDATORY | Mandatory if Nominee 1 Name is Entered (Valid mobile number for respective nominee) |
| 13 5 | NOMINEE 1 ADDRESS1 | VARCHAR | 40 | CONDITIONAL MANDATORY | Mandatory if Nominee 1 Name is Entered If the address of Nominee as that of FH, specify as Same address of First Holder |

| | | | | | |
|---------|--------------------|---------|----|--------------------------|--|
| 13 6 | NOMINEE 1 ADDRESS2 | VARCHAR | 40 | OPTIONAL | |
| 13 7 | NOMINEE 1 ADDRESS3 | VARCHAR | 40 | OPTIONAL | |
| 13 8 | NOMINEE 1 CITY | VARCHAR | 35 | CONDITIONAL MANDATORY | Mandatory if Nominee 1 Name is Entered |
| 13 9 | NOMINEE 1 PINCODE | VARCHAR | 15 | CONDITIONAL MANDATORY | Mandatory if Nominee 1 Name is Entered |
| 14 0 | NOMINEE 1 COUNTRY | VARCHAR | 35 | CONDITIONAL MANDATORY | Mandatory if Nominee 1 Name is Entered |
| 14 1 | NOMINEE 2 NAME | VARCHAR | 10 | OPTIONAL | |

| | | | | | |
|---------|-----------------------------|---------|-----|--------------------------|---|
| 14 2 | NOMINEE 2 RELATIONSHIP | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 2 AVAILABLE REFER NOMINEE AND GUARDIAN RELATIONSHIP |
| 14 3 | NOMINEE 2 APPLICABLE (%) | NUMBER | 5,2 | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 2 AVAILABLE |
| 14 4 | NOMINEE 2 MINOR FLAG | VARCHAR | 1 | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 2 AVAILABLE |
| 14 5 | NOMINEE 2 DOB | DATE | | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 2 AVAILABLE DD/MM/YYYY |
| 14 6 | NOMINEE 2 GUARDIAN | VARCHAR | 35 | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 2 AVAILABLE |
| 14 7 | NOMINEE 2 GUARDIAN PAN | VARCHAR | 10 | CONDITIONAL MANDATORY | SIZE OF NOMINEE 2 GUARDIAN PAN MUST BE 10. |
| 14 8 | NOMINEE 2 IDENTITY TYPE | VARCHAR | 1 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 2 Name is entered. Values should be in numeric 1- PAN 2- Aadhaar 3- Driving license 4- OCI/Passport |
| 14 9 | NOMINEE 2 IDENTITY NO | VARCHAR | 30 | CONDITIONAL MANDATORY | If, NOMINEE 2 Identity Type is entered then Mandatory. If, Identity Type is selected as , 1 (PAN validation shall apply), 2 (Aadhaar number, last 4 digits only) 3(Driving license number) . 4(OCI/Passport Number) |

| | | | | | |
|---------|-----------------|---------|---------|--------------------------|--|
| 15 0 | NOMINEE 2 EMAIL | VARCHAR | 12 0 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 2 Name is Entered (Valid email for respective nominee) |
|---------|-----------------|---------|---------|--------------------------|--|

| | | | | | |
|---------|-----------------------------|---------|-----|--------------------------|--|
| 15 1 | NOMINEE 2 MOBILE | VARCHAR | 15 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 2 Name is Entered (Valid mobile number for respective nominee) |
| 15 2 | NOMINEE 2 ADDRESS1 | VARCHAR | 40 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 2 Name is Entered If the address of Nominee as that of FH, specify as Same address of First Holder |
| 15 3 | NOMINEE 2 ADDRESS2 | VARCHAR | 40 | OPTIONAL | |
| 15 4 | NOMINEE 2 ADDRESS3 | VARCHAR | 40 | OPTIONAL | |
| 15 5 | NOMINEE 2 CITY | VARCHAR | 35 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 2 Name is Entered |
| 15 6 | NOMINEE 2 PINCODE | VARCHAR | 15 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 2 Name is Entered |
| 15 7 | NOMINEE 2 COUNTRY | VARCHAR | 35 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 2 Name is Entered |
| 15 8 | NOMINEE 3 NAME | VARCHAR | 40 | OPTIONAL | |
| 15 9 | NOMINEE 3 RELATIONSHIP | VARCHAR | 2 | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 3 AVAILABLE REFER NOMINEE AND GUARDIAN RELATIONSHIP |
| 16 0 | NOMINEE 3 APPLICABLE (%) | NUMBER | 5,2 | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 3 AVAILABLE |
| 16 1 | NOMINEE3 MINOR FLAG | VARCHAR | 1 | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 3 AVAILABLE |
| 16 2 | NOMINEE 3 DOB | DATE | | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 3 AVAILABLE DD/MM/YYYY |
| 16 3 | NOMINEE3 GUARDIAN | VARCHAR | 35 | CONDITIONAL MANDATORY | MANDATORY IF NOMINEE 3 AVAILABLE |

| | | | | | |
|-----|-------------------------|---------|----|-----------------------|--|
| 164 | NOMINEE 3 GUARDIAN PAN | VARCHAR | 10 | CONDITIONAL MANDATORY | SIZE OF NOMINEE 3 GUARDIAN PAN MUST BE 10. |
| 165 | NOMINEE 3 IDENTITY TYPE | VARCHAR | 1 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 3 Name is entered. Values should be in numeric 1.PAN 2.AADHAR |
| | | | | | 3.DRIVING LICENSE 4. OCI/PASSPORT |

| | | | | | |
|-----|-----------------------|---------|-----|-----------------------|--|
| 166 | NOMINEE 3 IDENTITY NO | VARCHAR | 30 | CONDITIONAL MANDATORY | If, NOMINEE 3 Identity Type is entered then Mandatory. If, Identity Type is selected as , 1 (PAN validation shall apply), 2 (Aadhaar number, last 4 digits only) 3(Driving license number) . 4(OCI/Passport Number) |
| 167 | NOMINEE 3 EMAIL | VARCHAR | 120 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 3 Name is Entered (Valid email for respective nominee) |
| 168 | NOMINEE 3 MOBILE | VARCHAR | 15 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 3 Name is Entered (Valid mobile number for respective nominee) |
| 169 | NOMINEE 3 ADDRESS1 | VARCHAR | 40 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 3 Name is Entered If the address of Nominee as that of FH, specify as Same address of First Holder |
| 170 | NOMINEE 3 ADDRESS2 | VARCHAR | 40 | OPTIONAL | |
| 171 | NOMINEE 3 ADDRESS3 | VARCHAR | 40 | OPTIONAL | |

| | | | | | |
|---------|--|---------|----|--------------------------|---|
| 17 2 | NOMINEE 3 CITY | VARCHAR | 35 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 3 Name is Entered |
| 17 3 | NOMINEE 3 PINCODE | VARCHAR | 15 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 3 Name is Entered |
| 17 4 | NOMINEE 3 COUNTRY | VARCHAR | 35 | CONDITIONAL MANDATORY | Mandatory if NOMINEE 3 Name is Entered |
| 17 5 | NOMINEE NAMES/REGISTRATION STATUS IN SOA | VARCHAR | 1 | CONDITIONAL MANDATORY | Mandatory if Nominee Opt flag is "Y" Nominee registration display in SOA status should be Y/N, if it is N, then only the registration status should be display and if it is "Y", then the name of all the nominees to be displayed |
| 17 6 | NOMINEE OPT OUT REF. NO | VARCHAR | 20 | CONDITIONAL MANDATORY | MANDATORY IF NOMINATION OPT IS 'N'. NEED TO PROVIDE OTP/VIDEO RECORDING REFERENCE NUMBER |
| 17 7 | Filler1 | VARCHAR | | | |
| 17 8 | Filler2 | VARCHAR | | | |
| 17 9 | Filler3 | VARCHAR | | | |
| 18 0 | Filler4 | VARCHAR | | | |
| 18 1 | Filler5 | VARCHAR | | | |
| 18 2 | Filler6 | VARCHAR | | | |
| 18 3 | Filler7 | VARCHAR | | | |

CLIENT TAX STATUS

| CODE | TAX STATUS |
|------|------------|
| 01 | INDIVIDUAL |

| | |
|----|-------------------------|
| 02 | ON BEHALF OF MINOR |
| 03 | HUF |
| 04 | COMPANY |
| 05 | AOP |
| 06 | PARTNERSHIP FIRM |
| 07 | BODY CORPORATE |
| 08 | TRUST |
| 09 | SOCIETY |
| 10 | OTHERS |
| 11 | NRI-OTHERS |
| 12 | DFI |
| 13 | SOLE PROPRIETORSHIP |
| 21 | NRI - REPATRIABLE (NRE) |
| 22 | OCB |
| 23 | FII |
| 24 | NRI - REPATRIABLE (NRO) |

| | |
|----|------------------------------|
| 25 | OVERSEAS CORP. BODY - OTHERS |
| 26 | NRI CHILD |
| 27 | NRI - HUF (NRO) |
| 28 | NRI - MINOR (NRO) |
| 29 | NRI - HUF (NRE) |
| 31 | PROVIDEND FUND |
| 32 | SUPER ANNUATION FUND |
| 33 | GRATUITY FUND |
| 34 | PENSION FUND |
| 36 | MUTUAL FUNDS FOF SCHEMES |
| 37 | NPS TRUST |
| 38 | GLOBAL DEVELOPMENT NETWORK |
| 39 | FCRA |
| 41 | QFI - INDIVIDUAL |

| | |
|----|-------------------------------|
| 42 | QFI - MINORS |
| 43 | QFI - CORPORATE |
| 44 | QFI - PENSION FUNDS |
| 45 | QFI - HEDGE FUNDS |
| 46 | QFI - MUTUAL FUNDS |
| 47 | LLP |
| 48 | NON-PROFIT ORGANIZATION [NPO] |
| 51 | PUBLIC LIMITED COMPANY |
| 52 | PRIVATE LIMITED COMPANY |
| 53 | UNLISTED COMPANY |
| 54 | MUTUAL FUNDS |
| 55 | FPI - CATEGORY I |
| 56 | FPI - CATEGORY II |
| 57 | FPI - CATEGORY III |
| 58 | FINANCIAL INSTITUTIONS |
| 59 | BODY OF INDIVIDUALS |
| 60 | INSURANCE COMPANY |
| 61 | OCI - REPATRIATION |
| 62 | OCI - NON REPATRIATION |
| 70 | PERSON OF INDIAN ORIGIN |
| 72 | GOVERNMENT BODY |
| 73 | DEFENCE ESTABLISHMENT |
| 74 | NON - GOVERNMENT ORGANISATION |
| 75 | BANK/ CO-OPERATIVE BANK |
| 76 | ARTIFICIAL JURIDICAL PERSON |
| 77 | SEAFARER NRE |
| 78 | SEAFARER NRO |
| 79 | LOCAL AUTHORITY |

CLIENT OCCUPATION CODE

| CODE | DETAILS |
|------|---------|
|------|---------|

| | |
|----|--------------|
| 01 | BUSINESS |
| 02 | SERVICES |
| 03 | PROFESSIONAL |
| 04 | AGRICULTURE |
| 05 | RETIRED |
| 06 | HOUSEWIFE |
| 07 | STUDENT |
| 08 | OTHERS |

CLIENT HOLDING

| CODE | DETAILS |
|------|--------------------|
| SI | SINGLE |
| JO | JOINT |
| AS | ANYONE OR SURVIVOR |

PAN EXEMPT CATEGORY

| CATEGORY | DESCRIPTION |
|----------|---|
| 01 | SIKKIM RESIDENT |
| 02 | TRANSACTIONS CARRIED OUT ON BEHALF OF STATE GOVT |
| 03 | TRANSACTIONS CARRIED OUT ON BEHALF OF CENTRAL GOVT |
| 04 | COURT APPOINTED OFFICIALS |
| 05 | UN ENTITY/MULTILATERAL AGENCY EXEMPT FROM PAYING TAX IN INDIA |
| 06 | OFFICIAL LIQUIDATOR |
| 07 | COURT RECEIVER |
| 08 | INVESTMENT IN MUTUAL FUNDS UPTO RS. 50,000/- P.A. INCLUDING SIP |

ACCOUNT TYPE

| CODE | DETAILS |
|------|--------------|
| SB | SAVING BANK |
| CB | CURRENT BANK |
| NE | NRE ACCOUNT |

| | |
|----|-------------|
| NO | NRO ACCOUNT |
|----|-------------|

NOMINEE AND GUARDIAN RELATIONSHIP

| Code | Value | Applicable for |
|------|--------------------------------|---------------------------------|
| 01 | AUNT | Nominee Relationship |
| 02 | BROTHER-IN-LAW | Nominee Relationship |
| 03 | BROTHER | Nominee Relationship |
| 04 | DAUGHTER | Nominee Relationship |
| 05 | DAUGHTER-IN-LAW | Nominee Relationship |
| 06 | FATHER | Nominee & Guardian Relationship |
| 07 | FATHER-IN-LAW | Nominee Relationship |
| 08 | GRAND DAUGHTER | Nominee Relationship |
| 09 | GRAND FATHER | Nominee Relationship |
| 10 | GRAND MOTHER | Nominee Relationship |
| 11 | GRAND SON | Nominee Relationship |
| 12 | MOTHER-IN-LAW | Nominee Relationship |
| 13 | MOTHER | Nominee & Guardian Relationship |
| 14 | NEPHEW | Nominee Relationship |
| 15 | NIECE | Nominee Relationship |
| 16 | SISTER | Nominee Relationship |
| 17 | SISTER-IN-LAW | Nominee Relationship |
| 18 | SON | Nominee Relationship |
| 19 | SON-IN-LAW | Nominee Relationship |
| 20 | SPOUSE | Nominee Relationship |
| 21 | UNCLE | Nominee Relationship |
| 22 | OTHERS | Nominee Relationship |
| 23 | COURT APPOINTED LEGAL GUARDIAN | Guardian Relationship |

Email and Mobile no Self-Declaration as below

| MASTER OF EMAIL ID/MOBILENO. SELF DECLARATION | |
|---|---------|
| CODE | DETAILS |
| SE | Self |
| SP | Spouse |

| | |
|----|--------------------|
| DC | Dependent Children |
| DS | Dependent Siblings |
| DP | Dependent Parents |
| GD | Guardian |
| PM | PMS |
| CD | Custodian |
| PO | POA |

CLIENT BANK UPLOAD

PATH:- UTILITIES – BULK UPLOAD – CLIENT BANK UPLOAD

| SR NO | FIELD NAME | FIELD TYPE | SIZE | MANDATORY/ NON MANDATORY | REMARKS |
|-------|-------------------|------------|------|--------------------------|--------------------------------|
| 1 | Client Code | VARCHAR | 10 | MANDATORY | Unique client code (UCC) |
| 2 | Action Type | VARCHAR | 3 | MANDATORY | ADD/DEL |
| 3 | Account Type | VARCHAR | 2 | MANDATORY | REFER ACCOUNT TYPE |
| 4 | Account No | VARCHAR | 40 | MANDATORY | Bank account number |
| 5 | MICR No | VARCHAR | 9 | OPTIONAL | MICR if available |
| 6 | IFSC Code | VARCHAR | 11 | MANDATORY | Bank ifsc code |
| 7 | Default Bank Flag | VARCHAR | 1 | OPTIONAL | Y/N (is new bank default bank) |

CLIENT FATCA UPLOAD

PATH:- UTILITIES - BULK UPLOAD – FATCA COMMON

| SR NO | FIELD NAME | FIELD TYPE | SIZE | MANDATORY/ NON MANDATORY | REMARKS |
|-------|------------|------------|------|--------------------------|--|
| 1 | PAN_RP | Varchar | 10 | M | PAN of the Investor / Guardian as mentioned in the Client Master (UCC) |
| 2 | PEKRN | Varchar | 10 | O | PAN Exempt KYC Ref No. of the Investor/Guardian - Mandatory if PAN is not provided |
| 3 | INV_NAME | Varchar | 70 | M | Name of the Investor / Guardian - Mandatory |

| | | | | | |
|----|-------------|---------|----|---|---|
| 4 | DOB | Date | | O | Format: DD/MM/YYYY Date of Birth/ Date of Incorporation |
| 5 | FR_NAME | Varchar | 70 | O | |
| 6 | SP_NAME | Varchar | 70 | O | |
| 7 | TAX_STAT US | Varchar | 3 | M | Tax Status of the investor - Refer Tax Status Master Identify Individual/ Non individual using this Value |
| 8 | DATA_SRC | Varchar | 3 | M | This is to indicated data sources whether it is obtained Electronically or through physical request; Values - 'E' / 'P' |
| 9 | ADDR_TYP E | Varchar | 1 | M | 1 - Residential or Business; 2 - Residential; 3 - Business; 4 - Registered Office; 5 - Unspecified |
| 10 | PO_BIR_IN C | Varchar | 60 | M | Place of Birth/ Incorporation |
| 11 | CO_BIR_IN C | Varchar | 50 | M | Country of Birth/ Incorporation |
| 12 | TAX_RES1 | Varchar | 50 | M | Residence Country |

| | | | | | |
|----|----------|---------|----|---|---|
| 13 | TPIN1 | Varchar | 20 | M | Tax Payer Identification Number / other Equivalent Number |
| 14 | ID1_TYPE | Varchar | 2 | M | Type of Identification document to be provided - Refer Identification Type master |
| 15 | TAX_RES2 | Varchar | 50 | O | Refer Country/Nationality master enclosed and provide values accordingly |
| 16 | TPIN2 | Varchar | 20 | O | Tax Payer Identification Number / other Equivalent Number |
| 17 | ID2_TYPE | Varchar | 2 | O | Type of Identification document to be provided - Refer Identification Type master |
| 18 | TAX_RES3 | Varchar | 50 | O | Refer Country/Nationality master enclosed and provide values accordingly |

| | | | | | |
|----|----------------|---------|---------------|-------------------------------|---|
| 19 | TPIN3 | Varchar | 20 | O | Tax Payer Identification Number / other Equivalent Number |
| 20 | ID3_TYPE | Varchar | 2 | O | Type of Identification document to be provided - Refer Identification Type master |
| 21 | TAX_RES4 | Varchar | 50 | O | Refer Country/Nationality master enclosed and provide values accordingly |
| 22 | TPIN4 | Varchar | 20 | O | Tax Payer Identification Number / other Equivalent Number |
| 23 | ID4_TYPE | Varchar | 2 | O | Type of Identification document to be provided - Refer Identification Type master |
| 24 | SRCE_WE ALT | Varchar | 3 | M | Refer FATCA – Wealth Source Code. |
| 25 | CORP_SER VS | Varchar | 2 | M for Non- Individual s | 01 - Foreign Exchange / Money Changer Services 02 - Gaming / Gambling / Lottery Services [e.g. casinos, betting syndicates] 03 - Money laundering / Pawning 04 – to be blank if the same is not applicable |
| 26 | INC_SLAB | Varchar | 5 | M | Refer -Applicant _Income_Range |
| 27 | NET_WOR TH | Numeric | 15,2 19, 2 | M for Non- Individual s | Provide the net worth of the investor only if the same is Corporate Category [INR. In Lacs] |
| 28 | NW_DATE | Date | | M for Non- Individuals | Provide the net worth of the investor(DD/MM/YYYY) |

| | | | | | |
|----|-----------|---------|---|-----------------------|---|
| 29 | PEP_FLAG | Varchar | 2 | M for Individual s | Values to be 'Y' if the investor is politically exposed person; 'N' if the investor is not; 'R' if the investor is a relative of the politically exposed person |
| 30 | OCC_COD E | Varchar | 2 | M | Values to be provided as per the Occupation Master enclosed |
| 31 | OCC_TYPE | Varchar | 1 | M | S - Service; B - Business, O - Others; X - Not Categorized |

| | | | | | |
|----|---------------|---------|----|---|--|
| 32 | EXEMP_CODE | Varchar | 2 | M for Non-Individuals | Provide the values as specified under 'Exemption Code' sheet |
| 33 | FFI_DRNFE | Varchar | 20 | M for Non-Individuals | Values - FFI, DRNFE, NA |
| 34 | GIIN_NO | Varchar | 19 | M for Non-Individuals | Applicable to FFI, DRNFE, NA |
| 35 | SPR_ENTITY | Varchar | 60 | O | Name of the Sponsoring Entity if GIIN_NO of Sponsoring Entity is given |
| 36 | GIIN_NA | Varchar | 3 | O | Value to be provided: AF - Applied for; NR - Not required to apply for; NO - Not obtained - Non-participating FI |
| 37 | GIIN_EXEMPT | Varchar | 2 | O | Refer GIIN_EXEMPT sheet and applicable codes to be populated |
| 38 | NFFE_CATEGORY | Varchar | 3 | O/M O for Individuals M for HUF & Non-Individual | Refer NFE Category master |
| 39 | ACT_NFE_SC | Varchar | 3 | O/M M for Active | Refer NFE Sub Category master |
| 40 | NATURE_BUS | Varchar | 30 | M if NFFE_CATEGORY is 'L', 'RL', 'A' or 'P' | Applicable for Active NFE & Passive NFE |
| 41 | REL_LISTED | Varchar | 70 | O | Name of the Listed Company where Entity is its associate / related company |
| 42 | EXCH_NAME | Varchar | 2 | M | Only allow O – Others |

| | | | | | |
|----|------------|---------|----|-------------------------------|---|
| 43 | UBO_APPL | Varchar | 2 | M | Values should be 'Y' / 'N'. Default - 'Y' for Entities for other than Listed Company / Group / Associate Company of the Listed Company / Company controlled by Listed Company IF Tax Status Category="Non-Individual" OR Tax Status='HUF' Then It can be 'Y' or 'N' Else It should be 'N' |
| 44 | UBO_COUNT | Varchar | 3 | M [if UBO_APPLICATION is 'Y'] | Provide the count of UBO, if the value is more than '1', then multiple rows to be provided as per the count i.e. if the count is 2, additional one row with same investor PAN and only UBO details will be different |
| 45 | UBO_NAME | Varchar | 70 | M [if UBO_APPLICATION is 'Y'] | Name of the UBO |
| 46 | UBO_PAN | Varchar | 10 | M / O | PAN of UBO to be provided |
| 47 | UBO_NATION | Varchar | 3 | M [if UBO_APPLICATION is 'Y'] | Refer Country/Nationality master enclosed and provide values accordingly |
| 48 | UBO_ADD 1 | Varchar | 50 | M [if UBO_APPLICATION is 'Y'] | |
| 49 | UBO_ADD 2 | Varchar | 50 | M [if UBO_APPLICATION is 'Y'] | |
| 50 | UBO_ADD 3 | Varchar | 50 | M [if UBO_APPLICATION is 'Y'] | |
| 51 | UBO_CITY | Varchar | 50 | M [if UBO_APPLICATION is 'Y'] | |
| 52 | UBO_PIN | Varchar | 6 | M [if UBO_APPLICATION is 'Y'] | |

| | | | | | |
|----|-------------|---------|---|-------------------------------|--|
| 53 | UBO_STATE | Varchar | 3 | M [if UBO_APPLICATION is 'Y'] | State Code to be provided as per the existing practice |
| 54 | UBO_COUNTRY | Varchar | 4 | M [if UBO_APPLICATION is 'Y'] | Refer Country/Nationality master enclosed and provide values accordingly |
| 55 | UBO_ADD_ | Varchar | 2 | M [if | 1 - Residential or Business; 2 - |

| | | | | | |
|----|-----------------|---------|----|---|---|
| | TY | | | UBO_APPLICATION is 'Y'] | Residential; 3 - Business; 4 - Registered Office; 5 - Unspecified |
| 56 | UBO_CTR | Varchar | 4 | M [if UBO_APPLICATION is 'Y'] | UBO's Country of Tax Residency - Refer Country/Nationality master enclosed and provide values accordingly |
| 57 | UBO_TIN | Varchar | 20 | M / O [if UBO_PAN is not provided, this field is mandatory] | UBO's Tax Payer Identification Number or any other relevant reference number |
| 58 | UBO_ID_TY | Varchar | 2 | M / O [if UBO_APPLICATION is 'Y'] | Refer Identification type master given |
| 59 | UBO_COB | Varchar | 30 | M [if UBO_APPLICATION is 'Y'] | UBO Country of Birth---Refer Country/Nationality master |
| 60 | UBO_DOB | Date | | O | UBO Date of Birth Format :DD/MM/YYYY |
| 61 | UBO_GENDER | Varchar | 1 | O | Value to be provided as 'M' or 'F' or 'O' |
| 62 | UBO_FATHER_NAME | Varchar | 50 | O | UBO Father's name to be provided |
| 63 | UBO_OCC | Varchar | 2 | O | As per Occupation List |

| | | | | | |
|----|------------|---------|----|-------|--|
| 64 | UBO_OCC_TY | Varchar | 2 | O | S - Service; B - Business, O - Others; X - Not Categorized - Refer Applicable Occupation Type for the relevant Occupation Codes under 'Occupation' sheet |
| 65 | UBO_TEL | Varchar | 12 | O | UBO Telephone Number |
| 66 | UBO_MOBILE | Varchar | 12 | O | UBO Mobile Number |
| 67 | UBO_CODE | Varchar | 3 | M | Code as per master given in UBO_CODE sheet |
| 68 | UBO_HOL_PC | Varchar | 3 | O | Should not be greater than 100 and less than 0 |
| 69 | SDF_FLAG | Varchar | 2 | M / O | This flag is to indicate whether Values - 'Y', 'N' - Default 'Y' for Entities; Optional for Individuals, |
| 70 | UBO_DF | Varchar | 2 | M | Values - 'Y', 'N' - Default 'Y' for Entities and 'N' for Individuals |
| 71 | AADHAAR_RP | Varchar | 30 | O | AADHAAR of the Reporting Person shall be provided |
| 72 | NEW_CHA | Varchar | 2 | O | N- New - This value should be updated |

| | | | | | |
|----|----------|---------|----|-------|--|
| | NGE | | | | for first time update by the channel distributors for the given PAN C- Change - This Value should be provided for change in already provided information if CP is aware of that this is change in the existing information In case of 'C', Channel should provide complete data with 'C' option. |
| 73 | LOG_NAME | Varchar | 30 | M / O | Mandatory if DATA_SRC is 'E' |
| 74 | Filler1 | Varchar | 30 | O | These columns are added to meet future requirements but CP may provide any other related information in these columns |

| | | | | | |
|----|-------------|---------|----|---------------------------|--|
| 75 | Filler2 | Varchar | 30 | O | These columns are added to meet future requirements but CP may provide any other related information in these columns |
| 74 | UBO_EXC H | Varchar | 2 | Condition al mandator y | B - BSE, N - NSE, O - Others UBO_Appl is "N" and UBO_Df is "Y" then applicable and If the company is Listed in more than exchanges, provide anyone |
| 75 | UBO_ISIN | Varchar | 30 | Condition al mandator y | UBO_Appl is "N" and UBO_Df is "Y" then applicable |
| 76 | UBO_REL_ LI | Varchar | 70 | Condition al mandator y | UBO_Appl is "N" and UBO_Df is "Y" then applicable Name of the Listed Company where Entity is its associate / related company |
| 77 | UBO_Cate g | Varchar | 30 | Condition al mandator y | UBO_Appl is "Y" then applicable "UBO" or "SMO" to be provided as per detailed given |
| 78 | UBO_PEP_ FL | Varchar | 2 | Condition al mandator y | UBO_Appl is "Y" then applicable. Values to be 'Y' if the investor is politically exposed person; 'N' if the investor is not; 'R' if the investor is a relative of the politically exposed person |
| 79 | UBO_Emai l | Varchar | 30 | O | |
| 80 | UBO_SMO_ De | Varchar | 30 | M Condition al Mandator y | Who not holds direct/indirect controlling ownership then need to provided SMO Designation. If, UBO_Categ – SMO then Mandatory |
| 81 | NPO_FOR M | Varchar | 2 | M | Applicable values are Y, N "PAN_RP" mandatory to provide/update NPO details |
| 82 | NPO_DCL | Varchar | 2 | Condition al mandator y | Mandatory if the above NPO_FORM flag is Y. Applicable values are Y or N. |

| | | | | | |
|----|-----------|---------|----|-------------------------|---|
| 83 | NPO_RGN O | Varchar | 50 | Condition al mandator y | Mandatory if the above NPO_DCL flag is Y. |
|----|-----------|---------|----|-------------------------|---|

FATCA - Country Code

| Sr No | CODE | DETAILS |
|-------|------|------------------------|
| 1 | AD | ANDORRA |
| 2 | AE | UNITED ARAB EMIRATES |
| 3 | AF | AFGHANISTAN |
| 4 | AG | ANTIGUA AND BARBUDA |
| 5 | AI | ANGUILLA |
| 6 | AL | ALBANIA |
| 7 | AM | ARMENIA |
| 8 | AN | NETHERLANDS ANTILLES |
| 9 | AO | ANGOLA |
| 10 | AQ | ANTARCTICA |
| 11 | AR | ARGENTINA |
| 12 | AS | AMERICAN SAMOA |
| 13 | AT | AUSTRIA |
| 14 | AU | AUSTRALIA |
| 15 | AW | ARUBA |
| 16 | AX | ALAND ISLANDS |
| 17 | AZ | AZERBAIJAN |
| 18 | BA | BOSNIA AND HERZEGOVINA |
| 19 | BB | BARBADOS |
| 20 | BD | BANGLADESH |
| 21 | BE | BELGIUM |
| 22 | BF | BURKINA FASO |
| 23 | BG | BULGARIA |
| 24 | BH | BAHRAIN |
| 25 | BI | BURUNDI |
| 26 | BJ | BENIN |

| | | |
|----|----|--------------------------|
| 27 | BL | SAINT BARTHELEMY |
| 28 | BM | BERMUDA |
| 29 | BN | BRUNEI DARUSSALAM |
| 30 | BO | BOLIVIA |
| 31 | BQ | BONAIRE |
| 32 | BR | BRAZIL |
| 33 | BS | BAHAMAS |
| 34 | BT | BHUTAN |
| 35 | BV | BOUVET ISLAND |
| 36 | BW | BOTSWANA |
| 37 | BY | BELARUS |
| 38 | BZ | BELIZE |
| 39 | CA | CANADA |
| 40 | CC | COCOS (KEELING) ISLANDS |
| 41 | CD | CONGO |
| 42 | CF | CENTRAL AFRICAN REPUBLIC |
| 43 | CG | CONGO |
| 44 | CH | SWITZERLAND |
| 45 | CI | CÔTE D'IVOIRE |
| 46 | CK | COOK ISLANDS |
| 47 | CL | CHILE |
| 48 | CM | CAMEROON |
| 49 | CN | CHINA |
| 50 | CO | COLOMBIA |
| 51 | CR | COSTA RICA |
| 52 | CU | CUBA |
| 53 | CV | CAPE VERDE |
| 54 | CW | CURACAO |
| 55 | CX | CHRISTMAS ISLAND |
| 56 | CY | CYPRUS |
| 57 | CZ | CZECH REPUBLIC |
| 58 | DE | GERMANY |

| | | |
|----|----|--------------------|
| 59 | DJ | DJIBOUTI |
| 60 | DK | DENMARK |
| 61 | DM | DOMINICA |
| 62 | DO | DOMINICAN REPUBLIC |
| 63 | DZ | ALGERIA |
| 64 | EC | ECUADOR |

| | | |
|----|----|---------|
| 65 | EE | ESTONIA |
|----|----|---------|

| | | |
|----|----|-----------------------------|
| 66 | EG | EGYPT |
| 67 | EH | WESTERN SAHARA |
| 68 | ER | ERITREA |
| 69 | ES | SPAIN |
| 70 | ET | ETHIOPIA |
| 71 | FI | FINLAND |
| 72 | FJ | FIJI |
| 73 | FK | FALKLAND ISLANDS (MALVINAS) |
| 74 | FM | MICRONESIA |
| 75 | FO | FAROE ISLANDS |
| 76 | FR | FRANCE |
| 77 | GA | GABON |
| 78 | GB | UNITED KINGDOM |
| 79 | GD | GRENADA |
| 80 | GE | GEORGIA |
| 81 | GF | FRENCH GUIANA |
| 82 | GG | GUERNSEY |
| 83 | GH | GHANA |
| 84 | GI | GIBRALTAR |

| | | |
|-----|----|--------------------------------|
| 85 | GL | GREENLAND |
| 86 | GM | GAMBIA |
| 87 | GN | GUINEA |
| 88 | GP | GUADELOUPE |
| 89 | GQ | EQUATORIAL GUINEA |
| 90 | GR | GREECE |
| 91 | GS | SOUTH GEORGIA AND THE SOUTH SA |
| 92 | GT | GUATEMALA |
| 93 | GU | GUAM |
| 94 | GW | GUINEA-BISSAU |
| 95 | GY | GUYANA |
| 96 | HK | HONG KONG |
| 97 | HM | HEARD ISLAND AND MCDONALD ISLA |
| 98 | HN | HONDURAS |
| 99 | HR | CROATIA |
| 100 | HT | HAITI |
| 101 | HU | HUNGARY |

| | | |
|-----|----|--------------------------------|
| 102 | ID | INDONESIA |
| 103 | IE | IRELAND |
| 104 | IL | ISRAEL |
| 105 | IM | ISLE OF MAN |
| 106 | IN | INDIA |
| 107 | IO | BRITISH INDIAN OCEAN TERRITORY |
| 108 | IQ | IRAQ |
| 109 | IR | IRAN |
| 110 | IS | ICELAND |

| | | |
|-----|----|-------------------------------|
| 111 | IT | ITALY |
| 112 | JE | JERSEY |
| 113 | JM | JAMAICA |
| 114 | JO | JORDAN |
| 115 | JP | JAPAN |
| 116 | KE | KENYA |
| 117 | KG | KYRGYZSTAN |
| 118 | KH | CAMBODIA |
| 119 | KI | KIRIBATI |
| 120 | KM | COMOROS |
| 121 | KN | SAINT KITTS AND NEVIS |
| 122 | KP | KOREA |
| 123 | KR | KOREA |
| 124 | KW | KUWAIT |
| 125 | KY | CAYMAN ISLANDS |
| 126 | KZ | KAZAKHSTAN |
| 127 | LA | LAO PEOPLE'S DEMOCRATIC REPUB |
| 128 | LB | LEBANON |
| 129 | LC | SAINT LUCIA |
| 130 | LI | LIECHTENSTEIN |
| 131 | LK | SRI LANKA |
| 132 | LR | LIBERIA |
| 133 | LS | LESOTHO |
| 134 | LT | LITHUANIA |
| 135 | LU | LUXEMBOURG |
| 136 | LV | LATVIA |
| 137 | LY | LIBYAN ARAB JAMAHIRIYA |
| 138 | MA | MOROCCO |
| 139 | MC | MONACO |

| | | |
|-----|----|------------|
| 140 | MD | MOLDOVA |
| 141 | ME | MONTENEGRO |

| | | |
|-----|----|--------------------------|
| 142 | MF | SAINT MARTIN |
| 143 | MG | MADAGASCAR |
| 144 | MH | MARSHALL ISLANDS |
| 145 | MK | MACEDONIA |
| 146 | ML | MALI |
| 147 | MM | MYANMAR |
| 148 | MN | MONGOLIA |
| 149 | MO | MACAO |
| 150 | MP | NORTHERN MARIANA ISLANDS |
| 151 | MQ | MARTINIQUE |
| 152 | MR | MAURITANIA |
| 153 | MS | MONTSERRAT |
| 154 | MT | MALTA |
| 155 | MU | MAURITIUS |
| 156 | MV | MALDIVES |
| 157 | MW | MALAWI |
| 158 | MX | MEXICO |
| 159 | MY | MALAYSIA |
| 160 | MZ | MOZAMBIQUE |
| 161 | NA | NAMIBIA |
| 162 | NC | NEW CALEDONIA |
| 163 | NE | NIGER |
| 164 | NF | NORFOLK ISLAND |
| 165 | NG | NIGERIA |
| 166 | NI | NICARAGUA |
| 167 | NL | NETHERLANDS |
| 168 | NO | NORWAY |
| 169 | NP | NEPAL |
| 170 | NR | NAURU |
| 171 | NU | NIUE |
| 172 | NZ | NEW ZEALAND |
| 173 | OM | OMAN |

| | | |
|-----|----|------------------|
| 174 | PA | PANAMA |
| 175 | PE | PERU |
| 176 | PF | FRENCH POLYNESIA |
| 177 | PG | PAPUA NEW GUINEA |

| | | |
|-----|----|--------------------------------|
| 178 | PH | PHILIPPINES |
| 179 | PK | PAKISTAN |
| 180 | PL | POLAND |
| 181 | PM | SAINT PIERRE AND MIQUELON |
| 182 | PN | PITCAIRN |
| 183 | PR | PUERTO RICO |
| 184 | PS | PALESTINIAN TERRITORY |
| 185 | PT | PORTUGAL |
| 186 | PW | PALAU |
| 187 | PY | PARAGUAY |
| 188 | QA | QATAR |
| 189 | RE | REUNION ISLAND |
| 190 | RO | ROMANIA |
| 191 | RS | SERBIA |
| 192 | RU | RUSSIAN FEDERATION |
| 193 | RW | RWANDA |
| 194 | SA | SAUDI ARABIA |
| 195 | SB | SOLOMON ISLANDS |
| 196 | SC | SEYCHELLES |
| 197 | SD | SUDAN |
| 198 | SE | SWEDEN |
| 199 | SG | SINGAPORE |
| 200 | SH | SAINT HELENA |
| 201 | SI | SLOVENIA |
| 202 | SJ | SVALBARD AND JAN MAYEN ISLANDS |
| 203 | SK | SLOVAKIA |
| 204 | SL | SIERRA LEONE |

| | | |
|-----|----|---------------------------|
| 205 | SM | SAN MARINO |
| 206 | SN | SENEGAL |
| 207 | SO | SOMALIA |
| 208 | SR | SURINAME |
| 209 | SS | SOUTH SUDAN |
| 210 | ST | SAO TOME AND PRINCIPE |
| 211 | SV | EL SALVADOR |
| 212 | SX | SINT MAARTEN (DUTCH PART) |
| 213 | SY | SYRIAN ARAB REPUBLIC |
| 214 | SZ | SWAZILAND |
| 215 | TC | TURKS AND CAICOS ISLANDS |

| | | |
|-----|----|--------------------------------|
| 216 | TD | CHAD |
| 217 | TF | FRENCH SOUTHERN TERRITORIES |
| 218 | TG | TOGO |
| 219 | TH | THAILAND |
| 220 | TJ | TAJIKISTAN |
| 221 | TK | TOKELAU |
| 222 | TL | TIMOR-LESTE |
| 223 | TM | TURKMENISTAN |
| 224 | TN | TUNISIA |
| 225 | TO | TONGA |
| 226 | TR | TURKEY |
| 227 | TT | TRINIDAD AND TOBAGO |
| 228 | TV | TUVALU |
| 229 | TW | TAIWAN |
| 230 | TZ | TANZANIA |
| 231 | UA | UKRAINE |
| 232 | UG | UGANDA |
| 233 | UM | UNITED STATES MINOR OUTLYING I |
| 234 | US | UNITED STATES |
| 235 | UY | URUGUAY |

| | | |
|-----|----|--------------------------------|
| 236 | UZ | UZBEKISTAN |
| 237 | VA | VATICAN CITY STATE |
| 238 | VC | SAINT VINCENT AND THE GRENADIN |
| 239 | VE | VENEZUELA |
| 240 | VG | VIRGIN ISLANDS |
| 241 | VI | VIRGIN ISLANDS |
| 242 | VN | VIET NAM |
| 243 | VU | VANUATU |
| 244 | WF | WALLIS AND FUTUNA |
| 245 | WS | SAMOA |
| 246 | XX | NOT CATEGORISED |
| 247 | YE | YEMEN |
| 248 | YT | MAYOTTE |
| 249 | ZA | SOUTH AFRICA |
| 250 | ZM | ZAMBIA |
| 251 | ZW | ZIMBABWE |
| 252 | ZZ | OTHERS |

FATCA - Wealth Source Code

| Sr No | CODE | DETAILS |
|-------|------|--------------------|
| 1 | 01 | SALARY |
| 2 | 02 | BUSINESS INCOME |
| 3 | 03 | GIFT |
| 4 | 04 | ANCESTRAL PROPERTY |
| 5 | 05 | RENTAL INCOME |
| 6 | 06 | PRIZE MONEY |
| 7 | 07 | Royalty |
| 8 | 08 | Other |

FATCA – Tax Status

| Sr No | TAX CODE | TAX NAME | CATEGORY |
|-------|----------|--------------------|------------|
| 1 | 01 | INDIVIDUAL | R - Retail |
| 2 | 02 | ON BEHALF OF MINOR | R - Retail |

| | | | |
|----|----|--------------------------------|---------------|
| 3 | 03 | HUF | R - Retail |
| 4 | 04 | COMPANY | C - Corporate |
| 5 | 05 | AOP/BOI | C - Corporate |
| 6 | 06 | PATNERSHIP FIRM | C - Corporate |
| 7 | 07 | BODY CORPORATE | C - Corporate |
| 8 | 08 | TRUST | C - Corporate |
| 9 | 09 | SOCIETY | C - Corporate |
| 10 | 10 | OTHERS | C - Corporate |
| 11 | 11 | NRI-OTHERS | R - Retail |
| 12 | 12 | BANKS / FINANCIAL INSTITUTIONS | C - Corporate |
| 13 | 13 | SOLE PROPRIETORSHIP | C - Corporate |
| 14 | 14 | BANKS | C - Corporate |
| 15 | 15 | ASSOCIATION OF PERSONS | C - Corporate |
| 16 | 21 | NRI - NRE (REPATRIATION) | R - Retail |
| 17 | 22 | OVERSEAS CORPORATE BODY | C - Corporate |
| 18 | 23 | FOREIGN INSTITUTIONAL INVESTOR | C - Corporate |
| 19 | 24 | NRI - NRO [NON REPATRIATION] | R - Retail |
| 20 | 25 | OVERSEAS CORPORATE BODY-OTHERS | C - Corporate |
| 21 | 26 | NRI - MINOR (NRE) | R - Retail |
| 22 | 27 | NRI-HUF(NRO) | R - Retail |
| 23 | 28 | NRI - MINOR (NRO) | R - Retail |
| 24 | 29 | NRI-HUF(NRE) | R - Retail |

| | | | |
|----|----|---------------------------------|---------------|
| 25 | 31 | PROVIDEND FUND / EPF / PF TRUST | C - Corporate |
| 26 | 32 | SUPERANNUATION | C - Corporate |
| 27 | 33 | GRATUITY FUND | C - Corporate |
| 28 | 34 | PENSION AND RETIREMENT FUND | C - Corporate |
| 29 | 36 | MUTUAL FUNDS FOF SCHEMES | C - Corporate |
| 30 | 37 | NPS TRUST | C - Corporate |
| 31 | 38 | GLOBAL DEVELOPMENT NETWORK | C - Corporate |
| 32 | 39 | FCRA | C - Corporate |
| 33 | 41 | QUALIFIED FOREIGN INVESTOR-IND | R - Retail |

| | | | |
|----|----|--------------------------------|---------------|
| 34 | 42 | QUALIFIED FOREIGN INVESTOR-MIN | R - Retail |
| 35 | 43 | QUALIFIED FOREIGN INVESTOR-COR | C - Corporate |
| 36 | 44 | QUALIFIED FOREIGN INVESTOR-PEN | C - Corporate |
| 37 | 45 | QUALIFIED FOREIGN INVESTOR-HED | C - Corporate |
| 38 | 46 | QUALIFIED FOREIGN INVESTOR-MUT | C - Corporate |
| 39 | 47 | LIMITED LIABILITY PARTNERSHIP | C - Corporate |
| 40 | 48 | NON-PROFIT ORGANIZATION [NPO] | C - Corporate |
| 41 | 51 | PUBLIC LIMITED COMPANY | C - Corporate |
| 42 | 52 | PRIVATE LIMITED COMPANY | C - Corporate |
| 43 | 53 | UNLISTED COMPANY | C - Corporate |
| 44 | 54 | MUTUAL FUNDS | C - Corporate |
| 45 | 55 | FPI - CATEGORY I | C - Corporate |
| 46 | 56 | FPI - CATEGORY II | C - Corporate |
| 47 | 57 | FPI - CATEGORY III | C - Corporate |
| 48 | 58 | FINANCIAL INSTITUTIONS | C - Corporate |
| 49 | 59 | BODY OF INDIVIDUALS | C - Corporate |
| 50 | 60 | INSURANCE COMPANY | C - Corporate |
| 51 | 61 | OCI - REPATRIATION | R - Retail |
| 52 | 62 | OCI - NON REPATRIATION | R - Retail |
| 53 | 70 | PERSON OF INDIAN ORIGIN [PIO] | R - Retail |
| 54 | 72 | GOVERNMENT BODY | C - Corporate |
| 55 | 73 | DEFENCE ESTABLISHMENT | C - Corporate |
| 56 | 74 | NON-GOVERNMENT ORGANISATION [N | C - Corporate |
| 57 | 75 | ARTIFICIAL JURIDICAL PERSON | C - Corporate |
| 58 | 76 | TRUST - LIQUIDATOR | C - Corporate |
| 59 | 77 | Seafarer NRE | R - Retail |
| 60 | 78 | Seafarer NRO | R - Retail |

FATCA – Occupation

| Sr No | CODE | OCCUPATION | TYPE |
|-------|------|------------|----------|
| 1 | 01 | BUSINESS | Business |
| 2 | 02 | SERVICE | Service |

| | | | |
|----|----|--------------------------|----------|
| 3 | 03 | PROFESSIONAL | Service |
| 4 | 04 | AGRICULTURIST | Service |
| 5 | 05 | RETIRED | Others |
| 6 | 06 | HOUSEWIFE | Others |
| 7 | 07 | STUDENT | Others |
| 8 | 08 | OTHERS | Others |
| 9 | 09 | DOCTOR | Service |
| 10 | 41 | PRIVATE SECTOR SERVICE | Service |
| 11 | 42 | PUBLIC SECTOR SERVICE | Service |
| 12 | 43 | FOREX DEALER | Business |
| 13 | 44 | GOVERNMENT SERVICE | Service |
| 14 | 99 | UNKNOWN / NOT APPLICABLE | Others |

FATCA – Applicant Income Range

| Sr No | CODE | INCOME RANGE |
|-------|------|----------------------|
| 1 | 31 | BELOW 1 LAKH |
| 2 | 32 | > 1 <=5 LACS |
| 3 | 33 | >5 <=10 LACS |
| 4 | 34 | >10 <= 25 LACS |
| 5 | 35 | > 25 LACS <= 1 CRORE |
| 6 | 36 | ABOVE 1 CRORE |

FATCA – UBO Code

| Sr No | UBO CODE | UBO Details |
|-------|----------|---|
| 1 | C01 | CP OF LEGAL PERSON-OWNERSHIP |
| 2 | C02 | CP OF LEGAL PERSON-OTHER MEANS |
| 3 | C03 | CP OF LEGAL PERSON-SENIOR MANAGING OFFICIAL |
| 4 | C04 | CP OF LEGAL ARRANGEMENT-TRUST-SETTLOR |

| | | |
|----|-----|--|
| 5 | C05 | CP OF LEGAL ARRANGEMENT-TRUST-TRUSTEE |
| 6 | C06 | CP OF LEGAL ARRANGEMENT-TRUST-PROTECTOR |
| 7 | C07 | CP OF LEGAL ARRANGEMENT-TRUST-BENEFICIARY |
| 8 | C08 | CP OF LEGAL ARRANGEMENT-TRUST-OTHER |
| 9 | C09 | CP OF LEGAL ARRANGEMENT-TRUST-OTHER-SETTLOR EQUIVALENT |
| 10 | C10 | CP OF LEGAL ARRANGEMENT-TRUST-OTHER-TRUSTEE-EQUIVALENT |
| 11 | C11 | CP OF LEGAL ARRANGEMENT-TRUST-OTHER-PROTECTOR EQUIVALENT |
| 12 | C12 | CP OF LEGAL ARRANGEMENT-TRUST-OTHER-BENEFICIARY-EQUIVALENT |
| 13 | C13 | CP OF LEGAL ARRANGEMENT-TRUST-OTHER-OTHER-EQUIVALENT |
| 14 | C14 | UNKNOWN |

FATCA – Identification Type

| Sr No | CODE | Identification Type |
|-------|------|--------------------------------|
| 1 | A | PASSPORT |
| 2 | B | ELECTION ID CARD |
| 3 | C | PAN CARD |
| 4 | C1 | COMPANY IDENTIFICATION NUMBER |
| 5 | D | ID CARD |
| 6 | E | DRIVING LICENSE |
| 7 | E1 | GLOBAL ENTITY IDENTIFICATION N |
| 8 | G | UIDIA / AADHAR LETTER |
| 9 | G1 | US GIIN |
| 10 | H | NREGA JOB CARD |
| 11 | O | OTHERS |
| 12 | T | TIN [TAX PAYER IDENTIFICATION |
| 13 | X | NOT CATEGORIZED |

FATCA – State Code

| Sr No | CODE | STATE NAME |
|-------|------|------------|
|-------|------|------------|

| | | |
|----|----|------------------------|
| 1 | AN | ANDAMAN & NICOBAR |
| 2 | AP | ANDHRA PRADESH |
| 3 | AR | ARUNACHAL PRADESH |
| 4 | AS | ASSAM |
| 5 | BR | BIHAR |
| 6 | CG | CHATTISGARH |
| 7 | CH | CHANDIGARH |
| 8 | DD | DAMAN & DIU |
| 9 | DL | DELHI |
| 10 | DN | DADRA AND NAGAR HAVELI |
| 11 | GA | GOA |
| 12 | GJ | GUJARAT |
| 13 | HP | HIMACHAL PRADESH |
| 14 | HR | HARYANA |
| 15 | JH | JHARKHAND |
| 16 | JK | JAMMU & KASHMIR |
| 17 | KA | KARNATAKA |
| 18 | KL | KERALA |
| 19 | LD | LAKSHADWEEP |
| 20 | MH | MAHARASHTRA |
| 21 | ML | MEGHALAYA |
| 22 | MN | MANIPUR |
| 23 | MP | MADHYA PRADESH |
| 24 | MZ | MIZORAM |
| 25 | NL | NAGALAND |
| 26 | OR | ORISSA |
| 27 | PB | PUNJAB |
| 28 | PY | PONDICHERRY |
| 29 | RJ | RAJASTHAN |
| 30 | SK | SIKKIM |

| | | |
|----|----|---------------|
| 31 | TN | TAMIL NADU |
| 32 | TE | TELANGANA |
| 32 | TR | TRIPURA |
| 33 | UA | UTTARAKHAND |
| 34 | UP | UTTAR PRADESH |
| 35 | WB | WEST BENGAL |
| 36 | XX | OTHERS |

FATCA – Exemption Code

| Sr No | CODE | Exemption Reason |
|-------|------|--|
| 1 | A | AN ORGANIZATION EXEMPT FROM TAX UNDER SECTION 501(A) OR ANY INDIVIDUAL RETIREMENT PLAN AS DEFINED IN SECTION 7701(A)(37) |
| 2 | B | THE UNITED STATES OR ANY OF ITS AGENCIES OR INSTRUMENTALITIES |
| 3 | C | A STATE |
| 4 | D | A CORPORATION THE STOCK OF WHICH IS REGULARLY TRADED ON ONE OR MORE ESTABLISHED SECURITIES MARKETS |
| 5 | E | A CORPORATION THAT IS A MEMBER OF THE SAME EXPANDED AFFILIATED GROUP AS A CORPORATION DESCRIBED IN REG. SECTION 1.1472-1(C)(1)(I) |
| 6 | F | A DEALER IN SECURITIES |
| 7 | G | A REAL ESTATE INVESTMENT TRUST |
| 8 | H | A REGULATED INVESTMENT COMPANY AS DEFINED IN SECTION 851 OR AN ENTITY REGISTERED AT ALL TIMES DURING THE TAX YEAR UNDER THE INVESTMENT COMPANY ACT OF 1940 |
| 9 | I | A COMMON TRUST FUND AS DEFINED IN SECTION 584(A) |
| 10 | J | A BANK AS DEFINED IN SECTION 581 |

| | | |
|----|---|--|
| 11 | K | A BROKER |
| 12 | L | A TRUST EXEMPT FROM TAX UNDER SECTION 664 OR DESCRIBED IN SECTION 4947(A)(1) |
| 13 | M | A TAX EXEMPT TRUST UNDER A SECTION 403(B) PLAN OR SECTION 457(G) PLAN |
| 14 | N | NOT APPLICABLE |

**FATCA – GIIN
Exempt**

| Sr No | CODE | GIIN Exempt Detail |
|-------|------|---|
| 1 | 1 | GOVERNMENTAL ENTITY |
| 2 | 2 | TREATY QUALIFIED RETIREMENT FUND; A BROAD PARTICIPATION RETIREMENT FUND; A NARROW PARTICIPATION RETIREMENT FUND; OR A PENSION FUND OF A GOVERNMENTAL ENTITY |
| 3 | 3 | NON-PUBLIC FUND OF THE ARMED FORCES |
| 4 | 4 | ENTITY IS AN INDIAN FI SOLELY BECAUSE IT IS AN INVESTMENT ENTITY |
| 5 | 5 | QUALIFIED CREDIT CARD ISSUER |
| 6 | 6 | INVESTMENT ADVISORS |
| 7 | 7 | EXEMPT COLLECTIVE INVESTMENT VEHICLE |
| 8 | 8 | TRUSTEE OF AN INDIAN TRUST |
| 9 | 9 | FI WITH A LOCAL CLIENT BASE |
| 10 | 10 | NON-REGISTERING LOCAL BANKS |

| | | |
|----|----|--|
| 11 | 11 | FFI WITH ONLY LOW-VALUE ACCOUNTS |
| 12 | 12 | SPONSORED INVESTMENT ENTITY AND CONTROLLED FOREIGN CORPORATION |
| 13 | 13 | SPONSORED |
| 14 | 14 | OWNER DOCUMENTED FFI |

FATCA – Active NFE Sub Category

| Sr. No | CODE | Active NFE Sub Category Detail |
|--------|------|--|
| 1 | 01 | LESS THAN 50 PERCENT OF THE NFE'S GROSS INCOME FOR THE PRECEDING FINANCIAL YEAR IS PASSIVE INCOME AND LESS THAN 50 |

| | | |
|--|--|--|
| | | PERCENT OF THE ASSETS HELD BY THENFE DURING THE PRECEDING FINANCIAL YEAR ARE ASSETS THAT PRODUCE OR ARE HELD FOR THE PRODUCTION OF PASSIVE INCOME; |
|--|--|--|

| | | |
|---|----|---|
| 2 | 02 | THE NFE IS A GOVERNMENTAL ENTITY, AN INTERNATIONAL ORGANIZATION, A CENTRAL BANK , OR AN ENTITY WHOLLY OWNED BY ONE OR MORE OF THE FOREGOING; |
| 3 | 03 | SUBSTANTIALLY ALL OF THE ACTIVITIES OF THE NFE CONSIST OF HOLDING (IN WHOLE OR IN PART) THE OUTSTANDING STOCK OF, OR PROVIDING FINANCING AND SERVICES TO, ONE OR MORE SUBSIDIARIES THAT ENGAGE IN TRADES OR BUSINESSES OTHER THAN THE BUSINESS OF A FINANCIAL INSTITUTION, EXCEPT THAT AN ENTITY SHALL NOT QUALIFY FOR THIS STATUS IF THE ENTITY FUNCTIONS AS AN INVESTMENT FUND, SUCH AS A PRIVATE EQUITY FUND, VENTURE CAPITAL FUND, LEVERAGED BUYOUT FUND, OR ANY INVESTMENT VEHICLE WHOSE PURPOSE IS TO ACQUIRE OR FUND COMPANIES AND THEN HOLD INTERESTS IN THOSE COMPANIES AS CAPITAL ASSETS FOR INVESTMENT PURPOSES; |
| 4 | 04 | THE NFE IS NOT YET OPERATING A BUSINESS AND HAS NO PRIOR OPERATING HISTORY, BUT IS INVESTING CAPITAL INTO ASSETS WITH THE INTENT TO OPERATE A BUSINESS OTHER THAN THAT OF A FINANCIAL INSTITUTION, PROVIDED THAT THE NFE SHALL NOT QUALIFY FOR THIS EXCEPTION AFTER THE DATE THAT IS 24 MONTHS AFTER THE DATE OF THE INITIAL ORGANIZATION OF THE NFE; |

| | | |
|---|----|--|
| 5 | 05 | THE NFE WAS NOT A FINANCIAL INSTITUTION IN THE PAST FIVE YEARS, AND IS IN THE PROCESS OF LIQUIDATING ITS ASSETS OR IS REORGANIZING WITH THE INTENT TO CONTINUE OR RECOMMENCE OPERATIONS IN A BUSINESS OTHER THAN THAT OF A FINANCIAL INSTITUTION; |
| 6 | 06 | THE NFE PRIMARILY ENGAGES IN FINANCING AND HEDGING TRANSACTIONS WITH, OR FOR, RELATED ENTITIES THAT ARE NOT FINANCIAL INSTITUTIONS, AND DOES NOT PROVIDE FINANCING OR HEDGING SERVICES TO ANY ENTITY THAT IS NOT A RELATED ENTITY, PROVIDED THAT THE GROUP OF ANY SUCH RELATED ENTITIES IS PRIMARILY ENGAGED IN A BUSINESS OTHER THAN THAT OF A FINANCIAL INSTITUTION; |
| 7 | 07 | <p>ANY NFE THAT FULFILLS ALL OF THE FOLLOWING REQUIREMENTS:</p> <ul style="list-style-type: none"> * IT IS ESTABLISHED AND OPERATED IN INDIA EXCLUSIVELY FOR RELIGIOUS, CHARITABLE, SCIENTIFIC, ARTISTIC, CULTURAL, ATHLETIC, OR EDUCATIONAL PURPOSES; OR IT IS ESTABLISHED AND OPERATED IN INDIA AND IT IS A PROFESSIONAL ORGANIZATION, BUSINESS LEAGUE, CHAMBER OF COMMERCE, LABOR ORGANIZATION, AGRICULTURAL OR HORTICULTURAL ORGANIZATION, CIVIC LEAGUE OR AN ORGANIZATION OPERATED EXCLUSIVELY FOR THE PROMOTION OF SOCIAL WELFARE; * IT IS EXEMPT FROM INCOME TAX IN INDIA; * IT HAS NO SHAREHOLDERS OR MEMBERS WHO HAVE A PROPRIETARY OR BENEFICIAL INTEREST IN ITS INCOME OR ASSETS; <p>THE APPLICABLE LAWS OF THE NFE'S COUNTRY OR TERRITORY OF RESIDENCE OR THE NFE'S FORMATION DOCUMENTS DO NOT PERMIT ANY INCOME OR ASSETS OF THE NFE TO BE DISTRIBUTED TO, OR APPLIED FOR THE BENEFIT OF, A PRIVATE PERSON OR NON-CHARITABLE ENTITY OTHER THAN PURSUANT TO THE CONDUCT OF THE NFE'S CHARITABLE ACTIVITIES, OR AS PAYMENT OF REASONABLE COMPENSATION FOR SERVICES</p> |
| | | <p>RENDERED, OR AS PAYMENT REPRESENTING THE FAIR MARKET VALUE OF PROPERTY WHICH THE NFE HAS PURCHASED; AND</p> <p>THE APPLICABLE LAWS OF THE NFE'S COUNTRY OR TERRITORY OF RESIDENCE OR THE NFE'S FORMATION DOCUMENTS REQUIRE THAT, UPON THE NFE'S LIQUIDATION OR DISSOLUTION, ALL OF ITS ASSETS BE DISTRIBUTED TO A GOVERNMENTAL ENTITY OR OTHER NON-PROFIT ORGANIZATION, OR ESCHEAT TO THE GOVERNMENT OF THE NFE'S COUNTRY OR TERRITORY OF RESIDENCE OR ANY POLITICAL SUBDIVISION THEREOF.</p> <p>EXPLANATION.- FOR THE PURPOSE OF THIS SUB-CLAUSE, THE FOLLOWING SHALL BE TREATED AS FULFILLING THE CRITERIA PROVIDED IN THE SAID SUB-CLAUSE, NAMELY:-</p> <ul style="list-style-type: none"> (I) AN INVESTOR PROTECTION FUND REFERRED TO IN CLAUSE (23EA); (II) A CREDIT GUARANTEE FUND TRUST FOR SMALL INDUSTRIES REFERRED TO IN CLAUSE 23EB; AND (III) AN INVESTOR PROTECTION FUND REFERRED TO IN CLAUSE (23EC), OF SECTION 10 OF THE ACT; |

FATCA REPORT**PATH:- REPORTS - FATCA REPORT**

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARKS |
|-------|------------|------------|--------------|---------|
| 1 | Sr No | VARCHAR | 2 | |
| 2 | PAN_RP | VARCHAR | 10 | |
| 3 | PEKRN | VARCHAR | 10 | |
| 4 | INV_NAME | VARCHAR | 70 | |
| 5 | DOB | VARCHAR | | |
| 6 | FR_NAME | VARCHAR | 70 | |
| 7 | SP_NAME | VARCHAR | 70 | |
| 8 | TAX_STATUS | VARCHAR | 3 | |
| 9 | DATA_SRC | VARCHAR | 3 | |
| 10 | ADDR_TYPE | VARCHAR | 1 | |
| 11 | PO_BIR_INC | VARCHAR | 60 | |
| 12 | CO_BIR_INC | VARCHAR | 50 | |
| 13 | TAX_RES1 | VARCHAR | 50 | |
| 14 | TPIN1 | VARCHAR | 20 | |
| 15 | ID1_TYPE | VARCHAR | 2 | |
| 16 | TAX_RES2 | VARCHAR | 50 | |
| 17 | TPIN2 | VARCHAR | 20 | |
| 18 | ID2_TYPE | VARCHAR | 2 | |
| 19 | TAX_RES3 | VARCHAR | 50 | |
| 20 | TPIN3 | VARCHAR | 20 | |
| 21 | ID3_TYPE | VARCHAR | 2 | |
| 22 | TAX_RES4 | VARCHAR | 50 | |
| 23 | TPIN4 | VARCHAR | 20 | |
| 24 | ID4_TYPE | VARCHAR | 2 | |
| 25 | SRCE_WEALT | VARCHAR | 3 | |
| 26 | CORP_SERVS | VARCHAR | 2 | |

| | | | | |
|----|------------|---------|-------|------------|
| 27 | INC_SLAB | VARCHAR | 5 | |
| 28 | NET_WORTH | VARCHAR | 19, 2 | |
| 29 | NW_DATE | VARCHAR | | DD/MM/YYYY |
| 30 | PEP_FLAG | VARCHAR | 2 | |
| 31 | OCC_CODE | VARCHAR | 2 | |
| 32 | OCC_TYPE | VARCHAR | 1 | |
| 33 | EXEMP_CODE | VARCHAR | 2 | |
| 34 | FFI_DRNFE | VARCHAR | 20 | |
| 35 | GIIN_NO | VARCHAR | 19 | |
| 36 | SPR_ENTITY | VARCHAR | 60 | |
| 37 | GIIN_NA | VARCHAR | 3 | |
| 38 | GIIN_EXEMC | VARCHAR | 2 | |
| 39 | NFFE_CATG | VARCHAR | 3 | |
| 40 | ACT_NFE_SC | VARCHAR | 3 | |
| 41 | NATURE_BUS | VARCHAR | 30 | |
| 42 | REL_LISTED | VARCHAR | 70 | |
| 43 | EXCH_NAME | VARCHAR | 2 | |
| 44 | UBO_APPL | VARCHAR | 2 | |
| 45 | UBO_COUNT | VARCHAR | 3 | |

| | | | | |
|----|------------|---------|----|--|
| 46 | UBO_NAME | VARCHAR | 70 | |
| 47 | UBO_PAN | VARCHAR | 10 | |
| 48 | UBO_NATION | VARCHAR | 3 | |
| 49 | UBO_ADD1 | VARCHAR | 50 | |
| 50 | UBO_ADD2 | VARCHAR | 50 | |
| 51 | UBO_ADD3 | VARCHAR | 50 | |
| 52 | UBO_CITY | VARCHAR | 50 | |
| 53 | UBO_PIN | VARCHAR | 6 | |
| 54 | UBO_STATE | VARCHAR | 3 | |
| 55 | UBO_CNTRY | VARCHAR | 4 | |

| | | | | |
|----|--------------------|---------|-----|-------------------------------|
| 56 | UBO_ADD_TY | VARCHAR | 2 | |
| 57 | UBO_CTR | VARCHAR | 4 | |
| 58 | UBO_TIN | VARCHAR | 20 | |
| 59 | UBO_ID_TY | VARCHAR | 2 | |
| 60 | UBO_COB | VARCHAR | 30 | |
| 61 | UBO_DOB | VARCHAR | | |
| 62 | UBO_GENDER | VARCHAR | 1 | |
| 63 | UBO_FR_NAM | VARCHAR | 50 | |
| 64 | UBO_OCC | VARCHAR | 2 | |
| 65 | UBO_OCC_TY | VARCHAR | 2 | |
| 66 | UBO_TEL | VARCHAR | 12 | |
| 67 | UBO_MOBILE | VARCHAR | 12 | |
| 68 | UBO_CODE | VARCHAR | 3 | |
| 69 | UBO_HOL_PC | VARCHAR | 3 | |
| 70 | SDF_FLAG | VARCHAR | 2 | |
| 71 | UBO_DF | VARCHAR | 2 | |
| 72 | AADHAAR_RP | VARCHAR | 30 | |
| 73 | NEW_CHANGE | VARCHAR | 2 | |
| 74 | LOG_NAME | VARCHAR | 30 | |
| 75 | Filler1 | VARCHAR | 30 | |
| 76 | Filler2 | VARCHAR | 30 | |
| 77 | CAMSResponseStatus | VARCHAR | 10 | PENDING , SUCCESS , FAILED |
| 78 | CAMSUploadStatus | VARCHAR | 10 | UPLOADED , NOT UPLOADED |
| 79 | CAMSRemarks | VARCHAR | 200 | |
| 80 | CAMS Member Id | VARCHAR | 10 | |
| 81 | KFINResponseStatus | VARCHAR | 10 | PENDING , SUCCESS , FAILED |
| 82 | KFINUploadStatus | VARCHAR | 10 | UPLOADED , NOT UPLOADED |

| | | | | |
|----|-----------------|---------|-----|------------------------------|
| 83 | KFINRemarks | VARCHAR | 200 | |
| 84 | KFIN Member Id | VARCHAR | 10 | |
| 85 | MemberId | VARCHAR | 10 | |
| 86 | FileName | VARCHAR | 255 | |
| 87 | CreatorId | VARCHAR | 10 | |
| 88 | CreatedDateTime | VARCHAR | | DD/MM/YYYY hh:mm:ss AM/PM |
| 89 | ModifiedBy | VARCHAR | 10 | |
| 90 | ModifiedAt | VARCHAR | | DD/MM/YYYY hh:mm:ss AM/PM |

AOF IMAGE UPLOAD REPORT

PATH:- REPORTS – AOF IMAGE UPLOAD REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARKS |
|-------|---------------------------|------------|--------------|--------------------------|
| 1 | Date | VARCHAR | | DD/MM/YYYY |
| 2 | Member Code | VARCHAR | 11 | |
| 3 | Client Code | VARCHAR | 10 | Unique Client Code (UCC) |
| 4 | Applicant Name | VARCHAR | 70 | |
| 5 | Tax Status | VARCHAR | 2 | |
| 6 | Mode Of Holding | VARCHAR | 2 | |
| 7 | AOF Type | VARCHAR | 10 | NRM/RIA |
| 8 | PAN | VARCHAR | 10 | |
| 9 | PAN TYPE | VARCHAR | 20 | |
| 10 | Time Of Upload | VARCHAR | | HH:MM AM/PM |
| 11 | CAMS Status | VARCHAR | 50 | |
| 12 | CAMS Reason | VARCHAR | 200 | |
| 13 | CAMS Response Upload Date | VARCHAR | | DD/MM/YYYY |

| | | | | |
|----|---------------------------|---------|-----|------------------------|
| 14 | CAMS Member Code | VARCHAR | 10 | |
| 15 | KFIN Status | VARCHAR | 50 | |
| 16 | KFIN Reason | VARCHAR | 200 | |
| 17 | KFIN Response Upload Date | VARCHAR | | DD/MM/YYYY |
| 18 | KFIN Member Code | VARCHAR | 10 | |
| 19 | Created At | VARCHAR | | DD/MM/YYYY HH:MM AM/PM |

BULK UPLOAD - UTR UPLOAD

PATH:- UTILITIES - BULK UPLOAD - UTR UPLOAD

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|---------------|------------|------|--|
| 1 | CLIENT CODE | VARCHAR | 10 | Unique Client Code (UCC) |
| 2 | BANK NAME | VARCHAR | 100 | Investor bank name. |
| 3 | ACCOUNT NO | VARCHAR | 20 | ACCOUNT NO SHOULD BE ONE OF THE BANK ACCOUNT NO MAPPED WITH UCC |
| 4 | IFSC CODE | VARCHAR | 20 | IFSC CODE SHOULD BE ONE OF THE BANK ACCOUNT NO MAPPED WITH UCC AS MENTIONED IN ABOVE FIELD |
| 5 | UTRN NO | VARCHAR | 30 | Bank utr no of the amount Credited to NSE |
| 6 | TRANSFER DATE | DATE | 12 | DATE OF FUND TRANSFER TO NCL (DD-MM-YYYY) |

| | | | | |
|---|----------|---------|----|--|
| 7 | ORDER NO | VARCHAR | 80 | ORDER SHOULD BE A VALID ORDER. IN CASE OF MULTIPLE ORDERS FOR A SINGLE UTRN, MULTIPLE ROWS WILL BE PRESENT IN THE FILE I.E ONE ROW PER ORDER |
|---|----------|---------|----|--|

ORDER ENTRY

PATH:- UTILITIES - BULK UPLOAD - ORDER ENTRY

| S R N O | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|------------------|------------------|------------|------|--|
| 1 | SCHEME CODE | VARCHAR | 30 | Purchase/ Redemption Allowed Flag in scheme master should be "Y" for respective transactions. Amc of scheme should be active. Amc of scheme should be mapped with given member. Member can refer to the scheme master. |
| 2 | PURCHASE/REDEEM | | | P – PURCHASE R – REDEEM |
| 3 | BUY SELL TYPE | | | FRESH/ADDITIONAL Mandatory for Purchase (P). Non-Mandatory for Redemption (R). For redemption, it can be passed as "blank" |
| 4 | CLIENT CODE | VARCHAR | 10 | Unique Client Code (UCC) |
| 5 | DEMAT / PHYSICAL | VARCHAR | 1 | Values : C = CDSL Demat, N = NSDL Demat, P = Physical For Physical Transactions – eLog/AOF/Fatca of the client will be verified |
| 6 | ORDER VAL AMOUNT | NUMERIC | 15,2 | Mandatory in case of purchase as per the minimum and maximum amount mentioned in the scheme master. It's mandatory to mention the |

| | | | | |
|----|---------------------------|---------|------|--|
| | | | | Amount or Qty in redemption AMOUNT FOR PURCHASE / REDEMPTION |
| 7 | FOLIO NO | VARCHAR | 15 | Mandatory in case of Physical, additional purchase and redemption Orders. Non-Mandatory in case of Demat. |
| 8 | REMARKS | VARCHAR | | |
| 9 | KYC FLAG | VARCHAR | 1 | Y OR N |
| 10 | SUB BROKER CODE | VARCHAR | 15 | |
| 11 | EUIN NUMBER | VARCHAR | 10 | MANDATORY FIELD IF EUIN DECLARATION IS Y & EUIN SHOULD START WITH E. 0-9 ALLOWED. |
| 12 | EUIN DECLARATION | CHAR | 1 | Y OR N. MANDATORY FIELD. |
| 13 | MIN REDEMPTION FLAG | VARCHAR | 1 | Y OR N. MANDATORY FIELD. IN CASE OF PURCHASES IT SHOULD BE A N. IN CASE OF ANY REDEMPTION ABOVE 50 UNITS IT SHOULD BE N, IN CASE OF UNITS BELOW 50 UNITS IT SHOULD BE Y. WILL BE N IN CASE OF PHYSICAL. |
| 14 | DPC FLAG | CHAR | 1 | Y/N |
| 15 | ALL UNITS | CHAR | 1 | Y OR N. MANDATORY FIELD IN CASE OF PHYSICAL REDEMPTION TRANSACTIONS. IF THE FLAG IS "Y", THEN THE AMOUNT AND UNITS FIELDS WILL BE BLANK. IN CASE OF DEMAT, KEEP IT AS BLANK. |
| 16 | REDEMPTION UNITS | DECIMAL | 15,3 | |
| 17 | SUB-BROKER ARN | VARCHAR | 20 | NON - MANDATORY FIELD, IT SHOULD START WITH ARN |
| 18 | PG/ BANK REFERENCE NO, | VARCHAR | 25 | |
| 19 | BANK ACCOUNT NO. | VARCHAR | 20 | |

| | | | | |
|----|------------|---------|----|--|
| 20 | MOBILE NO. | NUMBER | 10 | |
| 21 | EMAIL | VARCHAR | 50 | |
| 22 | MANDATE ID | NUMERIC | 13 | |
| 23 | FILLER1 | | | |

ORDER CANCELLATION

PATH:- UTILITIES - BULK UPLOAD - ORDER CANCELLATION

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|-------------|------------|------|---------------------------|
| 1 | CLIENT CODE | VARCHAR | 10 | Unique Client Code (UCC) |
| 2 | ORDER NO | NUMBER | 12 | Order no. To be cancelled |
| 3 | REMARKS | VARCHAR | 200 | CANCEL REMARKS |

SWITCH ORDER ENTRY

PATH:- UTILITIES - BULK UPLOAD - SWITCH ORDER ENTRY

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|------------------|------------|------|--|
| 1 | FROM SCHEME CODE | VARCHAR | 20 | SCHEME CODE |
| 2 | TO SCHEME CODE | VARCHAR | 20 | SCHEME CODE |
| 3 | BUY /SELL TYPE | | | |
| 4 | CLIENT CODE | VARCHAR | 10 | Unique Client Code (UCC) |
| 5 | DEMAT/ NON DEMAT | VARCHAR | 1 | Values: In case of Demat, pass C/N. C for CDSL, N for NSDL, In case of Physical, pass P. |
| 6 | AMOUNT | NUMBER | 16 | If Physical Transaction then Either Units Or Amount is Mandatory |
| 7 | UNITS | NUMBER | 16 | If Demat Transaction then Units is Mandatory and Amount should be blank |

| | | | | |
|----|------------------|---------|-----|--|
| 8 | ALL UNITS | VARCHAR | 1 | Y or N 1. ALL UNITS SHOULD BE "N" WHEN TRANSACTION MODE IS DEMAT 2. 'AMOUNT AND UNITS SHOULD BE BLANK WHEN ALL UNITS IS "Y".' 3. EITHER UNIT OR AMOUNT IS |
| | | | | REQUIRED WHEN ALL UNITS IS "N" |
| 9 | FOLIO NO | VARCHAR | 15 | EXISTING FOLIO NO IF ANY |
| 10 | REMARKS | VARCHAR | 200 | |
| 11 | KYC FLAG | VARCHAR | 1 | Y/N |
| 12 | SUB BROKER CODE | VARCHAR | 15 | NON - MANDATORY FIELD, IT SHOULD START WITH ARN |
| 13 | EUIN NUMBER | NUMBER | 10 | MANDATORY FIELD IF EUIN DECLARATION IS Y & EUIN SHOULD START WITH E. |
| 14 | EUIN DECLARATION | VARCHAR | 1 | Y/N |
| 15 | SUB- BROKER ARN | VARCHAR | 20 | NON - MANDATORY FIELD, IT SHOULD START WITH ARN |
| 16 | MOBILE NO | VARCHAR | 10 | |
| 17 | EMAIL | VARCHAR | 50 | |
| 18 | FILLER1 | | | BLANK |
| 19 | FILLER2 | | | BLANK |
| 20 | FILLER3 | | | BLANK |

STATE MASTER

| STATE CODE | STATE NAME |
|------------|------------------------|
| AN | ANDAMAN & NICOBAR |
| AP | ANDHRA PRADESH |
| AR | ARUNACHAL PRADESH |
| AS | ASSAM |
| BH | BIHAR |
| CH | CHANDIGARH |
| CG | CHHATTISGARH |
| DN | DADRA AND NAGAR HAVELI |
| DD | DAMAN AND DIU |
| GO | GOA |
| GU | GUJARAT |
| HA | HARYANA |
| HP | HIMACHAL PRADESH |
| JM | JAMMU & KASHMIR |
| JK | JHARKHAND |
| KA | KARNATAKA |
| KE | KERALA |
| LD | LAKSHADWEEP |
| MP | MADHYA PRADESH |
| MA | MAHARASHTRA |
| MN | MANIPUR |
| ME | MEGHALAYA |
| MI | MIZORAM |
| NA | NAGALAND |
| ND | NEW DELHI |
| OR | ODISHA |
| OH | OTHERS |
| PO | PONDICHERRY |
| PU | PUNJAB |
| RA | RAJASTHAN |

| | |
|----|---------------|
| SI | SIKKIM |
| TN | TAMIL NADU |
| TG | TELANGANA |
| TR | TRIPURA |
| UP | UTTER PRADESH |
| UL | UTTARAKHAND |
| WB | WEST BENGAL |

COUNTRY MASTER

| CODE | COUNTRY NAME |
|------|---------------------|
| 001 | AFGHANISTAN |
| 002 | ALAND ISLANDS |
| 003 | ALBANIA |
| 004 | ALGERIA |
| 005 | AMERICAN SAMOA |
| 006 | ANDORRA |
| 007 | ANGOLA |
| 008 | ANGUILLA |
| 009 | ANTARCTICA |
| 010 | ANTIGUA AND BARBUDA |
| 011 | ARGENTINA |
| 012 | ARMENIA |
| 013 | ARUBA |
| 014 | AUSTRALIA |
| 015 | AUSTRIA |
| 016 | AZERBAIJAN |
| 017 | BAHAMAS |
| 018 | BAHRAIN |
| 019 | BANGLADESH |
| 020 | BARBADOS |
| 021 | BELARUS |
| 022 | BELGIUM |

| | |
|-----|--------------------------------|
| 023 | BELIZE |
| 024 | BENIN |
| 025 | BERMUDA |
| 026 | BHUTAN |
| 027 | BOLIVIA |
| 028 | BOSNIA AND HERZEGOVINA |
| 029 | BOTSWANA |
| 030 | BOUVET ISLAND |
| 031 | BRAZIL |
| 032 | BRITISH INDIAN OCEAN TERRITORY |
| 033 | BRUNEI DARUSSALAM |
| 034 | BULGARIA |

| | |
|-----|--------------|
| 035 | BURKINA FASO |
|-----|--------------|

| | |
|-----|--------------------------|
| 036 | BURUNDI |
| 037 | CAMBODIA |
| 038 | CAMEROON |
| 039 | CANADA |
| 040 | CAPE VERDE |
| 041 | CAYMAN ISLANDS |
| 042 | CENTRAL AFRICAN REPUBLIC |
| 043 | CHAD |
| 044 | CHILE |
| 045 | CHINA |
| 046 | CHRISTMAS ISLAND |
| 047 | COCOS (KEELING) ISLANDS |
| 048 | COLOMBIA |
| 049 | COMOROS |
| 050 | CONGO |

| | |
|-----|---------------------------------------|
| 051 | CONGO, THE DEMOCRATIC REPUBLIC OF THE |
| 052 | COOK ISLANDS |
| 053 | COSTA RICA |
| 054 | COTE D'IVOIRE |
| 055 | CROATIA |
| 056 | CUBA |
| 057 | CYPRUS |
| 058 | CZECH REPUBLIC |
| 059 | DENMARK |
| 060 | DJIBOUTI |
| 061 | DOMINICA |
| 062 | DOMINICAN REPUBLIC |
| 063 | ECUADOR |
| 064 | EGYPT |
| 065 | EL SALVADOR |
| 066 | EQUATORIAL GUINEA |
| 067 | ERITREA |
| 068 | ESTONIA |
| 069 | ETHIOPIA |
| 070 | FALKLAND ISLANDS (MALVINAS) |
| 071 | FAROE ISLANDS |
| 072 | FIJI |

| | |
|-----|-----------------------------|
| 073 | FINLAND |
| 074 | FRANCE |
| 075 | FRENCH GUIANA |
| 076 | FRENCH POLYNESIA |
| 077 | FRENCH SOUTHERN TERRITORIES |
| 078 | GABON |

| | |
|-----|-----------------------------------|
| 079 | GAMBIA |
| 080 | GEORGIA |
| 081 | GERMANY |
| 082 | GHANA |
| 083 | GIBRALTAR |
| 084 | GREECE |
| 085 | GREENLAND |
| 086 | GRENADA |
| 087 | GUADELOUPE |
| 088 | GUAM |
| 089 | GUATEMALA |
| 090 | GUERNSEY |
| 091 | GUINEA |
| 092 | GUINEA-BISSAU |
| 093 | GUYANA |
| 094 | HAITI |
| 095 | HEARD ISLAND AND MCDONALD ISLANDS |
| 096 | HOLY SEE (VATICAN CITY STATE) |
| 097 | HONDURAS |
| 098 | HONG KONG |
| 099 | HUNGARY |
| 100 | ICELAND |
| 101 | INDIA |
| 102 | INDONESIA |
| 103 | IRAN, ISLAMIC REPUBLIC OF |
| 104 | IRAQ |
| 105 | IRELAND |
| 106 | ISLE OF MAN |
| 107 | ISRAEL |

| | |
|-----|---------|
| 108 | ITALY |
| 109 | JAMAICA |
| 110 | JAPAN |

| | |
|-----|--|
| 111 | JERSEY |
| 112 | JORDAN |
| 113 | KAZAKHSTAN |
| 114 | KENYA |
| 115 | KIRIBATI |
| 116 | KOREA, DEMOCRATIC PEOPLES REPUBLIC OF |
| 117 | KOREA, REPUBLIC OF |
| 118 | KUWAIT |
| 119 | KYRGYZSTAN |
| 120 | LAO PEOPLES DEMOCRATIC REPUBLIC |
| 121 | LATVIA |
| 122 | LEBANON |
| 123 | LESOTHO |
| 124 | LIBERIA |
| 125 | LIBYAN ARAB JAMAHIRIYA |
| 126 | LIECHTENSTEIN |
| 127 | LITHUANIA |
| 128 | LUXEMBOURG |
| 129 | MACAO |
| 130 | MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF |
| 131 | MADAGASCAR |
| 132 | MALAWI |
| 133 | MALAYSIA |
| 134 | MALDIVES |
| 135 | MALI |
| 136 | MALTA |
| 137 | MARSHALL ISLANDS |

| | |
|-----|---------------------------------|
| 138 | MARTINIQUE |
| 139 | MAURITANIA |
| 140 | MAURITIUS |
| 141 | MAYOTTE |
| 142 | MEXICO |
| 143 | MICRONESIA, FEDERATED STATES OF |
| 144 | MOLDOVA, REPUBLIC OF |
| 145 | MONACO |
| 146 | MONGOLIA |
| 147 | MONTSERRAT |
| 148 | MOROCCO |

| | |
|-----|-------------|
| 149 | MOZAMBIQUE |
| 150 | MYANMAR |
| 151 | NAMIBIA |
| 152 | NAURU |
| 153 | NEPAL |
| 154 | NETHERLANDS |

| | |
|-----|--------------------------|
| 155 | NETHERLANDS ANTILLES |
| 156 | NEW CALEDONIA |
| 157 | NEW ZEALAND |
| 158 | NICARAGUA |
| 159 | NIGER |
| 160 | NIGERIA |
| 161 | NIUE |
| 162 | NORFOLK ISLAND |
| 163 | NORTHERN MARIANA ISLANDS |
| 164 | NORWAY |
| 165 | OMAN |

| | |
|-----|---------------------------------|
| 166 | PAKISTAN |
| 167 | PALAU |
| 168 | PALESTINIAN TERRITORY, OCCUPIED |
| 169 | PANAMA |
| 170 | PAPUA NEW GUINEA |
| 171 | PARAGUAY |
| 172 | PERU |
| 173 | PHILIPPINES |
| 174 | PITCAIRN |
| 175 | POLAND |
| 176 | PORTUGAL |
| 177 | PUERTO RICO |
| 178 | QATAR |
| 179 | REUNION |
| 180 | ROMANIA |
| 181 | RUSSIAN FEDERATION |
| 182 | RWANDA |
| 183 | SAINT HELENA |
| 184 | SAINT KITTS AND NEVIS |
| 185 | SAINT LUCIA |
| 186 | SAINT PIERRE AND MIQUELON |

| | |
|-----|----------------------------------|
| 187 | SAINT VINCENT AND THE GRENADINES |
| 188 | SAMOA |
| 189 | SAN MARINO |
| 190 | SAO TOME AND PRINCIPE |
| 191 | SAUDI ARABIA |
| 192 | SENEGAL |

| | |
|-----|--|
| 193 | SERBIA AND MONTENEGRO |
| 194 | SEYCHELLES |
| 195 | SIERRA LEONE |
| 196 | SINGAPORE |
| 197 | SLOVAKIA |
| 198 | SLOVENIA |
| 199 | SOLOMON ISLANDS |
| 200 | SOMALIA |
| 201 | SOUTH AFRICA |
| 202 | SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS |
| 203 | SPAIN |
| 204 | SRI LANKA |
| 205 | SUDAN |
| 206 | SURINAME |
| 207 | SVALBARD AND JAN MAYEN |
| 208 | SWAZILAND |
| 209 | SWEDEN |
| 210 | SWITZERLAND |
| 211 | SYRIAN ARAB REPUBLIC |
| 212 | TAIWAN, PROVINCE OF CHINA |
| 213 | TAJKISTAN |
| 214 | TANZANIA, UNITED REPUBLIC OF |

| | |
|-----|--------------------------------------|
| 215 | THAILAND |
| 216 | TIMOR-LESTE |
| 217 | TOGO |
| 218 | TOKELAU |
| 219 | TONGA |
| 220 | TRINIDAD AND TOBAGO |
| 221 | TUNISIA |
| 222 | TURKEY |
| 223 | TURKMENISTAN |
| 224 | TURKS AND CAICOS ISLANDS |
| 225 | TUVALU |
| 226 | UGANDA |
| 227 | UKRAINE |
| 228 | UNITED ARAB EMIRATES |
| 229 | UNITED KINGDOM |
| 230 | UNITED STATES OF AMERICA |
| 231 | UNITED STATES MINOR OUTLYING ISLANDS |
| 232 | URUGUAY |
| 233 | UZBEKISTAN |
| 234 | VANUATU |
| 235 | VENEZUELA |
| 236 | VIET NAM |
| 237 | VIRGIN ISLANDS, BRITISH |
| 238 | VIRGIN ISLANDS, U.S. |
| 239 | WALLIS AND FUTUNA |
| 240 | WESTERN SAHARA |
| 241 | YEMEN |
| 242 | ZAMBIA |
| 243 | ZIMBABWE |

VIEW ORDER

PATH : MEMBER DESK – MAIN MAIN – VIEW ORDER

| SR NO | FIELD NAME | FIELD TYPE | SIZE | DESCRIPTION |
|-------|-----------------|------------|------|--------------------------|
| 1 | ACTIVITY | | | |
| 2 | REQUEST DATE | DATE | | DD-MM-YY |
| 3 | ORDER NO | NUMERIC | 12 | |
| 4 | SCHEME CODE | VARCHAR | 30 | |
| 5 | ISIN | VARCHAR | 12 | |
| 6 | SCHEME NAME | VARCHAR | 200 | |
| 7 | CLIENT | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 8 | CLIENT NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 9 | MEMBER | NUMERIC | 11 | |
| 10 | P/R | CHAR | 1 | TRANSACTION TYPE |
| 11 | ALL UNITS | CHAR | 1 | |
| 12 | AMOUNT | NUMERIC | 15 | |
| 13 | QTY | NUMERIC | 15 | |
| 14 | TRXN TYPE | | | F=FRESH, A=ADDITIONAL |
| 15 | DP/FOLIO NO. | VARCHAR | 20 | |
| 16 | SETTLEMENT MODE | CHAR | 1 | TRANSACTION MODE |

PROVISIONAL ORDER REPORT

PATH: MEMBER DESK – REPORT – PROVISIONAL ORDER REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | DESCRIPTION |
|-------|-------------|------------|------|-------------|
| 1 | MEMBER CODE | NUMERIC | 11 | MEMBER ID |
| 2 | DATE | DATE | | DD/MM/YYYY |
| 3 | TIME | DATE | | HH:MM:SS |

| | | | | |
|---|----------------|---------|----|--------------------------|
| 4 | ORDER NO. | NUMERIC | 12 | ORDER ID |
| 5 | SETTLEMENT NO. | NUMERIC | 11 | REQUEST SETTLEMENT ID |
| 6 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |

| | | | | |
|----|-----------------|---------|-----|--|
| 7 | CLIENT NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 8 | SCHEME CODE | VARCHAR | 30 | SCHEME CODE |
| 9 | SCHEME NAME | VARCHAR | 200 | SCHEME NAME |
| 10 | ISIN | VARCHAR | 12 | ISIN |
| 11 | BUY/SELL | CHAR | 1 | TRANSACTION TYPE |
| 12 | AMOUNT | NUMBER | 15 | AMOUNT |
| 13 | UNITS | CHAR | 1 | UNITS |
| 14 | DP TRANS. | CHAR | 1 | TRANSACTION MODE |
| 15 | DP/FOLIO NO | VARCHAR | 20 | DP/FOLIO NO |
| 16 | FOLIO NO | VARCHAR | 20 | |
| 17 | ENTRY BY | NUMERIC | 11 | LOGIN ID |
| 18 | ORDER STATUS | CHAR | 1 | VALID/ INVALID |
| 19 | ORDER REMARK | VARCHAR | 100 | PROVISIONAL REMARK |
| 20 | INTERNAL REF NO | VARCHAR | 10 | SIP REFERENCE NO |
| 21 | SETT TYPE | VARCHAR | 10 | SETTLEMENT TYPE |
| 22 | ORDER TYPE | VARCHAR | 3 | NRM/SIP/XSIP/ISIP/STP/AMCSTP/SWP/SI/SO |
| 23 | SIP REGN NO | NUMERIC | 15 | SIP REGISTRATION NO. |
| 24 | SIP REGN DATE | DATE | | DD/MM/YYYY |
| 25 | SUBBR CODE | VARCHAR | 50 | SUB BROKER CODE |
| 26 | EUIN | VARCHAR | 10 | EUIN CODE |

| | | | | |
|----|--|---------|-----|--|
| 27 | EUIN DECL | CHAR | 1 | Y/N |
| 28 | ALL UNITS | CHAR | 1 | Y/N |
| 29 | DPC | CHAR | 1 | Y/N |
| 30 | SUB ORDER TYPE | VARCHAR | 6 | NRM/SWITCH/SPREAD |
| 31 | FIRST ORDER | CHAR | 1 | Y/N |
| 32 | PURCHASE / REDEEM(FRESH/A DDITIONAL) | CHAR | 1 | FRESH/ ADDITIONAL |
| 33 | MEMBER REMARKS | VARCHAR | 255 | |
| 34 | KYC FLAG | CHAR | 1 | Y OR N. MANDATORY IN CASE OF ANY PURCHASES ABOVE RS.50000/ |
| 35 | MINIMUM REDEMPTION FLAG | CHAR | 1 | Y OR N. MANDATORY FIELD. IN CASE OF PURCHASES IT SHOULD BE A N. IN CASE OF ANY REDEMPTION ABOVE 50 UNITS IT SHOULD BE N, IN CASE OF UNITS BELOW 50 UNITS IT SHOULD BE Y. WILL BE N IN CASE OF PHYSICAL. |
| 36 | SUB- BROKER ARN CODE | VARCHAR | 20 | NON - MANDATORY FIELD, IT SHOULD START WITH ARN |
| 37 | PG/BANK REF. NO. | VARCHAR | 25 | BANK REF NO. |
| 38 | BANK ACCOUNT NO. | VARCHAR | 20 | |
| 39 | MOBILE NO. | VARCHAR | 10 | |
| 40 | EMAIL | VARCHAR | 50 | |
| 41 | MANDATE ID | NUMERIC | 11 | |
| 42 | SECOND HOLDER EMAIL | VARCHAR | 50 | |
| 43 | SECOND HOLDER MOBILE | VARCHAR | 10 | |
| 44 | THIRD HOLDER EMAIL | VARCHAR | 50 | |

| | | | | |
|----|---------------------|---------|----|--|
| 45 | THIRD HOLDER MOBILE | VARCHAR | 10 | |
|----|---------------------|---------|----|--|

ORDER STATUS REPORT

PATH : MEMBER DESK – REPORTS – ORDER STATUS REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARKS |
|-------|----------------|------------|--------------|--------------------------------------|
| 1 | MEMBER CODE | NUMERIC | 11 | MEMBER ID |
| 2 | ORDER DATE | DATE | | DD/MM/YYYY |
| 3 | ORDER TIME | DATE | | HH:MM:SS |
| 4 | ORDER NO. | NUMERIC | 12 | ORDER ID |
| 5 | SETTLEMENT NO. | | | 0901 - FINANCIAL YEAR000N - SR NO |
| 6 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |

| | | | | |
|---|-------------|---------|-----|----------------------|
| 7 | CLIENT NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 8 | SCHEME CODE | VARCHAR | 30 | SCHEME CODE |
| 9 | SCHEME NAME | VARCHAR | 200 | SCHEME NAME |

| | | | | |
|----|--------------|---------|-----|--------------------------------|
| 10 | ISIN | VARCHAR | 12 | ISIN |
| 11 | BUY/SELL | CHAR | 1 | P - PURCHASE R - REDEMPTION |
| 12 | AMOUNT | NUMBER | 15 | |
| 13 | UNITS | CHAR | 1 | |
| 14 | DP TRANS. | CHAR | 1 | DEMAT / PHYSICAL |
| 15 | DP/FOLIO NO | VARCHAR | 20 | DP/FOLIO NO |
| 16 | FOLIO NO | VARCHAR | 20 | |
| 17 | ENTRY BY | NUMERIC | 11 | LOGIN ID |
| 18 | ORDER STATUS | CHAR | 1 | VALID / INVALID |
| 19 | ORDER REMARK | VARCHAR | 100 | PROVISIONAL REMARK |

| | | | | |
|----|--|---------|-----|--|
| 20 | INTERNAL REF NO | VARCHAR | 10 | SIP REFERENCE NO |
| 21 | SETT TYPE | VARCHAR | 10 | SETTLEMENT TYPE |
| 22 | ORDER TYPE | VARCHAR | 3 | NRM/SIP/XSIP/ISIP/STP/AMCSTP/SWP/SI/SO |
| 23 | SIP REGN NO | NUMERIC | 15 | SIP REGISTRATION NO. |
| 24 | SIP REGN DATE | DATE | | DD/MM/YYYY |
| 25 | SUBBR CODE | VARCHAR | 50 | SUB BROKER CODE |
| 26 | EUIN | VARCHAR | 10 | EUIN SHOULD START WITH E |
| 27 | EUIN DECL | CHAR | 1 | Y/N |
| 28 | ALL UNITS | CHAR | 1 | Y/N |
| 29 | DPC | CHAR | 1 | Y/N |
| 30 | SUB ORDER TYPE | VARCHAR | 6 | NRM/SWITCH/SPREAD |
| 31 | FIRST ORDER | CHAR | 1 | Y/N |
| 32 | PURCHASE / REDEEM(FRESH/AD DITIONAL) | CHAR | 1 | FRESH/ ADDITIONAL |
| 33 | MEMBER REMARKS | VARCHAR | 255 | |
| 34 | KYC FLAG | CHAR | 1 | Y OR N. MANDATORY IN CASE OF ANY PURCHASES ABOVE RS.50000/- |
| 35 | MINIMUM REDEMPTION FLAG | CHAR | 1 | Y OR N. MANDATORY FIELD. IN CASE OF PURCHASES IT SHOULD BE A N. IN CASE OF ANY REDEMPTION ABOVE 50 UNITS IT SHOULD BE N, IN CASE OF UNITS BELOW 50 UNITS IT SHOULD BE Y. WILL BE N IN CASE OF PHYSICAL. |
| 36 | SUB BROKER ARN CODE | VARCHAR | 50 | NON - MANDATORY FIELD, IT SHOULD START WITH ARN |
| 37 | PG/BANK REF. NO. | VARCHAR | 25 | BANK REF NO. |

| | | | | |
|----|----------------------|---------|----|--|
| 38 | BANK ACCOUNT NO. | VARCHAR | 20 | |
| 39 | MOBILE NO. | VARCHAR | 10 | |
| 40 | EMAIL | VARCHAR | 50 | |
| 41 | MANDATE ID | NUMERIC | 11 | |
| 42 | SECOND HOLDER EMAIL | VARCHAR | 50 | |
| 43 | SECOND HOLDER MOBILE | VARCHAR | 10 | |
| 44 | THIRD HOLDER EMAIL | VARCHAR | 50 | |
| 45 | THIRD HOLDER MOBILE | VARCHAR | 10 | |

SWITCH ORDER REPORT

PATH : MEMBER DESK – REPORTS – SWITCH ORDER REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|-------------------|------------|------|--------------------------|
| 1 | TRANSACTION DATE | DATE | | DD/MM/YYYY |
| 2 | ORDER NO | NUMERIC | 12 | |
| 3 | MEMBER CODE | NUMERIC | 11 | |
| 4 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 5 | FROM AMC | VARCHAR | 255 | NAME OF AMC |
| 6 | TO AMC | VARCHAR` | 255 | NAME OF AMC |
| 7 | TRANSACTION TYPE | VARCHAR | 1 | DEMAT/ NON DEMAT |
| 8 | BUY/SELL TYPE | VARCHAR | 10 | FRESH/ADDITIONAL |
| 9 | SWITCH OUT SCHEME | VARCHAR | 200 | SCHEME NAME |
| 10 | SWITCH IN SCHEME | VARCHAR | 200 | SCHEME NAME |
| 11 | SWITCH AMOUNT | NUMERIC | 15 | AMOUNT |
| 12 | SWITCH UNITS | NUMERIC | 15 | UNITS |

| | | | | |
|----|---------------------|---------|-----|------------------------|
| 13 | ALL UNITS | VARCHAR | 1 | |
| 14 | FOLIO NO | VARCHAR | 20 | |
| 15 | REMARK | VARCHAR | 200 | |
| 16 | SUB-BROKER ARN CODE | VARCHAR | 20 | |
| 17 | EUINFLAG | VARCHAR | 1 | EUIN DECLARATIOJN FLAG |
| 18 | EUINNO | VARCHAR | 10 | |
| 19 | MOBILE NO | VARCHAR | 10 | |
| 20 | EMAIL ID | VARCHAR | 50 | |
| 21 | FILLER 1 | | | BLANK FIELD |
| 22 | FILLER 2 | | | BLANK FIELD |
| 23 | FILLER 3 | | | BLANK FIELD |

SETTLEMENT CALENDAR

PATH:- DOWNLOADS – SETTLEMENT CALENDAR REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARK |
|-------|--------------------|------------|--------------|---------------------------------------|
| 1 | SETTLEMENT TYPE | VARCHAR | 10 | MF - MUTUAL FUND |
| 2 | SETTLEMENT NO | NUMBER | 11 | 0910 - FINANCIAL YEAR 0001 - SR NO |
| 3 | TRADING DATE | DATE | | DD-MM-YYYY |
| 4 | FUNDS PAY IN DATE | DATE | | DD-MM-YYYY |
| 5 | SEC PAY N DATE | DATE | | DD-MM-YYYY |
| 6 | FUNDS PAY OUT DATE | DATE | | DD-MM-YYYY |
| 7 | SEC PAY OUT DATE | DATE | | DD-MM-YYYY |

SCHEME MASTER REPORT - DEMAT SCHEME MASTER

PATH : MEMBER DESK – DOWNLOADS -- SCHEME MASTER REPORT

| SR NO. | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|--------|-----------------------------|------------|------|-------------------------------|
| 1 | UNIQUE NO | NUMERIC | 11 | |
| 2 | SCHEME CODE | VARCHAR | 30 | |
| 3 | RTA SCHEME CODE | VARCHAR | 10 | |
| 4 | AMC SCHEME CODE | VARCHAR | 10 | |
| 5 | ISIN | VARCHAR | 12 | |
| 6 | AMC CODE | VARCHAR | 100 | |
| 7 | SCHEME TYPE | VARCHAR | 25 | |
| 8 | SCHEME PLAN | VARCHAR | 10 | IF D THEN DIRECT ELSE REGULAR |
| 9 | SCHEME NAME | VARCHAR | 200 | |
| 10 | PURCHASE ALLOWED | VARCHAR | 1 | |
| 11 | PURCHASE TRANSACTION MODE | VARCHAR | 2 | |
| 12 | MINIMUM PURCHASE AMOUNT | NUMERIC | 20 | |
| 13 | ADDITIONAL PURCHASE AMOUNT | NUMERIC | 12 | |
| 14 | MAXIMUM PURCHASE AMOUNT | NUMERIC | 12 | |
| 15 | PURCHASE AMOUNT MULTIPLIER | NUMERIC | 12 | |
| 16 | PURCHASE CUTOFF TIME | VARCHAR | 8 | HH:MM:SS |
| 17 | REDEMPTION ALLOWED | NUMERIC | 1 | IF 1 THEN Y ELSE N |
| 18 | REDEMPTION TRANSACTION MODE | VARCHAR | 2 | |

| | | | | |
|----|-----------------------------|---------|----|--------------------|
| 19 | MINIMUM REDEMPTION QTY | NUMERIC | 12 | BLANK |
| 20 | REDEMPTION QTY MULTIPLIER | NUMERIC | 12 | |
| 21 | MAXIMUM REDEMPTION QTY | NUMERIC | 12 | |
| 22 | REDEMPTION AMOUNT - MINIMUM | NUMERIC | 12 | |
| 23 | REDEMPTION AMOUNT - MAXIMUM | NUMERIC | 12 | |
| 24 | REDEMPTION AMOUNT MULTIPLE | NUMERIC | 12 | |
| 25 | REDEMPTION CUTOFF TIME | VARCHAR | 8 | HH:MM:SS |
| 26 | RTA AGENT CODE | VARCHAR | 50 | |
| 27 | AMC ACTIVE FLAG | NUMERIC | 1 | IF 1 THEN Y ELSE N |
| 28 | DIVIDEND REINVESTMENT FLAG | NUMERIC | 1 | IF 1 THEN Y ELSE N |
| 29 | SIP FLAG | NUMERIC | 1 | IF 1 THEN Y ELSE N |
| 30 | STP FLAG | NUMERIC | 1 | IF 1 THEN Y ELSE N |
| 31 | SWP FLAG | NUMERIC | 1 | IF 1 THEN Y ELSE N |
| 32 | SWITCH FLAG | VARCHAR | 1 | IF 1 THEN Y ELSE N |
| 33 | SETTLEMENT TYPE | VARCHAR | 5 | |
| 34 | AMC_IND | VARCHAR | 3 | |
| 35 | FACE VALUE | NUMERIC | 11 | |
| 36 | START DATE | DATE | | DD-MM-YYYY |
| 37 | END DATE | DATE | | DD-MM-YYYY |
| 38 | EXIT LOAD FLAG | NUMERIC | 1 | |

| | | | | |
|----|----------------------|---------|-----|-------|
| 39 | EXIT LOAD | VARCHAR | 500 | |
| 40 | LOCK IN PERIOD FLAG | NUMERIC | 1 | |
| 41 | LOCK IN PERIOD | NUMERIC | 5 | |
| 42 | CHANNEL PARTNER CODE | VARCHAR | 10 | |
| 43 | REOPENING DATE | DATE | | BLANK |

SCHEME MASTER REPORT - SIP SCHEME MASTER

PATH : MEMBER DESK – DOWNLOADS – SCHEME MASTER REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|--------------------------------|------------|------|------------------|
| 1 | AMC CODE | VARCHAR | 100 | |
| 2 | AMC NAME | VARCHAR | 255 | |
| 3 | SCHEME CODE | VARCHAR | 30 | |
| 4 | SCHEME NAME | VARCHAR | 200 | |
| 5 | SIP TRANSACTION MODE | CHAR | 1 | TRANSACTION MODE |
| 6 | SIP FREQUENCY | VARCHAR | 15 | |
| 7 | SIP DATES | VARCHAR | 100 | |
| 8 | SIP MINIMUM GAP | NUMERIC | 5 | |
| 9 | SIP MAXIMUM GAP | NUMERIC | 5 | |
| 10 | SIP INSTALLMENT GAP | NUMERIC | 5 | |
| 11 | SIP STATUS | VARCHAR | 1 | |
| 12 | SIP MINIMUM INSTALLMENT AMOUNT | NUMERIC | 12,2 | |
| 13 | SIP MAXIMUM INSTALLMENT AMOUNT | NUMERIC | 12,2 | |
| 14 | SIP MULTIPLIER AMOUNT | NUMERIC | 5 | |

| | | | | |
|----|------------------------------------|---------|----|-----------|
| 15 | SIP MINIMUM INSTALLMENT NUMBERS | NUMERIC | 5 | |
| 16 | SIP MAXIMUM INSTALLMENT NUMBERS | NUMERIC | 5 | |
| 17 | SCHEME ISIN | VARCHAR | 12 | |
| 18 | SCHEME TYPE | VARCHAR | 25 | |
| 19 | PAUSE FLAG | | | DEFAULT N |
| 20 | PAUSE MINIMUM INSTALLMENTS | | | BLANK |
| 21 | PAUSE MAXIMUM INSTALLMENTS | | | BLANK |
| 22 | PAUSE MODIFICATION COUNT | | | BLANK |
| 23 | FILLER 1 | | | BLANK |
| 24 | FILLER 2 | | | BLANK |
| 25 | FILLER 3 | | | BLANK |
| 26 | FILLER 4 | | | BLANK |
| 27 | FILLER 5 | | | BLANK |

SCHEME MASTER REPORT - STP SCHEME MASTER

PATH : MEMBER DESK – DOWNLOADS – SCHEME MASTER REPORT

| SR NO. | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|--------|-----------------|------------|------|---------|
| 1 | AMC CODE | VARCHAR | 100 | |
| 2 | AMC NAME | VARCHAR | 255 | |
| 3 | NSE SCHEME CODE | VARCHAR | 30 | |
| 4 | SCHEME NAME | VARCHAR | 200 | |
| 5 | SCHEME ISIN | VARCHAR | 12 | |
| 6 | SCHEME TYPE | VARCHAR | 25 | |

| | | | | |
|----|-------------------------------------|---------|------|--|
| 7 | ASTP TRANSACTION MODE | VARCHAR | 2 | |
| 8 | ASTP IN MINIMUM INSTALLMENT AMOUNT | NUMERIC | 12,2 | |
| 9 | ASTP IN MAXIMUM INSTALLMENT AMOUNT | NUMERIC | 12,2 | |
| 10 | ASTP IN MULTIPLIER AMOUNT | NUMERIC | 5 | |
| 11 | ASTP OUT MINIMUM INSTALLMENT AMOUNT | NUMERIC | 12,2 | |
| 12 | ASTP OUT MAXIMUM INSTALLMENT AMOUNT | NUMERIC | 12,2 | |
| 13 | ASTP OUT MULTIPLIER AMOUNT | NUMERIC | 5 | |
| 14 | ASTP MINIMUM INSTALLMENT UNITS | NUMERIC | 12,2 | |
| 15 | ASTP MAXIMUM INSTALLMENT UNITS | NUMERIC | 12,2 | |
| 16 | ASTP MULTIPLIER UNITS | NUMERIC | 5 | |
| 17 | ASTP MINIMUM INSTALLMENT NUMBERS | NUMERIC | 5 | |
| 18 | ASTP MAXIMUM INSTALLMENT NUMBERS | NUMERIC | 5 | |
| 19 | ASTP REG IN | NUMERIC | 1 | |
| 20 | ASTP REG OUT | NUMERIC | 1 | |
| 21 | ASTP FREQUENCY | VARCHAR | 15 | |
| 22 | ASTP DATES | VARCHAR | 100 | |
| 23 | ASTP MINIMUM GAP | NUMERIC | 5 | |
| 24 | ASTP MAXIMUM GAP | NUMERIC | 5 | |
| 25 | ASTP INSTALLMENT GAP | NUMERIC | 5 | |
| 26 | ASTP STATUS | VARCHAR | 1 | |

SCHEME MASTER REPORT - SWP SCHEME MASTER

PATH : MEMBER DESK – DOWNLOADS – SCHEME MASTER REPORT

| SR NO. | FIELD NAME | FIELD TYPE | SIZE |
|--------|---------------------------------|------------|------|
| 1 | AMC CODE | VARCHAR | 100 |
| 2 | AMC NAME | VARCHAR | 255 |
| 3 | NSE SCHEME CODE | VARCHAR | 30 |
| 4 | SCHEME NAME | VARCHAR | 200 |
| 5 | SCHEME ISIN | VARCHAR | 12 |
| 6 | SCHEME TYPE | VARCHAR | 25 |
| 7 | SWP TRANSACTION MODE | VARCHAR | 2 |
| 8 | SWP MINIMUM INSTALLMENT AMOUNT | NUMERIC | 12,2 |
| 9 | SWP MAXIMUM INSTALLMENT AMOUNT | NUMERIC | 12,2 |
| 10 | SWP MULTIPLIER AMOUNT | NUMERIC | 5 |
| 11 | SWP MINIMUM INSTALLMENT UNITS | NUMERIC | 12,3 |
| 12 | SWP MAXIMUM INSTALLMENT UNITS | NUMERIC | 12,3 |
| 13 | SWP MULTIPLIER UNITS | NUMERIC | 5 |
| 14 | SWP MINIMUM INSTALLMENT NUMBERS | NUMERIC | 5 |
| 15 | SWP MAXIMUM INSTALLMENT NUMBERS | NUMERIC | 5 |
| 16 | SWP FREQUENCY | VARCHAR | 15 |
| 17 | SWP DATES | VARCHAR | 100 |
| 18 | SWP MINIMUM GAP | NUMERIC | 5 |
| 19 | SWP MAXIMUM GAP | NUMERIC | 5 |
| 20 | SWP INSTALLMENT GAP | NUMERIC | 5 |
| 21 | SWP STATUS | VARCHAR | 1 |

NAV MASTER

PATH:- DOWNLOADS – NAV REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARK |
|-------|-------------|------------------------|--------------|-------------------|
| 1 | NAV Date | Datetime YYYY-MM-DD | 10 | |
| 2 | SCHEME CODE | VARCHAR | 30 | VALID SCHEME CODE |
| 3 | SCHEME NAME | VARCHAR | 200 | |

| | | | | |
|---|--------------------|---------|----|--|
| 4 | RTA SCHEME CODE | VARCHAR | 10 | |
| 5 | DIV. REINVEST FLAG | VARCHAR | 1 | Y – DIVIDEND REINVESTMENT N – DIVIDEND PAYOUT Z – FOR OTHERS INCLUDING GROWTH / BONUS ETC. |
| 6 | ISIN | VARCHAR | 12 | |
| 7 | NAV VALUE | NUMBER | 14 | |
| 8 | RTA CODE | VARCHAR | 10 | |

SIP BULK UPLOAD (SIP REGISTRATION)

PATH - MEMBER DESK – SIP – SIP BULK UPLOAD

| SR NO. | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|--------|--------------------|------------|------|---|
| 1 | AMC CODE | VARCHAR | 50 | EXCHANGE AMC CODE |
| 2 | SCH CODE | VARCHAR | 20 | EXCHANGE SCHEME CODE |
| 3 | CLIENT CODE | VARCHAR | 10 | VALID CLIENT CODE (UCC) |
| 4 | INTERNAL REF NO. | VARCHAR | 10 | SIP REFERENCE NO |
| 5 | TRANS MODE | VARCHAR | 1 | D =DEMAT, P = PHYSICAL |
| 6 | DP TXN MODE | VARCHAR | 1 | C = CDSL, N = NSDL, P = PHYSICAL |
| 7 | START DATE | DATE | | DD/MM/YYYY |
| 8 | FREQUENCY TYPE | VARCHAR | 20 | WEEKLY/ MONTHLY/ QUARTERLY/ SEMI-ANNUALLY /ANNUALLY/ DAILY |
| 9 | FREQUENCY ALLOWED | | | 1= ROLLING |
| 10 | INSTALLMENT AMOUNT | NUMERIC | 12,2 | AMOUNT |
| 11 | STATUS | NUMBER | 11 | 1=ACTIVE, 0=INACTIVE |
| 12 | MEMBER CODE | NUMBER | 20 | MEMBER CODE |
| 13 | FOLIO NO. | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |

| | | | | |
|----|-----------------------|---------|-----|--|
| 14 | SIP REMARKS | VARCHAR | 100 | |
| 15 | INSTALLMENT NO. | NUMBER | | NUMBER OF INSTALLMENTS |
| 16 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |
| 17 | SUB BROKER CODE | VARCHAR | 15 | SUB BROKER CODE |
| 18 | EUIN NUMBER | VARCHAR | 10 | STARTS WITH E |
| 19 | EUIN DECLARATION | VARCHAR | 1 | EUIN FLAG |
| 20 | DPC FLAG | VARCHAR | 1 | DPC FLAG ONLY Y FOR DEMAT |
| 21 | SUB- BROKER ARN | VARCHAR | 20 | SUB BROKER ARN CODE |
| 22 | END DATE | DATE | 10 | END DATE MUST BE SAME AS USER ENTERED(I.E. IN DAILY IF END DATE IS WEEKEND THEN KEEP AS IT IS) |
| 23 | PRIMARY HOLDER MOBILE | VARCHAR | 10 | MOBILE NO |
| 24 | PRIMARY HOLDER EMAIL | VARCHAR | 50 | EMAIL ID |
| 25 | FILLER 1 | | | BLANK |
| 26 | FILLER 2 | | | BLANK |
| 27 | FILLER 3 | | | BLANK |
| 28 | FILLER 4 | | | BLANK |
| 29 | FILLER 5 | | | BLANK |

SIP BULK UPLOAD (SIP REGISTRATION WITH STEPUP)

PATH - MEMBER DESK – SIP – SIP BULK UPLOAD (SIP REGISTRATION WITH STEPUP)

| SR NO. | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|--------|------------|------------|------|---------|
|--------|------------|------------|------|---------|

| | | | | |
|---|-------------|---------|----|-------------------------|
| 1 | AMC CODE | VARCHAR | 50 | EXCHANGE AMC CODE |
| 2 | SCH CODE | VARCHAR | 20 | EXCHANGE SCHEME CODE |
| 3 | CLIENT CODE | VARCHAR | 10 | VALID CLIENT CODE (UCC) |

| | | | | |
|----|-----------------------|---------|------|---|
| 4 | INTERNAL REF NO. | VARCHAR | 10 | SIP REFERENCE NO |
| 5 | TRANS MODE | VARCHAR | 1 | D =DEMAT, P = PHYSICAL |
| 6 | DP TXN MODE | VARCHAR | 1 | C = CDSL, N = NSDL, P = PHYSICAL |
| 7 | START DATE | DATE | | DD/MM/YYYY |
| 8 | FREQUENCY TYPE | VARCHAR | 20 | WEEKLY/ MONTHLY/ QUARTERLY/ SEMI-ANNUALLY /ANNUALLY/ DAILY |
| 9 | FREQUENCY ALLOWED | | | 1= ROLLING |
| 10 | INSTALLMENT AMOUNT | NUMERIC | 12,2 | AMOUNT |
| 11 | STATUS | NUMBER | 11 | 1=ACTIVE, 0=INACTIVE |
| 12 | MEMBER CODE | NUMBER | 20 | MEMBER CODE |
| 13 | FOLIO NO. | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 14 | SIP REMARKS | VARCHAR | 100 | |
| 15 | INSTALLMENT NO. | NUMBER | | NUMBER OF INSTALLMENTS |
| 16 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |
| 17 | SUB BROKER CODE | VARCHAR | 15 | SUB BROKER CODE |
| 18 | EUIN NUMBER | VARCHAR | 10 | STARTS WITH E |
| 19 | EUIN DECLARATION | VARCHAR | 1 | EUIN FLAG |
| 20 | DPC FLAG | VARCHAR | 1 | DPC FLAG ONLY Y FOR DEMAT |
| 21 | SUB- BROKER ARN | VARCHAR | 20 | SUB BROKER ARN CODE |
| 22 | END DATE | DATE | 10 | END DATE MUST BE SAME AS USER ENTERED(I.E. IN DAILY IF END DATE IS WEEKEND THEN KEEP AS IT IS) |
| 23 | PRIMARY HOLDER MOBILE | VARCHAR | 10 | MOBILE NO |

| | | | | |
|----|----------------------|---------|----|---|
| 24 | STEPUP START | VARCHAR | 10 | IF, BASE SIP FREQUENCY IN (MONTHLY ,QUARTERLY SEMI ANNUAL , ANNUAL) THEN STEP UP START DATE SHOULD BE IN LINE WITH SIP DUE DATE DD/MM/YYYY MANDATORY |
| 25 | STEP UP END DATE | VARCHAR | 10 | END DATE OF THE STEP UP IF BLANK, SIP END DATE WILL BE CONSIDERED AS STEPUP END DATE DD/MM/YYYY |
| 26 | STEP UP FREQUENCY | VARCHAR | 20 | MANDATORY |
| 27 | STEP UP AMOUNT | INT | | MANDATORY |
| 28 | PRIMARY HOLDER EMAIL | VARCHAR | 50 | EMAIL ID |
| 29 | FILLER 1 | | | BLANK |
| 30 | FILLER 2 | | | BLANK |
| 31 | FILLER 3 | | | BLANK |
| 32 | FILLER 4 | | | BLANK |
| 33 | FILLER 5 | | | BLANK |

SIP BULK UPLOAD (SIP CANCELLATION)

PATH - MEMBER DESK – SIP – SIP BULK UPLOAD

| SR NO. | FIELD NAME | FIELD TYPE | SIZE | Remarks |
|--------|-------------------------|------------|------|--|
| 1 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 2 | SIP REGISTRATION NUMBER | NUMERIC | 15 | |
| 3 | REMARKS | VARCHAR | 100 | For Cancellation with reason code as 13 . Others -> 13: (Reason) |

SIP CANCELLATION REASON CODES

| Error Code | Error Description |
|------------|---|
| 01 | Non availability of Funds |
| 02 | Scheme not performing |
| 03 | Service issue |
| 04 | Load Revised |
| 05 | Wish to invest in other schemes |
| 06 | Change in Fund Manager |
| 07 | Goal Achieved |
| 08 | Not comfortable with market volatility |
| 09 | Will be restarting SIP after few months |
| 10 | Modifications in bank/mandate/date etc |
| 11 | I have decided to invest elsewhere |
| 12 | This is not the right time to invest |
| 13 | Others (pls specify the reason) |

MANDATE BULK UPLOAD

PATH : MEMBER DESK – MANDATE –MEMBER BULK UPLOAD

| SR NO. | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|--------|--------------|------------|------|--|
| 1 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 2 | AMOUNT | NUMERIC | 8 | |
| 3 | MANDATE TYPE | VARCHAR | 1 | /N/E (XSIP/ISIP/N - NACH Emandate/Emandate |
| 4 | ACCOUNT NO | VARCHAR | 20 | |
| 5 | A/C TYPE | VARCHAR | 2 | |
| 6 | IFSC CODE | VARCHAR | 11 | |

| | | | | |
|----|-------------------|---------|----|--|
| 7 | MICR CODE | VARCHAR | 9 | |
| 8 | START DATE | DATE | | DD/MM/YYYY |
| 9 | END DATE | DATE | | DD/MM/YYYY |
| 10 | REGISTRATION DATE | DATE | | format DD/MM/YYYY Future Date not allowed. Can not be greater than start date. consider this flag If mandate is processed by member only. |
| 11 | MEMBER MANDATE NO | NUMERIC | 20 | Optional |

XSIP BULK UPLOAD (XSIP REGISTRATION)

PATH:- XSIP – XSIP BULK UPLOAD – (XSIP REGISTRATION)

| SR NO | FIELD NAME | MANDATORY/ NON MANDATORY | FIELD TYPE | FIELD LENGTH | DESCRIPTION |
|-------|--------------------|--------------------------|------------|--------------|---|
| 1 | AMC CODE | MANDATORY | VARCHAR | 50 | EXCHANGE AMC CODE |
| 2 | SCH CODE | MANDATORY | VARCHAR | 20 | EXCHANGE SCHEME CODE |
| 3 | CLIENT CODE | MANDATORY | VARCHAR | 10 | VALID CLIENT CODE |
| 4 | PG/BANK REF NO. | | VARCHAR | 20 | BANK REFERENCE NO |
| 5 | TRANS MODE | MANDATORY | VARCHAR | 1 | D/P |
| 6 | DP TXN MODE | MANDATORY | VARCHAR | 1 | C/N/P |
| 7 | START DATE | MANDATORY | VARCHAR | 15 | DD/MM/YYYY |
| 8 | FREQUENCY TYPE | MANDATORY | VARCHAR | 20 | WEEKLY/ MONTHLY/ QUARTERLY/ SEMI-ANNUALLY /ANNUALLY/ DAILY |
| 9 | FREQUENCY ALLOWED | MANDATORY | NUMERIC | 1 | ALWAYS "1" |
| 10 | INSTALLMENT AMOUNT | MANDATORY | VARCHAR | 10,2 | AMOUNT |

| | | | | | |
|----|-----------------------|--|---------|-----|---|
| 11 | STATUS | MANDATORY | VARCHAR | 1 | ALWAYS "1" |
| 12 | MEMBER CODE | MANDATORY | VARCHAR | 20 | MEMBER CODE |
| 13 | FOLIO NO. | OPTIONAL | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 14 | SIP REMARKS | OPTIONAL | VARCHAR | 100 | |
| 15 | INSTALLMENT NO. | OPTIONAL | NUMBER | 10 | NUMBER OF INSTALLMENTS |
| 16 | CONVENIENCE FEE | OPTIONAL | | | BLANK MEANS NO (0) BROKERAGE |
| 17 | XSIP MANDATE ID | MANDATORY | NUMBER | 13 | MUST BE VALID MANDATE ID OF GIVEN CLIENT |
| 18 | SUB BROKER CODE | OPTIONAL | VARCHAR | 15 | SUB BROKER CODE |
| 19 | EUIN NUMBER | | VARCHAR | 10 | IF EUIN DECLARATION=Y THEN MANDATORY |
| 20 | EUIN DECLARATION | MANDATORY | VARCHAR | 1 | Y OR N. |
| 21 | DPC FLAG | MANDATORY | VARCHAR | 1 | Y/N |
| 22 | FIRST ORDER TODAY | MANDATORY | VARCHAR | 1 | Y/N |
| 23 | ISIP MANDATE | OPTIONAL | | 15 | KEEP THIS BLANK |
| 24 | SUB- BROKER ARN | OPTIONAL | VARCHAR | 20 | IT SHOULD START WITH ARN |
| 25 | END DATE | OPTIONAL (ONLY FOR DAILY FREQUENCY) | DATE | | DD/MM/YYYY (MANDATORY IN CASE OF DAILY SIP AND SHOULD BE BLANK IN CASE OF OTHER SIP AND MFD'S) |
| 26 | PRIMARY HOLDER MOBILE | OPTIONAL | NUMBER | 10 | "LENGTH VALIDATION STANDARD MOBILE VALIDATION" |

| | | | | | |
|----|----------------------|----------|---------|----|---|
| 27 | PRIMARY HOLDER EMAIL | OPTIONAL | VARCHAR | 50 | "LENGTH VALIDATION STANDARD EMAIL VALIDATION" |
| 28 | FILLER 1 | | | | BLANK |
| 29 | FILLER 2 | | | | BLANK |
| 30 | FILLER 3 | | | | BLANK |
| 31 | FILLER 4 | | | | BLANK |
| 32 | FILLER 5 | | | | BLANK |

XSIP BULK UPLOAD (XSIP REGISTRATION WITH STEPUP)

PATH:- XSIP – XSIP BULK UPLOAD – (XSIP REGISTRATION WITH STEPUP)

| SR NO | FIELD NAME | MANDATORY/ NON MANDATORY | FIELD TYPE | FIELD LENGTH | DESCRIPTION |
|-------|------------|--------------------------|------------|--------------|-------------|
|-------|------------|--------------------------|------------|--------------|-------------|

| | | | | | |
|----|--------------------|-----------|---------|------|---|
| 1 | AMC CODE | MANDATORY | VARCHAR | 50 | EXCHANGE AMC CODE |
| 2 | SCH CODE | MANDATORY | VARCHAR | 20 | EXCHANGE SCHEME CODE |
| 3 | CLIENT CODE | MANDATORY | VARCHAR | 10 | VALID CLIENT CODE |
| 4 | PG/BANK REF NO. | | VARCHAR | 20 | BANK REFERENCE NO |
| 5 | TRANS MODE | MANDATORY | VARCHAR | 1 | D/P |
| 6 | DP TXN MODE | MANDATORY | VARCHAR | 1 | C/N/P |
| 7 | START DATE | MANDATORY | VARCHAR | 15 | DD/MM/YYYY |
| 8 | FREQUENCY TYPE | MANDATORY | VARCHAR | 20 | WEEKLY/ MONTHLY/ QUARTERLY/ SEMI-ANNUALLY /ANNUALLY/ DAILY |
| 9 | FREQUENCY ALLOWED | MANDATORY | NUMERIC | 1 | ALWAYS "1" |
| 10 | INSTALLMENT AMOUNT | MANDATORY | VARCHAR | 10,2 | AMOUNT |
| 11 | STATUS | MANDATORY | VARCHAR | 1 | ALWAYS "1" |

| | | | | | |
|----|------------------|-----------|---------|-----|--|
| 12 | MEMBER CODE | MANDATORY | VARCHAR | 20 | MEMBER CODE |
| 13 | FOLIO NO. | OPTIONAL | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 14 | SIP REMARKS | OPTIONAL | VARCHAR | 100 | |
| 15 | INSTALLMENT NO. | OPTIONAL | NUMBER | 10 | NUMBER OF INSTALLMENTS |
| 16 | CONVENIENCE FEE | OPTIONAL | | | BLANK MEANS NO (0) BROKERAGE |
| 17 | XSIP MANDATE ID | MANDATORY | NUMBER | 13 | MUST BE VALID MANDATE ID OF GIVEN CLIENT |
| 18 | SUB BROKER CODE | OPTIONAL | VARCHAR | 15 | SUB BROKER CODE |
| 19 | EUIN NUMBER | | VARCHAR | 10 | IF EUIN DECLARATION=Y THEN MANDATORY |
| 20 | EUIN DECLARATION | MANDATORY | VARCHAR | 1 | Y OR N. |

| | | | | | |
|----|-----------------------|-------------------------------------|---------|----|--|
| 21 | DPC FLAG | MANDATORY | VARCHAR | 1 | Y/N |
| 22 | FIRST ORDER TODAY | MANDATORY | VARCHAR | 1 | Y/N |
| 23 | ISIP MANDATE | OPTIONAL | | 15 | KEEP THIS BLANK |
| 24 | SUB- BROKER ARN | OPTIONAL | VARCHAR | 20 | IT SHOULD START WITH ARN |
| 25 | END DATE | OPTIONAL (ONLY FOR DAILY FREQUENCY) | DATE | | DD/MM/YYYY (MANDATORY IN CASE OF DAILY SIP AND SHOULD BE BLANK IN CASE OF OTHER SIP AND MFD'S) |
| 26 | PRIMARY HOLDER MOBILE | | NUMBER | 10 | "LENGTH VALIDATION STANDARD MOBILE |
| | | | | | VALIDATION" |
| 27 | PRIMARY HOLDER EMAIL | | VARCHAR | 50 | "LENGTH VALIDATION STANDARD EMAIL VALIDATION" |

| | | | | | |
|----|-------------------|-----------|----------|----|--|
| 28 | STEPUP START | MANDATORY | VARCHA R | 10 | IF, BASE SIP FREQUENCY IN (MONTHLY ,QARTERLY SEMI-ANNUAL , ANNUAL) THEN STEP UP START DATE SHOULD BE INLINE WITH SIP/XSIP DUE DATE DD/MM/YYYY |
| 29 | STEP UP END DATE | OPTIONAL | VARCHA R | 10 | END DATE OF THE STEP UP IF BLANK, SIP/XSIP END DATE WILL BE CONSIDERED AS STEPUP END DATE DD/MM/YYYY |
| 30 | STEP UP FREQUENCY | MANDATORY | VARCHA R | 20 | |
| 31 | STEP UP AMOUNT | MANDATORY | INT | | |
| 32 | FILLER 1 | | | | BLANK |
| 33 | FILLER 2 | | | | BLANK |
| 34 | FILLER 3 | | | | BLANK |
| 35 | FILLER 4 | | | | BLANK |
| 36 | FILLER 5 | | | | BLANK |

XSIP BULK UPLOAD (XSIP CANCELLATION)

PATH:- XSIP – XSIP BULK UPLOAD – (XSIP CANCELLATION)

| SR NO | FIELD NAME | MANDATORY/ NON MANDATORY | FIELD TYPE | FIELD LENG T H | DESCRIPTION |
|-------|---------------------|--------------------------|------------|----------------|--|
| 1 | CLIENT CODE | MANDATORY | VARCHAR | 10 | CLIENT OF LOGGED MEMBER |
| 2 | X-SIP/ISIP REGN. NO | MANDATORY | NUMBER | 15 | XSIP REG. NO OF GIVEN CLIENT |
| 3 | REMARKS | OPTIONAL | VARCHAR | 100 | For Cancellation with reason code as 13 . Others -> 13: (Reason) |

DAILY XSIP BULK UPLOAD (XSIP CANCELLATION)

PATH:- XSIP – XSIP BULK UPLOAD – (DAILY XSIP CANCELLATION)

| SR NO | FIELD NAME | MANDATORY/ NON MANDATORY | FIELD TYPE | FIELD LENGTH | DESCRIPTION |
|-------|------------------------------|--------------------------|------------|--------------|---|
| 1 | X-SIP/ISIP REGN. NO | MANDATORY | NUMBER | 15 | XSIP REG. NO OF GIVEN CLIENT |
| 2 | CLIENT CODE | MANDATORY | VARCHAR | 10 | CLIENT OF LOGGED MEMBER |
| 3 | Daily XSIP cancellation Date | MANDATORY | DATE | | Format DD/MM/YYYY Must be minimum T+3 Working Days from the date of cancellation initiation. |
| 4 | REMARKS | OPTIONAL | VARCHAR | 100 | For Cancellation with reason code as 13 . Others -> 13:(Reason) |

XSIP CANCELLATION REASON CODES

| Error Code | Error Description |
|------------|---|
| 01 | Non availability of Funds |
| 02 | Scheme not performing |
| 03 | Service issue |
| 04 | Load Revised |
| 05 | Wish to invest in other schemes |
| 06 | Change in Fund Manager |
| 07 | Goal Achieved |
| 08 | Not comfortable with market volatility |
| 09 | Will be restarting SIP after few months |
| 10 | Modifications in bank/mandate/date etc |
| 11 | I have decided to invest elsewhere |
| 12 | This is not the right time to invest |
| 13 | Others (pls specify the reason) |

SIP TO XSIP UPLOAD

PATH:- UTILITIES – BULK UPLOAD – SIP TO XSIP UPLOAD

| SR NO | FIELD NAME | FIELD TYPE | SIZE | MANDATORY/ NON MANDATORY | REMARKS |
|-------|------------|------------|------|--------------------------|----------------------|
| 1 | SIP Reg No | NUMERIC | 15 | MANDATORY | SIP REGISTRATION NO. |
| 2 | UMRN | VARCHAR | 20 | MANDATORY | EXCHANGE MANDATE ID |
| 3 | Remarks | VARCHAR | 255 | OPTIONAL | |

MANDATE DOWNLOAD

PATH : MEMBER DESK – XSIP – MANDATE – MANDATE DOWNLOAD

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|--------------|------------|------|--------------------------|
| 1 | MANDATE ID | NUMERIC | 11 | |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | CLIENT NAME | VARCHAR | 70 | |
| 4 | START END | DATE | | DD-MM-YYYY |
| 5 | END DATE | DATE | | DD-MM-YYYY |
| 6 | AMOUNT | NUMERIC | 8 | |
| 7 | MANDATE TYPE | VARCHAR | 1 | |
| 8 | BANK NAME | VARCHAR | 100 | |
| 9 | BRANCH | VARCHAR | 255 | |
| 10 | ACCOUNT NO. | VARCHAR | 20 | |
| 11 | ACCOUNT TYPE | VARCHAR | 2 | |
| 12 | MICR | VARCHAR | 9 | |
| 13 | STATUS CODE | VARCHAR | 3 | |
| 14 | REMARK | VARCHAR | 100 | |

| | | | | |
|----|---------------------------|---------|----|------------|
| 15 | MANDATE REGISTRATION DATE | DATE | | DD-MM-YYYY |
| 16 | MEMBER ID | NUMERIC | 11 | |

SIP REGISTRATION REPORT

PATH : MEMBER DESK – SIP – SIP REG. & CAN. REPORT

| SR NO. | FIELD NAME | FIELD TYPE | SIZE | DESCRIPTION |
|--------|-----------------------|------------|------|---|
| 1 | STATUS | VARCHAR | 11 | ACTIVE,CANCELED, MATURED,ONHOLD, AUTHPENDING, AUTHREJECT, PAUSE |
| 2 | MEMBER CODE | NUMERIC | 11 | MEMBER ID |
| 3 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 4 | CLIENT NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 5 | PG/ BANK REFERENCE NO | VARCHAR | 25 | |
| 6 | SIP REGISTRATION NO. | NUMERIC | 15 | AUTO GENERATED BY SYSTEM |
| 7 | SIP REGISTRATION DATE | DATE | | DD/MMM/YYYY |
| 8 | AMC NAME | VARCHAR | 255 | |
| 9 | RTA SCHEME CODE | VARCHAR | 10 | |
| 10 | SCHEME NAME | VARCHAR | 200 | |
| 11 | FREQUENCY TYPE | VARCHAR | 15 | |
| 12 | START DATE | DATE | | DD/MMM/YYYY |
| 13 | END DATE | DATE | | DD/MMM/YYYY |
| 14 | INSTALLMENTS AMOUNT | NUMERIC | 12,2 | |
| 15 | ENTRY BY | NUMERIC | 11 | |
| 16 | DPC FLAG | VARCHAR | 1 | |

| | | | | |
|----|-------------------------|---------|-----|------------------|
| 17 | DP TRANS. | VARCHAR | 1 | DEMAT / PHYSICAL |
| 18 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |
| 19 | SUB BROKER CODE | VARCHAR | 15 | |
| 20 | EUIN | VARCHAR | 10 | |
| 21 | EUIN DECLARATION | VARCHAR | 1 | |
| 22 | FOLIO NUMBER | VARCHAR | 20 | |
| 23 | REMARK | VARCHAR | 100 | |
| 24 | SUB BROKER ARN CODE | VARCHAR | 15 | |
| 25 | NO OF INSTALLMENTS | NUMERIC | 5 | |
| 26 | EXCHANGE REMARK | | | BLANK |
| 27 | HEALTH DECLARATION FLAG | | | BLANK |
| 28 | NOMINEE DOB | | | BLANK |
| 29 | DISCLAIMER FLAG | | | BLANK |
| 30 | INTERNAL REFERENCE NO. | VARCHAR | 10 | |
| 31 | PRIMARY HOLDER EMAIL | VARCHAR | 50 | |
| 32 | PRIMARY HOLDER MOBILE | VARCHAR | 10 | |
| 33 | SECOND HOLDER EMAIL | VARCHAR | 50 | |
| 34 | SECOND HOLDER MOBILE | VARCHAR | 10 | |
| 35 | THIRD HOLDER EMAIL | VARCHAR | 50 | |
| 36 | THIRD HOLDER MOBILE | VARCHAR | 10 | |

SIP CANCELLATION REPORT

PATH : MEMBER DESK – SIP – SIP REG. & CAN. REPORT

| Sr NO. | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|--------|-------------------------------|------------|------|---------------------------|
| 1 | MEMBER CODE | NUMERIC | 11 | MEMBER ID |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | CLIENT NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 4 | INTERNAL REF NO. | VARCHAR | 25 | INTERNAL REFERENCE NUMBER |
| 5 | SIP REGISTRATION NO. | NUMERIC | 15 | |
| 6 | SIP REGISTRATION DATE | | | |
| 7 | SIP CANCELLATION DATE | DATE | | MM/DD/YYYY HH:MI:SS AM |
| 8 | AMC NAME | VARCHAR | 255 | |
| 9 | SCHEME CODE | VARCHAR | 30 | |
| 10 | SCHEME NAME | VARCHAR | 200 | |
| 11 | FREQUENCY TYPE | VARCHAR | 15 | |
| 12 | START DATE | DATE | | MM/DD/YYYY HH:MM:SS |
| 13 | END DATE | DATE | | MM/DD/YYYY HH:MM:SS |
| 14 | NEXT DUE DATE | DATE | | MM/DD/YYYY HH:MM:SS |
| 15 | NO. OF INSTALLMENTS PAID | NUMERIC | | |
| 16 | INSTALLMENTS AMOUNT | NUMERIC | 12,2 | |
| 17 | TOTAL INSTALLMENT AMOUNT PAID | NUMERIC | 12,2 | |
| 18 | CANCELLED BY | VARCHAR | 20 | |
| 19 | SIP STATUS | VARCHAR | 3 | 'CXL' |
| 20 | REMARK | VARCHAR | 200 | |

SIP INSTALLMENT DUE REPORT

PATH : MEMBER DESK – SIP – SIP INSTALLMENT DUE REPORT

| SR NO. | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|--------|----------------------------|------------|------|--------------------------|
| 1 | MEMBER CODE | NUMERIC | 11 | |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | CLIENT NAME | VARCHAR | 70 | |
| 4 | INTERNAL REF NO | VARCHAR | 25 | |
| 5 | SIP REG. NUMBER | NUMERIC | 15 | AUTO GENERATED BY SYSTEM |
| 6 | REG. DATE | DATE | | DD/MM/YYYY |
| 7 | AMC NAME | VARCHAR | 255 | |
| 8 | SCHEME CODE | VARCHAR | 30 | |
| 9 | SCHEME NAME | VARCHAR | 200 | |
| 10 | FREQUENCY TYPE | VARCHAR | 15 | |
| 11 | INSTALLMENT AMT | NUMERIC | 12,2 | |
| 12 | DUE DATE | DATE | | DD MMM YYYY |
| 13 | PRE PAID DATE | DATE | | DD MMM YYYY |
| 14 | NO. OF INSTALLMENTS PAID | NUMERIC | | |
| 15 | TOTAL INSTALLMENT AMT PAID | NUMERIC | 12,2 | |
| 16 | ENTRY BY | VARCHAR | 20 | |
| 17 | SCHEME TYPE | VARCHAR | 20 | |
| 18 | DP TRANS | VARCHAR | 1 | PHYSICAL / DEMAT |
| 19 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |

MATURED SIP REPORT

PATH : MEMBER DESK – SIP – MATURED SIP REPORT

| SR NO. | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|--------|-------------|------------|------|-----------|
| 1 | STATUS | VARCHAR | 11 | |
| 2 | MEMBER CODE | NUMERIC | 11 | MEMBER ID |

| | | | | |
|----|----------------------------|---------|------|--------------------------|
| 3 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 4 | CLIENT NAME | VARCHAR | 70 | |
| 5 | INTERNAL REF NO. | VARCHAR | 25 | |
| 6 | SIP REGN NUMBER | NUMERIC | 15 | AUTO GENERATED BY SYSTEM |
| 7 | REGISTRATION DATE | VARCHAR | 11 | DD MMM YYYY |
| 8 | AMC NAME | VARCHAR | 255 | |
| 9 | RTA SCHEME CODE | VARCHAR | 10 | |
| 10 | SCHEME NAME | VARCHAR | 200 | |
| 11 | FREQUENCY TYPE | VARCHAR | 15 | |
| 12 | START DATE | DATE | | DD MMM YYYY |
| 13 | END DATE | DATE | | DD MMM YYYY |
| 14 | INSTALLMENTS AMT | NUMERIC | 12,2 | |
| 15 | DPC FLAG | VARCHAR | 1 | |
| 16 | DP TRANS | VARCHAR | 1 | PHYSICAL / DEMAT |
| 17 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |
| 18 | SUB BROKER | VARCHAR | 15 | |
| 19 | EUIN | VARCHAR | 10 | |
| 20 | EUIN DECL | VARCHAR | 1 | Y/N |
| 21 | FOLIO NO | VARCHAR | 20 | |
| 22 | REMARKS | VARCHAR | 100 | |
| 23 | SUB BROKER ARNCODE | VARCHAR | 15 | |
| 24 | NO. OF INSTALLMENTS | NUMERIC | 5 | |
| 25 | LAST INSTALLMENT TRIGGERED | NUMERIC | 5 | |

| | | | | |
|----|---------------------------------|---------|----|-------------|
| 26 | LAST INSTALLMENT TRIGGERED DATE | DATE | | DD MMM YYYY |
| 27 | ENTRY BY | VARCHAR | 20 | |

SIP MISSED INSTALLMENT REPORT

PATH : MEMBER DESK – SIP – SIP MISSED INSTALLMENT REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|--------------------|------------|------|--------------------------|
| 1 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 2 | CLIENT NAME | VARCHAR | 70 | |
| 3 | INTERNAL REF NO | VARCHAR | 25 | |
| 4 | SIP REG. NUMBER | NUMERIC | 15 | AUTO GENERATED BY SYSTEM |
| 5 | REG. DATE | DATE | | DD-MM-YYYY |
| 6 | AMC NAME | VARCHAR | 255 | |
| 7 | SCHEME CODE | VARCHAR | 30 | |
| 8 | SCHEME NAME | VARCHAR | 200 | |
| 9 | FREQUENCY TYPE | VARCHAR | 15 | |
| 10 | INSTALLMENT AMT | NUMERIC | 12,2 | |
| 11 | TOTAL INSTALLMENTS | NUMERIC | | |
| 12 | DP TRANS | VARCHAR | 1 | |
| 13 | FIRST ORDER TODAY | VARCHAR | 1 | |
| 14 | FOLIO NO | VARCHAR | 20 | |
| 15 | SIP STATUS | VARCHAR | 11 | |
| 16 | ORDER ID | NUMERIC | 12 | |
| 17 | ORDER DATE | DATE | | DD-MM-YYYY HH:MI:SS |
| 18 | INSTALLMENTS NO | | | RTA INSTALLMENT NO |
| 19 | ORDER REMARK | VARCHAR | 500 | |
| 20 | ORDER STATUS | CHAR | 1 | |

MANDATE DETAIL REPORT

PATH : MEMBER DESK – MANDATE -- MANDATE DETAIL REPORT

| SR. NO. | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|---------|-------------------------|------------|------|--------------------------|
| 1 | SR.NO | NUMBER | | SEQUENCE NO. (I.E 1,2,3) |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | CLIENT NAME | VARCHAR | 70 | |
| 4 | MEMBER CODE/NAME | VARCHAR | | MEMBER ID - MEMBER NAME |
| 5 | BANK NAME | VARCHAR | 100 | |
| 6 | BANK BRANCH | VARCHAR | 255 | |
| 7 | AMOUNT | NUMERIC | 8 | |
| 8 | REGISTRATION DATE | DATE | | DD/MM/YYYY |
| 9 | STATUS | VARCHAR | 30 | |
| 10 | UMRN NO | VARCHAR | 20 | |
| 11 | REMARKS | VARCHAR | 50 | |
| 12 | APPROVED DATE | DATE | | DD/MM/YYYY |
| 13 | BANK ACCOUNT NUMBER | VARCHAR | 20 | |
| 14 | MANDATE COLLECTION TYPE | VARCHAR | 8 | |
| 15 | MANDATE TYPE | VARCHAR | 1 | |
| 16 | DATE OF UPLOAD | DATE | | |
| 17 | START DATE | DATE | | DD/MM/YYYY HH:MI:SS |
| 18 | END DATE | DATE | | DD/MM/YYYY HH:MI:SS |
| 19 | DATE OF REUPLOAD | DATE | | DD-MM-YYYY HH:MI:SS |

XSIP REGISTRATION REPORT

PATH:- XSIP – XSIP REG & CAN REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENG T H | DESCRIPTION |
|-------|------------|------------|----------------|----------------------|
| 1 | STATUS | VARCHAR | 11 | 1=ACTIVE, 0=INACTIVE |

| | | | | |
|----|------------------------|---------|------|---|
| 2 | MEMBER CODE | NUMBER | 11 | MEMBER ID |
| 3 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 4 | CLIENT NAME | VARCHAR | 70 | |
| 5 | PG/BANK REF NO | VARCHAR | 25 | BANK REFERENCE NO |
| 6 | XSIP REGISTRATION NO | NUMBER | 15 | AUTO GENERATED BY SYSTEM |
| 7 | XSIP REGISTRATION DATE | DATE | | DD MMM YYYY |
| 8 | AMC NAME | VARCHAR | 255 | EXCHANGE AMC CODE |
| 9 | RTA SCHEME CODE | VARCHAR | 10 | EXCHANGE SCHEME CODE |
| 10 | SCHEME NAME | VARCHAR | 200 | |
| 11 | FREQUENCY TYPE | VARCHAR | 15 | WEEKLY/MONTHLY/ QUARTERLY/SEMIANNUALLY/ANNUALLY. |
| 12 | START DATE | DATE | | DD MMM YYYY |
| 13 | END DATE | DATE | | DD MMM YYYY |
| 14 | INSTALLMENTS AMOUNT | NUMBER | 12,2 | AMOUNT |
| 15 | BROKERAGE | NUMBER | 10 | |
| 16 | ENTRY BY | NUMBER | 11 | |
| 17 | MANDATE ID | NUMBER | 13 | |
| 18 | DPC FLAG | VARCHAR | 1 | Y/N |
| 19 | DP TRANS | VARCHAR | 1 | C/N/P |
| 20 | SUB BROKER | VARCHAR | 10 | SUB BROKER CODE |
| 21 | EUIN NO | VARCHAR | 10 | MANDATORY FIELD |
| 22 | EUIN DECLARATION | VARCHAR | 1 | Y OR N. |
| 23 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |
| 24 | FOLIO NUMBER | VARCHAR | 20 | |
| 25 | REMARKS | VARCHAR | 100 | |
| 26 | SUB BROKER ARN | VARCHAR | 20 | IT SHOULD START WITH ARN |

| | | | | |
|----|-------------------------|---------|----|--|
| 27 | NO. OF INSTALLMENT | NUMBER | 5 | IF TOP FLAG = Y THEN TOP UP XSIP'S TOTAL NUMBER OF INSTALLMENTS ELSE XSIP TOTAL INSTALLMENTS |
| 28 | EXCHANGE REMARK | | | BLANK |
| 29 | HEALTH DECLARATION FLAG | | | BLANK |
| 30 | NOMINEE DOB | | | BLANK |
| 31 | DISCLAIMER FLAG | | | BLANK |
| 32 | INTERNAL REF NO | VARCHAR | 25 | XSIP REFERENCE NO |
| 33 | PRIMARY HOLDER EMAIL | VARCHAR | 75 | |
| 34 | PRIMARY HOLDER MOBILE | VARCHAR | 10 | |
| 35 | SECOND HOLDER EMAIL | VARCHAR | 50 | |
| 36 | SECOND HOLDER MOBILE | VARCHAR | 10 | |
| 37 | THIRD HOLDER EMAIL | VARCHAR | 50 | |
| 38 | THIRD HOLDER MOBILE | VARCHAR | 10 | |

XSIP CANCELLATION REPORT

PATH:- XSIP – XSIP REG & CAN REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | DESCRIPTION |
|-------|------------------|------------|--------------|--------------------------|
| 1 | STATUS | VARCHAR | 11 | |
| 2 | MEMBER CODE | NUMBER | 11 | MEMBER ID |
| 3 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 4 | CLIENT NAME | VARCHAR | 70 | |
| 5 | INTERNAL REF NUM | VARCHAR | 25 | |
| 6 | XSIP REGN NO | NUMBER | 15 | AUTO GENERATED BY SYSTEM |

| | | | | |
|----|-----------------------------|---------|------|---|
| 7 | XSIP REG DATE | DATE | | DD MMM YYYY |
| 8 | XSIP CANCELLATION DATE | DATE | | DD MMM YYYY |
| 9 | AMC NAME | VARCHAR | 255 | EXCHANGE AMC CODE |
| 10 | SCHEME CODE | VARCHAR | 10 | EXCHANGE SCHEME CODE |
| 11 | SCHEME NAME | VARCHAR | 200 | |
| 12 | FREQUENCY TYPE | VARCHAR | 15 | WEEKLY/MONTHLY/ QUARTERLY/SEMIANNUALLY/ANNUALLY. |
| 13 | START DATE | DATE | | DD/MM/YYYY |
| 14 | END DATE | DATE | | DD/MM/YYYY |
| 15 | NEXT DUE DATE | DATE | | DD MM YYYY |
| 16 | NO. OF INSTALLMENTS PAID | NUMBER | 12 | |
| 17 | INSTALLMENT AMOUNT | NUMBER | 12,2 | AMOUNT |
| 18 | BROKERAGE | NUMBER | 10 | |
| 19 | TOTAL INSTALLMENT AMT. PAID | NUMBER | 12 | |
| 20 | CANCELLED BY | VARCHAR | 20 | |
| 21 | MANDATE ID | NUMBER | 13 | |
| 22 | REMARK | VARCHAR | 200 | |

XSIP MATURED XSIP

PATH:- XSIP MATURED XSIP REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARKS |
|-------|-------------|------------|--------------|--------------------------|
| 1 | STATUS | VARCHAR | 10 | MATURED |
| 2 | MEMBER CODE | VARCHAR | 11 | |
| 3 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 4 | CLIENT NAME | VARCHAR | 70 | |

| | | | | |
|----|----------------------------|---------|------|---|
| 5 | INTERNAL REF NO | VARCHAR | 25 | |
| 6 | XSIP REGN NUMBER | NUMBER | 15 | AUTO GENERATED BY SYSTEM |
| 7 | REGN DATE | DATE | | DD MMM YYYY |
| 8 | AMC NAME | VARCHAR | 255 | EXCHANGE AMC CODE |
| 9 | RTA SCHEME CODE | VARCHAR | 10 | EXCHANGE SCHEME CODE |
| 10 | SCHEME NAME | VARCHAR | 200 | SCHEME NAME |
| 11 | FREQUENCY TYPE | VARCHAR | 15 | WEEKLY/ MONTHLY/ QUARTERLY/ SEMI-ANNUALLY /ANNUALLY/ DAILY |
| 12 | START DATE | DATE | | DD MMM YYYY |
| 13 | END DATE | DATE | | DD MMM YYYY |
| 14 | INSTALLMENTS AMT | NUMBER | 12,2 | AMOUNT |
| 15 | BROKERAGE | NUMBER | 10,2 | |
| 16 | MANDATE ID | NUMBER | 13 | |
| 17 | DPC FLAG | VARCHAR | 1 | Y/N |
| 18 | DP TRANS | VARCHAR | 1 | C/N/P |
| 19 | SUB BROKER | VARCHAR | 15 | SUB BROKER CODE |
| 20 | EUIN | VARCHAR | 10 | EUIN NO |
| 21 | EUIN DECL | VARCHAR | 10 | EUIN FLAG |
| 22 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |
| 23 | FOLIO NO. | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 24 | REMARKS | VARCHAR | 100 | |
| 25 | SUB BROKER ARN CODE | VARCHAR | 15 | SUB BROKER ARN CODE |
| 26 | NO. OF INSTALLMENTS | NUMBER | 5 | |
| 27 | LAST INSTALLMENT TRIGGERED | NUMBER | 12,2 | |

| | | | | |
|----|---------------------------------|--------|----|--|
| 28 | LAST INSTALLMENT TRIGGERED DATE | DATE | | |
| 29 | ENTRY BY | NUMBER | 11 | |

XSIP INSTALLMENT DUE

PATH:- XSIP- XSIP INSTALLMENT DUE REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARKS |
|-------|--------------------------------|------------|--------------|---|
| 1 | MEMBER CODE | NUMBER | 11 | ONLY FOR FILE FORMAT, NOT REQUIRED IN ON SCREEN REPORT |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | CLIENT NAME | VARCHAR | 70 | |
| 4 | INTERNAL REF NO | VARCHAR | 25 | |
| 5 | SIP REGISTRATION NO | NUMBER | 15 | AUTO GENERATED BY SYSTEM |
| 6 | REG. DATE | DATE | | |
| 7 | AMC NAME | VARCHAR | 255 | EXCHANGE AMC CODE |
| 8 | SCHEME CODE | VARCHAR | 10 | EXCHANGE SCHEME CODE |
| 9 | SCHEME NAME | VARCHAR | 200 | SCHEME NAME |
| 10 | FREQUENCY TYPE | VARCHAR | 15 | WEEKLY/ MONTHLY/ QUARTERLY/ SEMI-ANNUALLY /ANNUALLY/ DAILY |
| 11 | INSTALLMENTS AMOUNT | NUMBER | 12,2 | AMOUNT |
| 12 | DUE DATE | NUMBER | 10 | |
| 13 | PREV PAID DATE | | | |
| 14 | NO OF INSTALLMENTS PAID | NUMBER | 5 | |
| 15 | TOTAL INSTALLMENTS AMOUNT PAID | NUMBER | 12 | |
| 16 | ENTRY BY | NUMBER | 11 | |

| | | | | |
|----|-------------------|---------|----|-------|
| 17 | MANDATE ID | NUMBER | 13 | |
| 18 | DP TRANS. | VARCHAR | 1 | C/N/P |
| 19 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |

XSIP MISSED INSTALLMENT

PATH:- XSIP- XSIP INSTALLMENT DUE REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARKS |
|-------|---------------------|------------|--------------|--|
| 1 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 2 | CLIENT NAME | VARCHAR | 70 | |
| 3 | INTERNAL REF NO | VARCHAR | 25 | |
| 4 | SIP REGISTRATION NO | NUMBER | 15 | FOR XSIP HEADER: XSIP REG. NUMBER |
| 5 | REG. DATE | DATE | | DD MM YYYY |
| 6 | AMC NAME | VARCHAR | 255 | EXCHANGE AMC CODE |
| 7 | SCHEME CODE | VARCHAR | 10 | EXCHANGE SCHEME CODE |
| 8 | SCHEME NAME | VARCHAR | 200 | |
| 9 | FREQUENCY TYPE | VARCHAR | 15 | WEEKLY/ MONTHLY/ QUARTERLY/ SEMIANNUALLY /ANNUALLY/ DAILY |
| 10 | INSTALLMENTS AMOUNT | NUMBER | 12,2 | AMOUNT |
| 11 | TOTAL INSTALLMENTS | NUMBER | | |
| 12 | MANDATE ID | NUMBER | 13 | |
| 13 | DP TRANS. | VARCHAR | 1 | C/N/P |
| 14 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |
| 15 | FOLIO NO | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 16 | SIP STATUS | VARCHAR | 11 | |

| | | | | |
|----|-----------------|---------|-----|------------|
| 17 | ORDER ID | NUMBER | 12 | |
| 18 | ORDER DATE | DATE | | DD MM YYYY |
| 19 | INSTALLMENTS NO | NUMBER | 5 | |
| 20 | ORDER REMARK | VARCHAR | 500 | |
| 21 | ORDER STATUS | CHAR | 1 | |

STP REGISTRATION

PATH:- STP - STP BULK UPLOAD - STP REGISTRATION

| SR NO | FIELD NAME | FIELD TYPE | SIZE | DESCRIPTION |
|-------|---------------------|------------|------|---|
| 1 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 2 | FROM SCHEME CODE | VARCHAR | 20 | VALID FROM SCHEME CODE |
| 3 | TO SCHEME CODE | VARCHAR | 20 | VALID TO SCHEME CODE |
| 4 | BUY / SELL TYPE | | | VALUES: FRESH/ADDITIONAL |
| 5 | TRANSACTION TYPE | | | C=CDSL/ N=NSDL/ P=PHYSICAL |
| 6 | FOLIO NO | VARCHAR | 20 | IF TRANSACTION_MODE='PHYSICAL' THEN MANDATORY ELSE OPTIONAL |
| 7 | INTERNAL REF NUMBER | VARCHAR | 25 | MEMBER REF NO |
| 8 | START DATE | DATE | | START OF THE STP |
| 9 | FREQUENCY TYPE | VARCHAR | 15 | WEEKLY/ MONTHLY/ QUARTERLY/ SEMI-ANNUALLY /ANNUALLY/ DAILY |
| 10 | NO OF TRANSFERS | | | NUMBER OF INSTALLMENTS |
| 11 | TO DATE | DATE | | OPTIONAL |
| 12 | INSTALLMENT AMOUNT | NUMBER | 12,2 | AMOUNT |
| 13 | INSTALLMENT UNIT | NUMBER | 12 | |
| 14 | FIRST ORDER TODAY | VARCHAR | 1 | Y OR N |
| 15 | SUB BROKER CODE | VARCHAR | 15 | SUB BROKER CODE |

| | | | | |
|----|------------------|---------|-----|---------------------------------------|
| 16 | EUIN DECLARATION | VARCHAR | 1 | Y OR N |
| 17 | EUIN NUMBER | VARCHAR | 10 | IF EUIN DECLARATION =Y THEN MANDATORY |
| 18 | REMARKS | VARCHAR | 100 | |
| 19 | SUB BROKER ARN | VARCHAR | 20 | SUB BROKER ARN CODE |
| 20 | MOBILE NO | VARCHAR | 10 | MOBILE NO |
| 21 | EMAIL ID | VARCHAR | 50 | EMAIL ID |

STP CANCELLATION

PATH:- STP - STP BULK UPLOAD - STP CANCELLATION

| SR NO | FIELD NAME | FIELD TYPE | SIZE | DESCRIPTION |
|-------|-----------------|------------|------|-----------------------------|
| 1 | STP REGN NUMBER | NUMBER | 15 | STP REG. NO OF GIVEN CLIENT |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | REMARK | VARCHAR | 100 | CANCEL REMARK |

SWP REGISTRATION

PATH:- SWP - SWP BULK UPLOAD - SWP REGISTRATION

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|--------------------|------------|------|---|
| 1 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 2 | SCHEME CODE | NUMBER | 30 | EXCHANGE SCHEME CODE |
| 3 | TRANS MODE | VARCHAR | 1 | D =DEMAT, P = PHYSICAL |
| 4 | FOLIO NO | VARCHAR | 20 | |
| 5 | INTERNAL REF NO. | VARCHAR | 25 | ANY NOTES OR UNIQUE IDENTIFIER FROM MEMBER |
| 6 | START DATE | DATE | | START OF THE SWP |
| 7 | NO OF WITHDRAWALS | | | IF "FREQUENCY" ="DAILY THEN OPTIONAL ELSE MANDATORY |
| 8 | FREQUENCY TYPE | VARCHAR | 15 | DAILY/WEEKLY/MONTHLY/QUARTERLY |
| 9 | INSTALLMENT AMOUNT | NUMBER | 12,2 | EITHER AMOUNT OR UNIT ALLOWED NOT BOTH |

| | | | | |
|----|-------------------|---------|------|---------------------|
| 10 | INSTALLMENT UNITS | NUMBER | 12,3 | |
| 11 | FIRST ORDER TODAY | VARCHAR | 1 | Y/N |
| 12 | SUB BROKER CODE | VARCHAR | 15 | SUB BROKE |
| 13 | EUIN DECLARATION | VARCHAR | 1 | Y/N |
| 14 | EUIN NUMBER | VARCHAR | 10 | EUIN FLAG |
| 15 | REMARKS | VARCHAR | 100 | |
| 16 | SUB BROKER ARN | VARCHAR | 20 | SUB BROKER ARN CODE |
| 17 | MOBILE NO | VARCHAR | 10 | MOBILE NO |
| 18 | EMAIL ID | VARCHAR | 50 | EMAIL ID |
| 19 | BANK ACCOUNT NO | VARCHAR | 50 | |

SWP CANCELLATION

PATH:- SWP - SWP BULK UPLOAD - SWP CANCELLATION

| SR NO | FIELD NAME | FIELD TYPE | SIZE | DESCRIPTION |
|-------|-----------------|------------|------|-----------------------------|
| 1 | SWP REGN NUMBER | NUMBER | 15 | SWP REG. NO OF GIVEN CLIENT |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | REMARK | VARCHAR | 100 | CANCEL REMARKS |

STP REGISTRATION REPORT

PATH:- STP - STP REG & CAN REPORT - STP REGISTRATION REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | DESCRIPTION |
|-------|---------------------|------------|--------------|--------------------------|
| 1 | STATUS | VARCHAR | 11 | |
| 2 | MEMBER CODE | NUMBER | 11 | MEMBER ID |
| 3 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 4 | STP REGISTRATION NO | NUMBER | 15 | |
| 5 | FOLIO NO | VARCHAR | 20 | |

| | | | | |
|----|-------------------------|---------|-----|--|
| 6 | INTERNAL REF. NO | VARCHAR | 25 | ANY NOTES OR UNIQUE IDENTIFIER FROM MEMBER |
| 7 | FROM AMC NAME | VARCHAR | 255 | FROM AMC NAME |
| 8 | TO AMC NAME | | 255 | TO AMC NAME |
| 9 | FROM SCHEME NAME | VARCHAR | 200 | FROM SCHEME NAME |
| 10 | TO SCHEME NAME | VARCHAR | 200 | TO SCHEME NAME |
| 11 | STP REGISTRATION DATE | DATE | | DD MM YYYY |
| 12 | STP START DATE | DATE | | DD MM YYYY |
| 13 | STP END DATE | DATE | | DD MM YYYY |
| 14 | FREQUENCY TYPE | VARCHAR | 2 | DAILY/WEEKLY/MONTHLY/ QUARTERLY |
| 15 | TRXN. MODE | VARCHAR | 2 | D/P |
| 16 | TRANSFER AMOUNT | NUMBER | 12 | AMOUNT |
| 17 | TRANSFER UNITS | NUMBER | 12 | |
| 18 | NO. OF TRANSFER | | | |
| 19 | FIRST ORDER TODAYS FLAG | VARCHAR | 1 | Y/N |
| 20 | EUIN DECLARATION | VARCHAR | 1 | Y/N |
| 21 | EUIN NO | VARCHAR | 10 | EUIN FLAG |
| 22 | SUB BR CODE | VARCHAR | 15 | SUB BROKER CODE |
| 23 | REMARKS | VARCHAR | 100 | |
| 24 | SUB BR ARN CODE | VARCHAR | 20 | SUB BROKER ARN CODE |
| 25 | BUY/SELL TYPE | | | MANDATORY FRESH/ADDITIONAL |
| 26 | FROM NSE SCHEME CODE | | | |
| 27 | TO NSE SCHEME CODE | | | |
| 28 | EMAIL ID | VARCHAR | 50 | EMAIL ID |
| 29 | MOBILE NO | VARCHAR | 10 | MOBILE NO |

STP CANCELLATION REPORT

PATH:- STP - STP REG & CAN REPORT - STP CANCELLATION REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIEL D LENG TH | DESCRIPTION |
|-------|-----------------------|------------|----------------|---------------------------------|
| 1 | MEMBER CODE | NUMBER | 11 | MEMBER ID |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | STP REGISTRATION NO | NUMBER | 15 | |
| 4 | FOLIO NO | VARCHAR | 20 | |
| 5 | FROM AMC NAME | VARCHAR | 255 | FROM AMC NAME |
| 6 | TO AMC NAME | VARCHAR | 255 | TO AMC NAME |
| 7 | FROM SCHEME NAME | VARCHAR | 200 | FROM SCHEME NAME |
| 8 | TO SCHEME NAME | VARCHAR | 200 | TO SCHEME NAME |
| 9 | STP REGISTRATION DATE | DATE | | DD MMM YYYY |
| 10 | STP START DATE | DATE | | DD MMM YYYY |
| 11 | STP END DATE | DATE | | DD MMM YYYY |
| 12 | FREQUENCY TYPE | VARCHAR | 20 | DAILY/WEEKLY/MONTHLY/ QUARTERLY |
| 13 | TRXN. MODE | VARCHAR | 2 | D/P |
| 14 | TRANSFER AMOUNT | NUMBER | 12 | AMOUNT |
| 15 | TRANSFER UNITS | NUMBER | 12 | |
| 16 | NO. OF TRANSFER | | | |
| 17 | EUIN DECLARATION | VARCHAR | 1 | Y/N |
| 18 | EUIN NO | VARCHAR | 10 | EUIN FLAG |
| 19 | SUB BR CODE | VARCHAR | 15 | |
| 20 | REMARKS | VARCHAR | 100 | |
| 21 | STP CANCELLATION DATE | DATE | | MM/DD/YYYY |
| 22 | STP CANCELLED BY | NUMBER | 11 | |

STP INSTALLMENT DUE REPORT

PATH:- STP – STP INSTALLMENT DUE REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARKS |
|-------|-------------------------------|------------|--------------|---------------------------------|
| 1 | MEMBER CODE | NUMBER | 11 | |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | CLIENT NAME | VARCHAR | 20 | |
| 4 | STP REGISTRATION NO. | NUMBER | 15 | |
| 5 | FOLIO NO. | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 6 | INTERNAL REF. NO. | VARCHAR | 25 | |
| 7 | STP REGISTRATION DATE | DATE | | DD/MM/YYYY HH:MM:SS |
| 8 | FROM AMC NAME | VARCHAR | 255 | EXCHANGE AMC NAME |
| 9 | TO AMC NAME | VARCHAR | 255 | EXCHANGE AMC NAME |
| 10 | SCHEME CODE | VARCHAR | | |
| 11 | FROM SCHEME NAME | VARCHAR | 200 | SCHEME CODE + SCHEME NAME |
| 12 | TO SCHEME NAME | VARCHAR | 200 | SCHEME CODE + SCHEME NAME |
| 13 | FREQUENCY TYPE | VARCHAR | 15 | DAILY/WEEKLY/MONTHLY/ QUARTERLY |
| 14 | TRANSFER AMOUNT | NUMBER | 12,2 | AMOUNT |
| 15 | TRANSFER UNITS | NUMBER | 12,2 | |
| 16 | DUE DATE | DATE | | DD MMM YYYY |
| 17 | PREV TRANSFER DATE | DATE | | DD MMM YYYY |
| 18 | NO. OF TRANSFER COMPLETED | NUMBER) | 4 | |
| 19 | TOTAL INSTALLMENT AMOUNT PAID | NUMBER | 12,2 | |
| 20 | ENTRY BY | NUMBER | 11 | |

| | | | | |
|----|-------------------------|---------|-----|-----------------|
| 21 | DP TRANSACTION | VARCHAR | 1 | |
| 22 | FIRST ORDER TODAYS FLAG | VARCHAR | 1 | Y/N |
| 23 | EUIN DECLARATION | VARCHAR | 1 | Y/N |
| 24 | EUIN NUMBER | VARCHAR | 10 | EUIN FLAG |
| 25 | SUB BR CODE | VARCHAR | 15 | SUB BROKER CODE |
| 26 | REMARKS | VARCHAR | 100 | |

STP MATURED REPORT

PATH:- STP – MATURED STP REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARKS |
|-------|-----------------------|------------|--------------|------------------------------------|
| 1 | STP REGISTRATION NO. | NUMBER | 15 | |
| 2 | CLIENT NAME | VARCHAR | 70 | CLIENT CODE + CLIENT NAME |
| 3 | FOLIO NO. | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 4 | INTERNAL REF. NO. | VARCHAR | 10 | |
| 5 | AMC NAME | VARCHAR | 255 | EXCHANGE AMC CODE |
| 6 | FROM SCHEME NAME | VARCHAR | 200 | SCHEME CODE + SCHEME NAME |
| 7 | TO SCHEME NAME | VARCHAR | 200 | SCHEME CODE + SCHEME NAME |
| 8 | STP REGISTRATION DATE | DATE | | DD/MM/YYYY HH: MM:SS |
| 9 | STP START DATE | DATE | | DD/MM/YYYY |
| 10 | STP END DATE | DATE | | DD/MM/YYYY |
| 11 | FREQUENCY TYPE | VARCHAR | 15 | DAILY/WEEKLY/MONTHLY/ QUARTERLY |
| 12 | TRXN. MODE | VARCHAR | 1 | D/P |
| 13 | TRANSFER AMOUNT | NUMBER | 12,2 | AMOUNT |
| 14 | TRANSFER UNITS | NUMBER | 12,2 | |

| | | | | |
|----|------------------------------|---------|-----|---------------------|
| 15 | NO. OF TRANSFERS | | | |
| 16 | LAST TRANSFER TRIGGERED | | | |
| 17 | LAST TRANSFER TRIGGERED DATE | DATE | | DD MMM YYYY |
| 18 | FIRST ORDER TODAYS FLAG | VARCHAR | 1 | Y/N |
| 19 | EUIN DECLARATION | VARCHAR | 1 | Y/N |
| 20 | EUIN NUMBER | VARCHAR | 10 | EUIN FLAG |
| 21 | SUB BR CODE | VARCHAR | 15 | SUB BROKER CODE |
| 22 | REMARKS | VARCHAR | 100 | |
| 23 | SUB BR ARN CODE | VARCHAR | 20 | SUB BROKER ARN CODE |
| 24 | BUY/SELL TYPE | | | FRESH/ADDITIONAL |

SWP REGISTRATION REPORT

PATH:- SWP - SWP REG & CAN REPORT - SWP REGISTRATION REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | DESCRIPTION |
|-------|-----------------------|------------|--------------|--------------------------|
| 1 | STATUS | VARCHAR | 11 | |
| 2 | MEMBER CODE | NUMBER | 11 | MEMBER ID |
| 3 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 4 | SWP REGISTRATION NO | NUMBER | 15 | |
| 5 | FOLIO NO | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 6 | AMC NAME | | 10 | EXCHANGE AMC CODE |
| 7 | SCHEME NAME | VARCHAR | 200 | |
| 8 | FREQUENCY TYPE | VARCHAR | 20 | |
| 9 | SWP REGISTRATION DATE | DATE | | |
| 10 | SWP START DATE | DATE | | DD MMM YYYY |

| | | | | |
|----|--------------------|---------|------|---------------------|
| 11 | SWP END DATE | DATE | | DD MMM YYYY |
| 12 | WITHDRAWAL AMOUNT | NUMBER | 12,2 | |
| 13 | WITHDRAWAL UNITS | NUMBER | 12,3 | |
| 14 | NO. OF WITHDRAWALS | | | |
| 15 | EUIN DECLARATION | VARCHAR | 1 | EUIN FLAG |
| 16 | EUIN NO | VARCHAR | 10 | Y/N |
| 17 | SUB BR CODE | VARCHAR | 15 | SUB BROKER CODE |
| 18 | FIRST ORDER FLAG | VARCHAR | 1 | Y/N |
| 19 | INT REF NO. | VARCHAR | 10 | |
| 20 | REMARK | VARCHAR | 100 | |
| 21 | SUB BR ARN CODE | VARCHAR | 20 | SUB BROKER ARN CODE |
| 22 | NSE SCHEME CODE | NUMBER | 11 | |
| 23 | TRANSACTION MODE | CHAR | 1 | TRANSACTION MODE |
| 24 | EMAIL ID | VARCHAR | 50 | EMAIL ID |
| 25 | MOBILE NO | VARCHAR | 10 | MOBILE NO |
| 26 | BANK ACCOUNT NO. | VARCHAR | 50 | |

SWP CANCELLATION REPORT

PATH:- SWP - SWP REG & CAN REPORT - SWP CANCELLATION REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | DESCRIPTION |
|-------|---------------------|------------|--------------|--------------------------|
| 1 | MEMBER CODE | NUMBER | 11 | MEMBER ID |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | SWP REGISTRATION NO | NUMBER | 15 | |
| 4 | FOLIO NO | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 5 | AMC NAME | VARCHAR | 255 | EXCHANGE AMC CODE |
| 6 | SCHEME NAME | VARCHAR | 200 | |

| | | | | |
|----|----------------------------|---------|-----|-----------------|
| 7 | SWP REGISTRATION DATE | DATE | | |
| 8 | SWP START DATE | DATE | | DD/MM/YYYY |
| 9 | SWP END DATE | DATE | | DD/MM/YYYY |
| 10 | WITHDRAWL AMOUNT | NUMBER | 12 | |
| 11 | NO. OF TRANSFER | | | |
| 12 | EUIN DECLARATION | VARCHAR | 1 | EUIN FLAG |
| 13 | EUIN NO | VARCHAR | 10 | Y/N |
| 14 | SUB BR CODE | VARCHAR | 15 | SUB BROKER CODE |
| 15 | REMARKS | VARCHAR | 100 | |
| 16 | TOTAL INSTALLMENT AMT PAID | NUMBER | 12 | |
| 17 | SWP CANCELLED BY | NUMBER | 11 | |

MATURED SWP REPORT

PATH:- SWP - MATURED SWP REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|-----------------------|------------|------|------------------------------------|
| 1 | STATUS | VARCHAR | 11 | |
| 2 | MEMBER CODE | NUMBER | 11 | MEMBER ID |
| 3 | CLIENT NAME | VARCHAR2 | 20 | |
| 4 | SWP REGISTRATION NO. | NUMBER | 15 | SWP REGISTRATION NO |
| 5 | FOLIO NO. | VARCHAR2 | 20 | EXISTING FOLIO NO IF ANY |
| 6 | AMC NAME | VARCHAR2 | 255 | EXCHANGE AMC CODE |
| 7 | SCHEME NAME | VARCHAR | 200 | SCHEME NAME |
| 8 | FREQUENCY TYPE | VARCHAR | 15 | DAILY/WEEKLY/MONTHLY/ QUARTERLY |
| 9 | SWP REGISTRATION DATE | DATE | | DD/MM/YYYY HH: MM:SS |
| 10 | SWP START DATE | DATE | | DD/MM/YYYY |

| | | | | |
|----|---------------------------------|---------|------|---------------------|
| 11 | SWP END DATE | DATE | | DD/MM/YYYY |
| 12 | WITHDRAWL AMOUNT | VARCHAR | 15 | |
| 13 | WITHDRAWL UNITS | VARCHAR | 1 | |
| 14 | NO. OF WITHDRAWLS | NUMBER | 12,2 | |
| 15 | LAST INSTALLMENT TRIGGERED | NUMBER | 4 | |
| 16 | LAST INSTALLMENT TRIGGERED DATE | DATE | | DD MMM YYYY |
| 17 | EUIN DECLARATION | VARCHAR | 1 | Y/N |
| 18 | EUIN NUMBER | VARCHAR | 10 | EUIN FLAG |
| 19 | SUB BR CODE | VARCHAR | 15 | SUB BROKER CODE |
| 20 | FIRST ORDER FLAG | VARCHAR | 1 | Y/N |
| 21 | INT REF NO | VARCHAR | 25 | |
| 22 | REMARKS | VARCHAR | 100 | |
| 23 | SUB BROKER ARN CODE | VARCHAR | 20 | SUB BROKER ARN CODE |
| 24 | SCHEME CODE | NUMBER | 11 | SCHEME CODE |
| 25 | TRANSACTION MODE | VARCHAR | 1 | D-DEMAT/P-PHYSICAL |

SWP INSTALLMENT DUE

PATH:- SWP - SWP INSTALLMENT DUE REPORT

| SR NO | FIELD NAME | FIELD TYPE | FIELD LENGTH | REMARKS |
|-------|-------------------|------------|--------------|--------------------------|
| 1 | MEMBER CODE | NUMBER | 11 | MEMBER ID |
| 2 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | CLIENT NAME | NUMBER | 11 | |
| 4 | SWP REG NO | NUMBER | 15 | SWP REGISTRATION NO |
| 5 | FOLIO NO. | VARCHAR | 20 | EXISTING FOLIO NO IF ANY |
| 6 | INTERNAL REF. NO. | VARCHAR | 25 | |
| 7 | REGISTRATION DATE | DATE | | DD/MM/YYYY HH:MM:SS |
| 8 | AMC NAME | VARCHAR | 255 | EXCHANGE AMC CODE |

| | | | | |
|----|--------------------------|---------|------|---------------------------------|
| 9 | SCHEME CODE | VARCHAR | 30 | SCHEME CODE |
| 10 | SCHEME NAME | VARCHAR | 200 | SCHEME NAME |
| 11 | FREQUENCY TYPE | VARCHAR | 15 | DAILY/WEEKLY/MONTHLY/ QUARTERLY |
| 12 | INSTALLMENT AMT. | NUMBER | 12,2 | |
| 13 | INSTALLMENT UNITS | NUMBER | 12,3 | |
| 14 | DUE DATE | DATE | | DD MMM YYYY |
| 15 | PREV PAID DATE | DATE | | DD MMM YYYY |
| 16 | NO. OF INSTALLMENTS PAID | | | |
| 17 | TOTAL INSTALLMENT AMT | NUMBER | 12,2 | |
| 18 | UNIT | NUMBER | 12,3 | |
| 19 | ENTRY BY | NUMBER | 11 | |
| 20 | DP TRANS | VARCHAR | 1 | DEMAT / PHYSICAL |
| 21 | EUIN DECLARATION | VARCHAR | 1 | Y/N |
| 22 | EUIN NUMBER | VARCHAR | 10 | EUIN FLAG |
| 23 | SUB BR CODE | VARCHAR | 15 | SUB BROKER CODE |
| 24 | FIRST ORDER FLAG | VARCHAR | 1 | Y/N |
| 25 | REMARKS | VARCHAR | 100 | |

ALLOTMENT STATEMENT REPORT

PATH:- REPORTS – ALLOTMENT STATEMENT REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|-----------------|------------|------|------------|
| 1 | REPORT DATE | DATE | | YYYY-MM-DD |
| 2 | ORDER NO | NUMERIC | 12 | |
| 3 | SETTLEMENT TYPE | VARCHAR | 10 | |
| 4 | SETTLEMENT NO | NUMERIC | 11 | |
| 5 | ORDER DATE | DATE | | YYYY-MM-DD |
| 6 | SCHEME CODE | VARCHAR | 30 | |
| 7 | ISIN | VARCHAR | 12 | |

| | | | | |
|----|------------------|---------|------|---|
| 8 | AMOUNT | NUMERIC | 15,2 | |
| 9 | QTY | NUMBER | 16 | |
| 10 | MEMBER ID | NUMBER | 11 | MEMBER ID |
| 11 | BRANCH CODE | VARCHAR | 10 | CORPBRANCH |
| 12 | USER ID | NUMERIC | 11 | LOGIN ID |
| 13 | FOLIO NO | VARCHAR | 20 | |
| 14 | RTA SCHEME CODE | VARCHAR | 10 | |
| 15 | RTA TRANS NO | VARCHAR | 30 | |
| 16 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 17 | CLIENT NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 18 | BENEFICIARY ID | VARCHAR | 16 | |
| 19 | ALLOTTED NAV | NUMBER | 14 | |
| 20 | ALLOTTED UNIT | NUMBER | 16 | |
| 21 | ALLOTMENT AMT | NUMERIC | 15,2 | ALLOTTED AMOUNT |
| 22 | VALID FLAG | CHAR | 1 | Y/N |
| 23 | REMARKS | VARCHAR | | |
| 24 | STT | VARCHAR | | SECURITY TRANSACTION TAX |
| 25 | INTERNAL REF NO | VARCHAR | 10 | |
| 26 | ORDER TYPE | VARCHAR | 3 | NRM/SIP/XSIP/ISIP/STP/ AMC- STP/SWP/SI/SO |
| 27 | SIP REGN NO | NUMERIC | 15 | SIP REGISTRATION NO. |
| 28 | SIP REG DATE | DATE | | DD/MM/YYYY |
| 29 | SUB BR CODE | VARCHAR | 15 | SUB BROKER CODE |
| 30 | EUIN | VARCHAR | 10 | |
| 31 | EUIN DECLARATION | VARCHAR | 1 | Y/N |

| | | | | |
|----|------------------|---------|-----|-------------------|
| 32 | DPC FLAG | CHAR | 1 | |
| 33 | DP TRANS | VARCHAR | 1 | C/P/N |
| 34 | ORDER SUB TYPE | VARCHAR | 6 | NRM/SWITCH/SPREAD |
| 35 | SCHEME NAME | VARCHAR | 200 | |
| 36 | STAMP DUTY | VARCHAR | | |
| 37 | PG/BANK REF. NO. | VARCHAR | 25 | BANK REF NO. |
| 38 | BANK ACCOUNT NO. | VARCHAR | 20 | |
| 39 | MOBILE NO | VARCHAR | 10 | |
| 40 | EMAIL ID | VARCHAR | 50 | |

REFUND REPORT

PATH:- REPORTS – REFUND REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|------------------|------------|------|--------------------------|
| 1 | MEMBER CODE | NUMERIC | 11 | |
| 2 | TRANSACTION DATE | DATE | | DD/MM/YYYY |
| 3 | ORDER NO. | NUMERIC | 12 | |
| 4 | SETT NO. | NUMERIC | 11 | |
| 5 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 6 | CLIENT NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 7 | SCHEME CODE | VARCHAR | 30 | |
| 8 | SCHEME NAME | VARCHAR | 200 | |
| 9 | ISIN | VARCHAR | 12 | |
| 10 | BUY SELL | | | |
| 11 | AMOUNT | NUMERIC | 15,2 | |
| 12 | REFUND AMOUNT | NUMERIC | 15,2 | |

| | | | | |
|----|-------------------|---------|-----|--|
| 13 | UNITS | NUMBER | 16 | |
| 14 | DP TRANS | CHAR | 1 | C = CDSL, N = NSDL, P = PHYSICAL |
| 15 | DP FOLIO NO. | VARCHAR | 20 | |
| 16 | FOLIO NO. | VARCHAR | 20 | |
| 17 | INTERNAL REF NO. | VARCHAR | 10 | SIP REFERENCE NO |
| 18 | SETT TYPE | VARCHAR | 10 | |
| 19 | ORDER TYPE | VARCHAR | 3 | NRM/SIP/XSIP/ISIP/STP/ AMC-STP/SWP/SI/SO |
| 20 | ORDER STATUS | CHAR | 1 | VALID/ INVALID |
| 21 | ORDER REMARKS | VARCHAR | 100 | PROVISIONAL REMARK |
| 22 | ALLOTMENT STATUS | VARCHAR | 10 | PENDING/Y/N |
| 23 | DATE OF ALLOTMENT | DATE | | DD/MM/YYYY |
| 24 | REJECTION DATE | DATE | | DD/MM/YYYY |
| 25 | REFUND STATUS | VARCHAR | 20 | REFUNDED / NOT REFUNDED |
| 26 | REFUND DATE | DATE | | DD/MM/YYYY |
| 27 | REFUND REFERENCE | VARCHAR | 50 | |

REDEMPTION STATEMENT REPORT

PATH:- REPORTS – REDEMPTION STATEMENT REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|-------------|------------|------|------------|
| 1 | REPORT DATE | DATE | | DD-MM-YYYY |

| | | | | |
|---|-----------------|---------|----|------------|
| 2 | ORDER NO | NUMERIC | 12 | |
| 3 | SETTLEMENT TYPE | VARCHAR | 10 | |
| 4 | SETTLEMENT NO | NUMERIC | 11 | |
| 5 | ORDER DATE | DATE | | DD-MM-YYYY |
| 6 | SCHEME CODE | VARCHAR | 30 | |

| | | | | |
|----|-----------------|---------|------|---|
| 7 | ISIN | VARCHAR | 12 | |
| 8 | AMOUNT | NUMERIC | 15,2 | ORDER AMOUNT |
| 9 | QTY | NUMBER | 16 | |
| 10 | MEMBER ID | NUMBER | 11 | MEMBER ID |
| 11 | BRANCH CODE | VARCHAR | 10 | CORPBRANCH |
| 12 | USER ID | NUMERIC | 11 | LOGIN ID |
| 13 | FOLIO NO | VARCHAR | 20 | |
| 14 | RTA SCHEME CODE | VARCHAR | 10 | |
| 15 | RTA TRANS NO | VARCHAR | 30 | |
| 16 | | VARCHAR | 20 | |
| 17 | CLIENT NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 18 | BENEFICIARY ID | VARCHAR | 16 | |
| 19 | NAV | NUMBER | 14 | |
| 20 | UNIT | NUMBER | 16 | |
| 21 | AMT | NUMERIC | 15,2 | ALLOTTED AMOUNT |
| 22 | VALID FLAG | CHAR | 1 | Y/N |
| 23 | REMARKS | VARCHAR | | |
| 24 | STT | VARCHAR | | SECURITY TRANSACTION TAX |
| 25 | DPC | CHAR | 1 | Y/N |
| 26 | DP TRANS | CHAR | 1 | C = CDSL, N = NSDL, P = PHYSICAL |
| 27 | ORDER TYPE | VARCHAR | 3 | NRM/SIP/XSIP/ISIP/STP/AMC- STP/SWP/SI/SO |
| 28 | ORDER SUB TYPE | VARCHAR | 6 | NRM/SWITCH/SPREAD |
| 29 | SCHEME NAME | VARCHAR | 200 | |
| 30 | EXIT LOAD | VARCHAR | | |
| 31 | TAX | VARCHAR | | |

REDEMPTION PAYOUT DATE REPORT

PATH:- REPORTS – REDEMPTION PAYOUT DATE REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|------------|------------|------|---------|
|-------|------------|------------|------|---------|

| | | | | |
|---|-------------|---------|----|--------------------------|
| 1 | AMC CODE | VARCHAR | 50 | EXCHANGE AMC CODE |
| 2 | MEM CODE | NUMBER | 11 | MEMBER ID |
| 3 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |

| | | | | |
|----|-------------------------|---------|------|----------------------------|
| 4 | FOLIO NUMBER | VARCHAR | 20 | |
| 5 | PRODUCT CODE | VARCHAR | 10 | RTA SCHEME CODE |
| 6 | SCHEME CODE | VARCHAR | 30 | |
| 7 | SCHEME NAME | VARCHAR | 200 | |
| 8 | INVESTOR NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 9 | PAN NUMBER | VARCHAR | 10 | |
| 10 | TRANSACTION TYPE | CHAR | 1 | TRANSACTION TYPE (P/R) |
| 11 | RTA TRANSACTION NUMBER | VARCHAR | 30 | |
| 12 | MEMBER REFERANCE NUMBER | VARCHAR | 500 | |
| 13 | ORDER NUMBER | NUMERIC | 12 | |
| 14 | TRADE DATE | DATE | | DD MON YYYY |
| 15 | AMOUNT | NUMERIC | 15,2 | |
| 16 | STT | NUMERIC | 15,2 | |
| 17 | TOTAL TAX | NUMERIC | 15,2 | |
| 18 | PAYOUT DATE | DATE | | DD MON YYYY |
| 19 | SETTLEMENT TYPE | VARCHAR | 10 | |
| 20 | SETTLEMENT NUMBER | NUMERIC | 11 | |
| 21 | ISIN | VARCHAR | 12 | |
| 22 | PAYOUT STATUS | CHAR | 1 | FUND PAYOUT STATUS (S/T/R) |
| 23 | FUNDS TRANSFER DATE | DATE | | DD MON YYYY hh:mm:ss:fff |
| 24 | ACCOUNT NUMBER | VARCHAR | 20 | |
| 25 | NEFT NUMBER | VARCHAR | 50 | |

| | | | | |
|----|--------------------------------------|---------|-----|------------|
| 26 | REASON For REJECTION NO 1 | VARCHAR | 100 | |
| 27 | Alternate ACCOUNT NUMBER | VARCHAR | 20 | |
| 28 | NEFT NUMBER 2 | VARCHAR | 50 | |
| 29 | REASON For REJECTION NO 2 | VARCHAR | 100 | |
| 30 | Final Payout date to client | DATE | | DD/MM/YYYY |
| 31 | Date of Amount refunded to AMC | DATE | | DD/MM/YYYY |
| 32 | NEFT/RTGS details of Amount refunded | VARCHAR | 50 | |

SECURITY DELIVERY AND SHORTAGE REPORT

PATH:- REPORTS – SECURITY DELIVERY AND SHORTAGE REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|------------------|------------|------|------------------------|
| 1 | TYPE | VARCHAR | 10 | Static value : "TRADE" |
| 2 | MEMBER CODE | NUMBER | 11 | MEMBER ID |
| 3 | ORDER DATE | DATE | | DD-MM-YYYY |
| 4 | SETT NO | NUMERIC | 11 | SETTLEMENT ID |
| 5 | ORDER NO | NUMERIC | 12 | |
| 6 | ISIN | VARCHAR | 12 | |
| 7 | AMOUNT | NUMERIC | 15,2 | |
| 8 | ALLOTTED UNITS | NUMBER | 16 | |
| 9 | NAV | NUMBER | 14 | |
| 10 | DEPOSITORY | VARCHAR | 4 | CDSL/NSDL |
| 11 | DPC | CHAR | 1 | Y/N |
| 12 | ACCOUNT NO | VARCHAR | 20 | |
| 13 | UNITS DELIVERED | NUMBER | 16 | |
| 14 | DATE OF DELIVERY | DATE | | DD-MM-YYYY |

| | | | | |
|----|------------------------------|---------|----|-----------------|
| 15 | SETT TYPE | VARCHAR | 10 | SETTLEMENT TYPE |
| 16 | CDSL SHORTAGE | NUMBER | 16 | |
| 17 | NSDL SHORTAGE | NUMBER | 16 | |
| 18 | SHORTAGE DELIVERED | NUMBER | 16 | |
| 19 | SETTLEMENT NO (SHORTAGE) | NUMERIC | 11 | SETTLEMENT ID |
| 20 | DATE OF DELIVERY (SHORTAGES) | DATE | | DD-MM-YYYY |
| 21 | REMARKS | VARCHAR | | BLANK |

MEMBER FUND ALLOCATION ORDER WISE REPORT OTHER THAN PA

MEMBER FUND ALLOCATION ORDER WISE REPORT

PATH:- REPORTS – MEMBER FUND ALLOCATION ORDER WISE REPORT OTHER THAN PA (MEMBER FUND ALLOCATION ORDER WISE REPORT)

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|-------------------------|------------|------|-------------------------------------|
| 1 | CFPPGBANKREFNO | VARCHAR | 50 | UTR No. (Bank Statement / PG Mis) |
| 2 | DUPLICATEPGBANK | VARCHAR | | BLANK |
| 3 | ORDERPROCESS_DUPLICATEP | VARCHAR | | BLANK |
| | GBANK | | | |
| 4 | IMPORTFILENAME | VARCHAR | 255 | |
| 5 | ERRORREMARKS | VARCHAR | | BLANK |
| 6 | ID | NUMERIC | 10 | SYSTEM ID |
| 7 | UTRNO | VARCHAR | 50 | UTR No. |
| 8 | MEMCODE | | | |
| 9 | CLTCODE | | | |
| 10 | PAYMENTDATE | DATE | | MM/DD/YYYY hh:mm:ss AM/PM |

| | | | | |
|----|-----------------------------|---------|------|----------------------|
| 11 | TOTALAMOUNT | NUMERIC | 15,2 | |
| 12 | TOTALALLOCATEDAMOUNT | NUMERIC | 15,2 | |
| 13 | REMAININGAMOUNT | NUMERIC | 15,2 | |
| 14 | TOTALSETTLEMENTAMOUNT | NUMERIC | 15,2 | |
| 15 | REMAININGAMOUNT_SETTLEM ENT | NUMERIC | 15,2 | |
| 16 | TOTALALLOTMENTAMOUNT | NUMERIC | 15,2 | |
| 17 | REMAININGAMOUNT_ALLOTME NT | NUMERIC | 15,2 | |
| 18 | MAPPEDORDERS | VARCHAR | | |
| 19 | SETTLEDORDERS | VARCHAR | | |
| 20 | ALLOTMENTORDERS | VARCHAR | | |
| 21 | CLIENT_NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 22 | CM_BANKNAME | VARCHAR | 100 | |
| 23 | IFSCCODE | VARCHAR | 11 | |
| 24 | REMITTERACCTNO | VARCHAR | 25 | |
| 25 | CM_TAXSTATUS | DATE | | DD/MM/YYYY |
| 26 | ACCTYPE | VARCHAR | 2 | SB / CB / NE/ NO |
| 27 | REFUNDAMOUNT | DATE | | DD/MM/YYYY |
| 28 | DATEOFREFUND | NUMERIC | 15,2 | |
| 29 | REFUNDUTR | VARCHAR | 50 | UTR No. |

AGEWISE/BANKWISE ORDERS FUNDS RECEIVED REPORT

PATH:- REPORTS – MEMBER FUND ALLOCATION ORDER WISE REPORT OTHER THAN PA (AGEWISE/BANKWISE ORDERS FUNDS RECEIVED REPORT)

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|-------------|------------|------|-----------|
| 1 | MEMBER CODE | NUMBER | 11 | MEMBER ID |

| | | | | |
|----|-------------------------------|---------|------|---|
| 2 | DATE | DATE | | DD/MM/YYYY |
| 3 | ORDER NO. | NUMBER | 12 | |
| 4 | SETT. NO. | NUMERIC | 11 | SETTLEMENT ID |
| 5 | SETTLEMENT TYPE | VARCHAR | 10 | SETTLEMENT TYPE |
| 6 | MODE FUNDS INITIATED RECEIVED | VARCHAR | | BLANK |
| 7 | BANK WHERE FUNDS RECEIVED | VARCHAR | | BLANK |
| 8 | CLIENT CODE | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 9 | CLIENT NAME | VARCHAR | 70 | FIRST APPLICANT NAME |
| 10 | SCHEME CODE | VARCHAR | 30 | |
| 11 | SCHEME NAME | VARCHAR | 200 | |
| 12 | ISIN | VARCHAR | 12 | |
| 13 | BUY VALUE | NUMERIC | 15,2 | AMOUNT FOR PURCHASE |
| 14 | DP TRANS. | CHAR | 1 | TRANSACTION MODE |
| 15 | DP/FOLIO NO. | VARCHAR | 20 | DP/FOLIO NO |
| 16 | FOLIO NO. | VARCHAR | 20 | |
| 17 | ENTRY BY | NUMERIC | 11 | LOGIN ID |
| 18 | ORDER STATUS | VARCHAR | 25 | APPROVED/ INVALID/ PAYMENT NOT INITIATED |
| 19 | FUNDS RECEIVED STATUS | CHAR | 1 | A= Accepted/ C= Cancelled / I = Invalid |
| 20 | DATE OF RECEIPT OF FUNDS | DATE | | DD MON YYYY hh:mm:ss:fff |
| 21 | CREDIT CONFIRMATION ON DATE | DATE | | DD MON YYYY |
| 22 | REFERENCE NO. | VARCHAR | 50 | UTR No. |
| 23 | GROUPID | VARCHAR | | BLANK |

| | | | | |
|----|---------------|---------|------|--|
| 24 | ACC NO | VARCHAR | 50 | Customer Account No. From where Payment Received |
| 25 | REFUND UTR NO | VARCHAR | 50 | UTR No. |
| 26 | REFUND AMOUNT | NUMERIC | 15,2 | |
| 27 | REFUND DATE | DATE | | DD/MM/YYYY |

CLIENT REGISTRATION REPORT

PATH:- MASTER – CLIENT REGISTRATOIN - VIEW

| SR NO | FIELD NAME | FIELD TYPE | SIZ E | REMARKS |
|-------|-------------------------------------|------------|-------|--------------------------|
| 1 | Member Code | VARCHAR | 10 | |
| 2 | Client Code | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | Primary Holder First Name | VARCHAR | 70 | |
| 4 | Primary Holder Middle Name | VARCHAR | 70 | |
| 5 | Primary Holder Last Name | VARCHAR | 70 | |
| 6 | Tax Status | VARCHAR | 2 | |
| 7 | Gender | CHAR | 1 | M/F/O/T |
| 8 | Primary Holder DOB/Incorporation | DATE | | DD/MM/YYYY |
| 9 | Occupation Code | VARCHAR | 2 | |
| 10 | Holding Nature | VARCHAR | 2 | SI/JO/AS |
| 11 | Second Holder First Name | VARCHAR | 70 | |
| 12 | Second Holder Middle Name | VARCHAR | 70 | |
| 13 | Second Holder Last Name | VARCHAR | 70 | |
| 14 | Third Holder First Name | VARCHAR | 70 | |
| 15 | Third Holder Middle Name | VARCHAR | 70 | |
| 16 | Third Holder Last Name | VARCHAR | 70 | |

| | | | | |
|----|---------------------------|---------|-----|--|
| 17 | Second Holder DOB | DATE | 10 | Mandatory if SECOND HOLDER NAME mentioned DD/MM/YYYY |
| 18 | Third Holder DOB | DATE | 10 | MANDATORY IF THIRD HOLDER NAME MENTIONED DD/MM/YYYY |
| 19 | Guardian First Name | VARCHAR | 120 | MANDATORY FOR MINOR CLIENTS |
| 20 | Guardian Middle Name | VARCHAR | 120 | |
| 21 | Guardian Last Name | VARCHAR | 120 | |
| 22 | Guardian DOB | DATE | 10 | Mandatory for Minor Clients DD/MM/YYYY |
| 23 | Primary Holder PAN Exempt | VARCHAR | 1 | 'Y' OR 'N' |
| 24 | Second Holder PAN Exempt | VARCHAR | 1 | 'Y' OR 'N' |
| 25 | Third Holder PAN Exempt | VARCHAR | 1 | 'Y' OR 'N' |
| 26 | Guardian PAN Exempt | VARCHAR | 1 | 'Y' OR 'N' |
| 27 | Primary Holder PAN | VARCHAR | 10 | Mandatory if Primary Holder PAN Exempt flag N. |
| 28 | Second Holder PAN | VARCHAR | 10 | Mandatory if Second Holder PAN Exempt flag N. |
| 29 | Third Holder PAN | VARCHAR | 10 | Mandatory if Third PAN Exempt flag N |
| 30 | Guardian PAN | VARCHAR | 10 | Mandatory if Guardian PAN Exempt flag N. |

| | | | | |
|----|--------------------------------|---------|---|--|
| 31 | Primary Holder-Exempt Category | VARCHAR | 2 | Mandatory if primary holder pan Exempt flag Y. Refer pan exempt category |
| 32 | Second Holder Exempt Category | VARCHAR | 2 | Mandatory if second holder pan Exempt flag Y. Refer pan exempt category |
| 33 | Third Holder Exempt Category | VARCHAR | 2 | Mandatory if third holder pan Exempt flag Y. Refer pan exempt category |

| | | | | |
|----|--------------------------|---------|-----|--|
| 34 | Guardian Exempt Category | VARCHAR | 2 | Mandatory if guardian pan exempt flag Y. Refer pan exempt category |
| 35 | Client Type | VARCHAR | 1 | D/P |
| 36 | DP Status | VARCHAR | 8 | PENDING, ACTIVE, FAILED |
| 37 | DP Status Remarks | VARCHAR | 255 | |
| 38 | PMS | VARCHAR | 1 | Mandatory if client type D (Y/N) |
| 39 | Default DP | VARCHAR | 4 | Mandatory if client type D (CDSL/NSDL) |
| 40 | CDSL DPID | VARCHAR | 8 | Mandatory if Default DP is CDSL |
| 41 | CDSLCLTID | VARCHAR | 16 | Mandatory if Default DP is CDSL |
| 42 | CMBP Id | NUMBER | 16 | Mandatory if client Default DP is NSDL |
| 43 | NSDLDPID | VARCHAR | 8 | Mandatory if client Default DP is NSDL |
| 44 | NSDLCLTID | VARCHAR | 8 | Mandatory if client Default DP is NSDL |
| 45 | Account Type 1 | VARCHAR | 2 | Refer Account Type |
| 46 | Account No 1 | VARCHAR | 40 | |
| 47 | MICR No 1 | VARCHAR | 9 | |
| 48 | IFSC Code 1 | VARCHAR | 11 | |
| 49 | Bank Name 1 | VARCHAR | 255 | Bank Name |
| 50 | Bank Branch 1 | VARCHAR | 255 | Bank Branch |
| 51 | Default Bank Flag 1 | VARCHAR | 1 | |
| 52 | Bank1 Created At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 53 | Bank1 Last Modified At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 54 | Bank1 Status | VARCHAR | 7 | Valid/Invalid |
| 55 | Bank1 Status Remarks | VARCHAR | 255 | |
| 56 | Account Type 2 | VARCHAR | 2 | Refer Account Type |
| 57 | Account No 2 | VARCHAR | 40 | |
| 58 | MICR No 2 | VARCHAR | 9 | |
| 59 | IFSC Code 2 | VARCHAR | 11 | |
| 60 | Bank Name 2 | VARCHAR | 255 | Bank Name |

| | | | | |
|----|------------------------|---------|-----|---------------------------|
| 61 | Bank Branch 2 | VARCHAR | 255 | Bank Branch |
| 62 | Default Bank Flag 2 | VARCHAR | 1 | |
| 63 | Bank2 Created At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 64 | Bank2 Last Modified At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 65 | Bank2 Status | VARCHAR | 7 | Valid/Invalid |
| 66 | Bank2 Status Remarks | VARCHAR | 255 | |
| 67 | Account type 3 | VARCHAR | 2 | Refer Account Type |
| 68 | Account No 3 | VARCHAR | 40 | |
| 69 | MICR No 3 | VARCHAR | 9 | |
| 70 | IFSC Code 3 | VARCHAR | 11 | |
| 71 | Bank Name 3 | VARCHAR | 255 | Bank Name |
| 72 | Bank Branch 3 | VARCHAR | 255 | Bank Branch |
| 73 | Default Bank Flag 3 | VARCHAR | 1 | |
| 74 | Bank3 Created At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 75 | Bank3 Last Modified At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 76 | Bank3 Status | VARCHAR | 7 | Valid/Invalid |
| 77 | Bank3 Status Remarks | VARCHAR | 255 | |
| 78 | Account type 4 | VARCHAR | 2 | Refer Account Type |
| 79 | Account No 4 | VARCHAR | 40 | |
| 80 | MICR No 4 | VARCHAR | 9 | |
| 81 | IFSC Code 4 | VARCHAR | 11 | |
| 82 | Bank Name 4 | VARCHAR | 255 | Bank Name |
| 83 | Bank Branch 4 | VARCHAR | 255 | Bank Branch |
| 84 | Default Bank Flag 4 | VARCHAR | 1 | |
| 85 | Bank4 Created At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 86 | Bank4 Last Modified At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 87 | Bank4 Status | VARCHAR | 7 | Valid/Invalid |
| 88 | Bank4 Status Remarks | VARCHAR | 255 | |
| 89 | Account type 5 | VARCHAR | 2 | Refer Account Type |

| | | | | |
|----|------------------------|---------|-----|---------------------------|
| 90 | Account No 5 | VARCHAR | 40 | |
| 91 | MICR No 5 | VARCHAR | 9 | |
| 92 | IFSC Code 5 | VARCHAR | 11 | |
| 93 | Bank Name 5 | VARCHAR | 255 | Bank Name |
| 94 | Bank Branch 5 | VARCHAR | 255 | Bank Branch |
| 95 | Default Bank Flag 5 | VARCHAR | 1 | |
| 96 | Bank5 Created At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 97 | Bank5 Last Modified At | VARCHAR | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 98 | Bank5 Status | VARCHAR | 7 | Valid/Invalid |

| | | | | |
|-----|----------------------|---------|-----|---|
| 99 | Bank5 Status Remarks | VARCHAR | 255 | |
| 100 | Cheque Name | VARCHAR | 35 | |
| 101 | Div pay mode | VARCHAR | 2 | 01-CHEQUE 02-DIRECT CREDIT 03-ECS 04-NEFT 05-RTGS |
| 102 | Address 1 | VARCHAR | 40 | NOT MANDATORY FOR NRI |
| 103 | Address 2 | VARCHAR | 40 | |
| 104 | Address 3 | VARCHAR | 40 | |
| 105 | City | VARCHAR | 35 | NOT MANDATORY FOR NRI |
| 106 | State | VARCHAR | 2 | NOT MANDATORY FOR NRI |
| 107 | Pincode | VARCHAR | 6 | NOT MANDATORY FOR NRI |
| 108 | Country | VARCHAR | 35 | NOT MANDATORY FOR NRI |
| 109 | Resi. Phone | VARCHAR | 15 | NOT MANDATORY FOR NRI |
| 110 | Resi. Fax | VARCHAR | 15 | NOT MANDATORY FOR NRI |
| 111 | Office Phone | VARCHAR | 15 | NOT MANDATORY FOR NRI |
| 112 | Office Fax | VARCHAR | 15 | NOT MANDATORY FOR NRI |
| 113 | Email | VARCHAR | 50 | |

| | | | | |
|-----|----------------------------|---------|-----|--|
| 114 | Communication Mode | VARCHAR | 1 | P-Physical/E-Email/M-Mobile |
| 115 | Foreign Address 1 | VARCHAR | 40 | Mandatory for NRI, Except for Seafarer. Refer Tax Status |
| 116 | Foreign Address 2 | VARCHAR | 40 | |
| 117 | Foreign Address 3 | VARCHAR | 40 | |
| 118 | Foreign Address City | VARCHAR | 35 | Mandatory for NRI |
| 119 | Foreign Address Pincode | VARCHAR | 10 | Mandatory for NRI |
| 120 | Foreign Address State | VARCHAR | 35 | Mandatory for NRI |
| 121 | Foreign Address Country | VARCHAR | 3 | Mandatory for NRI |
| 122 | Foreign Address Resi Phone | VARCHAR | 15 | |
| 123 | Foreign Address Fax | VARCHAR | 15 | |
| 124 | Foreign Address Off. Phone | VARCHAR | 15 | |
| 125 | Foreign Address Off. Fax | VARCHAR | 15 | |
| 126 | Indian Mobile No. | VARCHAR | 10 | |
| 127 | Nominee 1 Name | VARCHAR | 40 | |
| 128 | Nominee 1 Relationship | VARCHAR | 40 | Mandatory if client nominee 1 available |
| 129 | Nominee 1 Applicable (%) | NUMBER | 5,2 | Mandatory if client nominee 1 available |
| 130 | Nominee 1 Minor Flag | CHAR | 1 | Mandatory if client nominee 1 Available (Y/N) |

| | | | | |
|-----|------------------------|---------|----|---|
| 131 | Nominee 1 DOB | DATE | | Mandatory if Nominee 1 minor flag Y |
| 132 | Nominee 1 Guardian | CHAR | 35 | Mandatory if Nominee 1 minor flag Y |
| 133 | Nominee 2 Name | VARCHAR | 40 | |
| 134 | Nominee 2 Relationship | VARCHAR | 40 | Mandatory if client nominee 2 available |

| | | | | |
|-----|----------------------------|---------|-----|---|
| 135 | Nominee 2 Applicable (%) | NUMBER | 5,2 | Mandatory if client nominee 2 available |
| 136 | Nominee 2 DOB | CHAR | 1 | Mandatory if client nominee 2 Available (Y/N) |
| 137 | Nominee 2 Minor Flag | DATE | | Mandatory if Nominee 2 minor flag Y |
| 138 | Nominee 2 Guardian | CHAR | 35 | Mandatory if Nominee 2 minor flag Y |
| 139 | Nominee 3 Name | VARCHAR | 40 | |
| 140 | Nominee 3 Relationship | VARCHAR | 40 | Mandatory if client nominee 3 available |
| 141 | Nominee 3 Applicable (%) | NUMBER | 5,2 | Mandatory if client nominee 3 available |
| 142 | Nominee 3 DOB | CHAR | 1 | Mandatory if client nominee 3 Available (Y/N) |
| 143 | Nominee 3 Minor Flag | DATE | | Mandatory if Nominee 3 minor flag Y |
| 144 | Nominee 3 Guardian | CHAR | 35 | Mandatory if Nominee 3 minor flag Y |
| 145 | Primary Holder KYC Type | CHAR | 1 | (K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- AADHAAR Ekyc PAN) |
| 146 | Primary Holder CKYC Number | Numeric | 14 | Mandatory if primary holder KYC type 'C' |
| 147 | Second Holder KYC Type | CHAR | 1 | (K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- AADHAAR Ekyc PAN) |
| 148 | Second Holder CKYC Number | Numeric | 14 | Mandatory if second holder KYC type 'C' |
| 149 | Third Holder KYC Type | CHAR | 1 | (K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN) |
| 150 | Third Holder CKYC Number | Numeric | 14 | Mandatory if third holder KYC type 'C' |
| 151 | Guardian KYC Type | CHAR | 1 | (K/C/B/E) (K - KRA Compliant C- |

| | | | | |
|--|--|--|--|---|
| | | | | CKYC Compliant B-BIOMETRIC KYC E- AADHAAR Ekyc PAN) |
|--|--|--|--|---|

| | | | | |
|-----|------------------------------------|---------|----|--|
| 152 | Guardian CKYC Number | Numeric | 14 | Mandatory if Guardian KYC type 'C' |
| 153 | Primary Holder KRA Exempt Ref. No. | Varchar | 10 | Mandatory if Primary Holder Pan Exempt |
| 154 | Second Holder KRA Exempt Ref. No. | Varchar | 10 | Mandatory if Second Holder Pan Exempt |
| 155 | Third Holder KRA Exempt Ref. No | Varchar | 10 | Mandatory if Third Holder Pan Exempt |
| 156 | Guardian Exempt Ref. No | Varchar | 10 | Mandatory if Guardian Pan Exempt |
| 157 | AADHAAR Updated | Char | 1 | |
| 158 | Mapin Id. | varchar | 16 | |
| 159 | Paperless_flag | Char | 1 | Investor onboarding P- Paper/ Zpaperless |
| 160 | LEI No | varchar | 20 | |
| 161 | LEI Validity | date | | dd/mm/yyyy |
| 162 | Email Declaration Flag | varchar | 2 | EMAIL ID SELF DECLARATION |
| 163 | Mobile Declaration Flag | varchar | 2 | MOBILE NO. SELF DECLARATION |
| 164 | Branch | | | |
| 165 | Dealer | | | |
| 166 | Nomination Opt | Varchar | 1 | Y/N |
| 167 | Nomination Authentication Mode | VARCHAR | 18 | W - Wet Signature; E - eSign; O - OTP authentication |
| 168 | Nominee 1 PAN | Varchar | 10 | |
| 169 | Nominee 1 Guardian PAN | Varchar | 10 | |

| | | | | |
|-----|----------------------------------|---------|----|---|
| 170 | Nominee 2 PAN | Varchar | 10 | |
| 171 | Nominee 2 Guardian PAN | Varchar | 10 | |
| 172 | Nominee 3 PAN | Varchar | 10 | |
| 173 | Nominee 3 Guardian PAN | Varchar | 10 | |
| 174 | Second Holder Email | Varchar | 50 | |
| 175 | Second holder Email Declaration | Varchar | 18 | REFER EMAIL ID/MOBILE NO. SELF DECLARATION |
| 176 | Second holder Mobile | Varchar | 10 | |
| 177 | Second holder Mobile Declaration | Varchar | 18 | REFER EMAIL ID/MOBILE NO. SELF DECLARATION |
| 178 | Third Holder Email | Varchar | 50 | |
| 179 | Third holder Email Declaration | Varchar | 18 | REFER EMAIL ID/MOBILE NO. SELF DECLARATION |
| 180 | Third holder Mobile | Varchar | 10 | |
| 181 | Third holder Mobile Declaration | Varchar | 18 | REFER EMAIL ID/MOBILE NO. SELF DECLARATION |
| 182 | Nomination Flag | Varchar | 1 | |
| 183 | Nomination Authentication Date | Varchar | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 184 | Guardian Relationship | Varchar | 40 | FATHER, MOTHER, COURT APPOINTED LEGAL GUARDIAN |
| 185 | Created By | Varchar | 20 | Y/N |
| 186 | Created At | Varchar | 22 | DD/MM/YYYY HH:MI:SS AM/PM |
| 187 | Last Modified By | Varchar | 20 | Y/N |
| 188 | Last Modified At | Varchar | 22 | DD/MM/YYYY HH:MI:SS AM/PM |

SIP/XSIP TOP UP REGISTRAION

PATH:- UTILITIES – BULK UPLOAD – SIP MODIFICATION – TOP UP

| SR NO | FIELD NAME | FIELD TYPE | SIZE | MANDATORY/ NON MANDATORY | REMARKS |
|-------|-----------------------|------------|------|--------------------------|--------------------------|
| 1 | Member Code | VARCHAR | 20 | MANDATORY | |
| 2 | Client Code | VARCHAR | 10 | MANDATORY | UNIQUE CLIENT CODE (UCC) |
| 3 | Req. Type | VARCHAR | 3 | MANDATORY | |
| 4 | SIP/XSIP Reg. No. | VARCHAR | 15 | MANDATORY | |
| 5 | SIP/XSIP Amount | NUMERIC | 15,2 | MANDATORY | |
| 6 | Frequency | VARCHAR | 20 | MANDATORY | |
| 7 | Top Up Amount | NUMERIC | 15,2 | MANDATORY | |
| 8 | Start Date | DATE | 10 | MANDATORY | DD/MM/YYYY |
| 9 | End Date | DATE | 10 | MANDATORY | DD/MM/YYYY |
| 10 | Internal Ref No. | VARCHAR | 10 | OPTIONAL | |
| 11 | Primary Holder Email | VARCHAR | 50 | OPTIONAL | |
| 12 | Primary Holder Mobile | VARCHAR | 10 | OPTIONAL | |

SIP TOP UP REGISTRAION REPORT / XSIP TOP UP REGISTRATION REPORT

PATH:- SIP – SIP TOP UP REGISTRATION REPORT / XSIP – XSIP TOP UP REGISTRATION REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|-------------|------------|------|--------------------------|
| 1 | Member Code | NUMERIC | 11 | MEMBER ID |
| 2 | Client Code | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | Client Name | VARCHAR | 70 | FIRST APPLICANT NAME |
| 4 | Reg. No. | NUMERIC | 15 | AUTO GENERATED BY SYSTEM |
| 5 | Scheme Code | VARCHAR | 10 | |
| 6 | Scheme Name | VARCHAR | 200 | |

| | | | | |
|----|-------------------------------|---------|------|--------------------------------|
| 7 | SIP/XSIP Amount | NUMERIC | 15,2 | TOP UP AMOUNT |
| 8 | Start Date | DATE | | DD-MMM-YYYY |
| 9 | End Date | DATE | | DD-MMM-YYYY |
| 10 | Top Up Amount | NUMERIC | 15,2 | |
| 11 | Date Of Activation | DATE | | DD-MMM-YYYY |
| 12 | Entry By | NUMERIC | 11 | LOGIN ID |
| 13 | Principle SIP REG No | NUMERIC | 15 | PARENT SIP REGISTRATION NUMBER |
| 14 | Principle SIP Internal Ref no | VARCHAR | 10 | |
| 15 | Last Child SIP reg no | NUMERIC | 15 | CHILD SIP REGISTRATION NUMBER |
| 16 | Top Up Frequency | VARCHAR | 15 | |
| 17 | Top Up Status | VARCHAR | 10 | ACTIVE/MATURED/CANCELED |

SIP TOP UP REGISTRAION TRIGGER REPORT / XSIP TOP UP REGISRATION TRIGGER REPORT

PATH:- SIP – SIP TOP UP REGISTRATION TRIGGER REPORT / XSIP – XSIP TOP UP REGISTRATION TRIGGER REPORT

| SR NO | FIELD NAME | FIELD TYPE | SIZE | REMARKS |
|-------|--------------------------|------------|------|--------------------------------|
| 1 | Member Code | NUMERIC | 11 | MEMBER ID |
| 2 | Client Code | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 3 | Client Name | VARCHAR | 70 | FIRST APPLICANT NAME |
| 4 | Parent SIP/XSIP Reg. No. | NUMERIC | 15 | PARENT SIP REGISTRATION NUMBER |
| 5 | Scheme Code | VARCHAR | 10 | |
| 6 | Scheme Name | VARCHAR | 200 | |
| 7 | SIP/XSIP Amount | NUMERIC | 15,2 | TOP UP AMOUNT |
| 8 | Start Date | DATE | | DD-MMM-YYYY |
| 9 | End Date | DATE | | DD-MMM-YYYY |
| 10 | Top Up Amount | NUMERIC | 15,2 | |
| 11 | Date Of Activation | DATE | | DD-MMM-YYYY |

| | | | | |
|----|------------------|---------|----|-------------------------------------|
| 12 | Child SIP reg no | NUMERIC | 15 | CHILD SIP REGISTRATION NUMBER |
| 13 | Top Up Status | VARCHAR | 10 | ACTIVE/MATURED/CANCELED/ PENDING |
| 14 | Internal Ref no | VARCHAR | 10 | |
| 15 | Email | VARCHAR | 50 | |
| 16 | Mobile | VARCHAR | 10 | |
| 17 | Entry By | NUMERIC | 11 | LOGIN ID |
| 18 | Top Up REG No | NUMERIC | 15 | TOP UP REGISTRATION NUMBER |

2FA REPORT

Path:- Reports – 2FA Report

| Sr. No. | Field Name | Data Type | Field Length | Remarks |
|---------|-------------------------|-----------|--------------|--|
| 1 | Type | VARCHAR | | |
| 2 | Action | VARCHAR | | PUR, RED, SWITCH, SIP, STP, SWP |
| 3 | Regn. No. | VARCHAR | 20 | Exchange Order Number/Systematic Registration Number |
| 4 | Date & Timing | VARCHAR | 19 | DD/MM/YYYY HH:MI:SS AM/PM |
| 5 | Member Name | VARCHAR | 100 | |
| 6 | Member Code | VARCHAR | 10 | |
| 7 | Client Code | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 8 | Primary Holder Name | VARCHAR | 70 | |
| 9 | Mode Of Holding | VARCHAR | 2 | |
| 10 | Folio No | VARCHAR | 15 | |
| 11 | Primary Holder Mobile | VARCHAR | 10 | |
| 12 | Primary Holder Email ID | VARCHAR | 50 | |

| | | | | |
|----|---|---------|----|----------------|
| 13 | Primary Holder Authentication Date | VARCHAR | 10 | DD/MM/YYYY |
| 14 | Primary Holder Authentication Status (Yes/No) | VARCHAR | 3 | |
| 15 | Primary Holder Time of Reply | VARCHAR | | HH:MI:SS AM/PM |
| 16 | Second Holder Name | VARCHAR | 70 | |
| 17 | Second Holder Mobile | VARCHAR | 10 | |
| 18 | Second Holder Email ID | VARCHAR | 50 | |
| 19 | Second Holder Authentication Date | VARCHAR | 10 | DD/MM/YYYY |
| 20 | Second Holder Authentication Status (Yes/No) | VARCHAR | 3 | |
| 21 | Second Holder Time of Reply | VARCHAR | | HH:MI:SS AM/PM |
| 22 | Third Holder Name | VARCHAR | 70 | |
| 23 | Third Holder Mobile | VARCHAR | 10 | |
| 24 | Third Holder Email ID | VARCHAR | 50 | |
| 25 | Third Holder Authentication Date | VARCHAR | 10 | DD/MM/YYYY |
| 26 | Third Holder Authentication Status (Yes/No) | VARCHAR | 3 | |
| 27 | Third Holder Time of Reply | VARCHAR | | HH:MI:SS AM/PM |

Client Authorization report

Path:- Reports – Client Authorization report

| Sr. No. | Field Name | Data Type | Field Length | Remarks |
|---------|------------------|-----------|--------------|--------------------------|
| 1 | Auth. Email sent | VARCHAR | 1 | Y/N |
| 2 | Auth Status | VARCHAR | 7 | PENDING, SUCCESS, FAILED |
| 3 | Member Code | VARCHAR | 5 | |
| 4 | Client Code | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |

| | | | | |
|----|-------------------------------------|---------|----|--------------------------|
| 5 | Primary Holder Name | VARCHAR | 70 | |
| 6 | Primary Holder DOB/Incorporation | VARCHAR | | DD/MM/YYYY |
| 7 | Tax Status | VARCHAR | 40 | |
| 8 | Gender | VARCHAR | 1 | F/M/O/T |
| 9 | Holding Nature | VARCHAR | 2 | SI/ JO/ AS |
| 10 | Second Holder Name | VARCHAR | 70 | |
| 11 | Third Holder Name | VARCHAR | 70 | |
| 12 | Guardian Name | VARCHAR | 70 | |
| 13 | Primary Holder PAN | VARCHAR | 10 | |
| 14 | Primary Holder KYC Status | VARCHAR | 7 | PENDING, SUCCESS, FAILED |
| 15 | Second Holder PAN | VARCHAR | 10 | |
| 16 | Second Holder KYC Status | VARCHAR | 7 | PENDING, SUCCESS, FAILED |
| 17 | Third Holder PAN | VARCHAR | 10 | |
| 18 | Third Holder KYC Status | VARCHAR | 7 | PENDING, SUCCESS, FAILED |
| 19 | Guardian PAN | VARCHAR | 10 | |
| 20 | Created By | VARCHAR | 20 | |

| | | | | |
|----|---------------------------|---------|----|---------------------------|
| 21 | Created At | VARCHAR | | DD/MM/YYYY HH:MI:SS AM/PM |
| 22 | First Holder FATCA Exists | VARCHAR | 1 | Y/N |
| 23 | First Holder AOF Exists | VARCHAR | 1 | Y/N |
| 24 | First Holder eLog Exists | VARCHAR | 1 | Y/N |
| 25 | First Holder mail id | VARCHAR | 75 | |

| | | | | |
|----|--------------------------|---------|----|--------------------------|
| 26 | First Holder mobile no | VARCHAR | 10 | |
| 27 | First Holder Auth Status | VARCHAR | 7 | PENDING, SUCCESS, FAILED |

| | | | | |
|----|--------------------------------|---------|-----|---------------------------|
| 28 | First Holder Auth Remarks | VARCHAR | 100 | |
| 29 | First Holder Auth Date & Time | VARCHAR | | DD/MM/YYYY HH:MI:SS AM/PM |
| 30 | Second Holder FATCA Exists | VARCHAR | 1 | Y/N |
| 31 | Second Holder AOF Exists | VARCHAR | 1 | Y/N |
| 32 | Second Holder eLog Exists | VARCHAR | 1 | Y/N |
| 33 | Second Holder mail id | VARCHAR | 75 | |
| 34 | Second Holder mobile no | VARCHAR | 10 | |
| 35 | Second Holder Auth Status | VARCHAR | 7 | PENDING, SUCCESS, FAILED |
| 36 | Second Holder Auth Remarks | VARCHAR | 100 | |
| 37 | Second Holder Auth Date & Time | VARCHAR | | DD/MM/YYYY HH:MI:SS AM/PM |
| 38 | Third Holder FATCA Exists | VARCHAR | 1 | Y/N |
| 39 | Third Holder AOF Exists | VARCHAR | 1 | Y/N |
| 40 | Third Holder eLog Exists | VARCHAR | 1 | Y/N |
| 41 | Third Holder mail id | VARCHAR | 75 | |
| 42 | Third Holder mobile no | VARCHAR | 10 | |
| 43 | Third Holder Auth Status | VARCHAR | 7 | PENDING, SUCCESS, FAILED |
| 44 | Third Holder Auth Remarks | VARCHAR | 100 | |
| 45 | Third Holder Auth Date & Time | VARCHAR | | DD/MM/YYYY HH:MI:SS AM/PM |

| | | | | |
|----|--------------------|---------|-----|-------------------------|
| 46 | Demat Status | VARCHAR | 7 | PENDING, ACTIVE, FAILED |
| 47 | Default DP | VARCHAR | 4 | CDSL/NSDL/PHYS |
| 48 | CDSL DPID | VARCHAR | 8 | |
| 49 | CDSL CLTID | VARCHAR | 8 | |
| 50 | CMBP ID | VARCHAR | 16 | |
| 51 | NSDL DPID | VARCHAR | 8 | |
| 52 | NSDL CLTID | VARCHAR | 8 | |
| 53 | Bank1 account type | VARCHAR | 2 | SB/CB/NE/NO |
| 54 | Bank1 account no | VARCHAR | 20 | |
| 55 | Bank1 Name | VARCHAR | 100 | |
| 56 | Bank1 IFSC | VARCHAR | 11 | |
| 57 | Bank1 Status | VARCHAR | 7 | PENDING, ACTIVE, FAILED |
| 58 | Bank2 account type | VARCHAR | 2 | SB/CB/NE/NO |
| 59 | Bank2 account no | VARCHAR | 20 | |
| 60 | Bank2 Name | VARCHAR | 100 | |
| 61 | Bank2 IFSC | VARCHAR | 11 | |
| 62 | Bank2 Status | VARCHAR | 7 | PENDING, ACTIVE, FAILED |
| 63 | Bank3 account type | VARCHAR | 2 | SB/CB/NE/NO |
| 64 | Bank3 account no | VARCHAR | 20 | |
| 65 | Bank3 Name | VARCHAR | 100 | |
| 66 | Bank3 IFSC | VARCHAR | 11 | |
| 67 | Bank3 Status | VARCHAR | 7 | PENDING, ACTIVE, FAILED |
| 68 | Bank4 account type | VARCHAR | 2 | SB/CB/NE/NO |
| 69 | Bank4 account no | VARCHAR | 20 | |
| 70 | Bank4 Name | VARCHAR | 100 | |
| 71 | Bank4 IFSC | VARCHAR | 11 | |
| 72 | Bank4 Status | VARCHAR | 7 | PENDING, ACTIVE, FAILED |
| 73 | Bank5 account type | VARCHAR | 2 | SB/CB/NE/NO |
| 74 | Bank5 account no | VARCHAR | 20 | |

| | | | | |
|----|--------------|---------|-----|-------------------------|
| 75 | Bank5 Name | VARCHAR | 100 | |
| 76 | Bank5 IFSC | VARCHAR | 11 | |
| 77 | Bank5 Status | VARCHAR | 7 | PENDING, ACTIVE, FAILED |

Order Lifecycle Report

Path:- Reports – Order Lifecycle Report

| Sr. No. | Field Name | Data Type | Field Length | Remarks |
|---------|------------|-----------|--------------|---------|
|---------|------------|-----------|--------------|---------|

| | | | | |
|----|------------------------------------|---------|-----|---|
| 1 | Type | VARCHAR | | |
| 2 | Action | VARCHAR | | PUR, RED, SWITCH, SIP, XSIP, STP, SWP, SIP Cancellation, XSIP Cancellation, STP Cancellation, STP Cancellation and SWP Cancellation, Emandate Registration and ACH Mandate Registration |
| 3 | Regn. No. | VARCHAR | 20 | Exchange Order Number/Systematic Registration Number/Mandate ID |
| 4 | Date & Timing | VARCHAR | 19 | DD/MM/YYYY HH:MI:SS AM/PM |
| 5 | Member Name | VARCHAR | 100 | |
| 6 | Member Code | VARCHAR | 10 | |
| 7 | Client Code | VARCHAR | 10 | UNIQUE CLIENT CODE (UCC) |
| 8 | Primary Holder Name | VARCHAR | 70 | |
| 9 | Mode Of Holding | VARCHAR | 2 | |
| 10 | Folio No | VARCHAR | 15 | |
| 11 | Primary Holder Mobile | VARCHAR | 10 | |
| 12 | Primary Holder Email ID | VARCHAR | 50 | |
| 13 | Primary Holder Authentication Date | VARCHAR | 10 | DD/MM/YYYY |

| | | | | |
|----|---|---------|----|----------------|
| 14 | Primary Holder Authentication Status (Yes/No) | VARCHAR | 3 | |
| 15 | Primary Holder Time of Reply | VARCHAR | | HH:MI:SS AM/PM |
| 16 | Second Holder Name | VARCHAR | 70 | |
| 17 | Second Holder Mobile | VARCHAR | 10 | |
| 18 | Second Holder Email ID | VARCHAR | 50 | |
| 19 | Second Holder Authentication Date | VARCHAR | 10 | DD/MM/YYYY |
| 20 | Second Holder Authentication Status (Yes/No) | VARCHAR | 3 | |
| 21 | Second Holder Time Of Reply | VARCHAR | | HH:MI:SS AM/PM |
| 22 | Third Holder Name | VARCHAR | 70 | |
| 23 | Third Holder Mobile | VARCHAR | 10 | |
| 24 | Third Holder Email ID | VARCHAR | 50 | |
| 25 | Third Holder Authentication | VARCHAR | 10 | DD/MM/YYYY |

| | | | | |
|----|--|---------|---|---|
| | Date | | | |
| 26 | Third Holder Authentication Status(Yes/No) | VARCHAR | 3 | |
| 27 | Third Holder Time Of Reply | VARCHAR | | HH:MI:SS AM/PM |
| 28 | Mode of Payment | VARCHAR | | Net banking, UPI, RTGS/NEFT, Mandate, Cheque |
| 29 | Payment Account No | VARCHAR | | Net banking, UPI – Account Number RTGS/NEFT- Display Virtual Account Number Mandate - Mandate Account number, Cheque – Cheque number |

| | | | | |
|----|-------------------------------------|---------|----|--|
| 30 | Payment Date &Time | VARCHAR | | DD/MM/YYYY HH:MI:SS AM/PM |
| 31 | Payment Status | VARCHAR | | SUCCESS, PENDING, FAILED |
| 32 | Payment Reference Number | VARCHAR | 30 | Internal reference number |
| 33 | Redemption Payment Account Type | VARCHAR | | S, T, R |
| 34 | Redemption Payout Account Number | VARCHAR | | As per order details |
| 35 | Redemption Payout Amount | VARCHAR | | As per order details |
| 36 | Redemption Payout date and Time | VARCHAR | | DD/MM/YYYY HH:MI::SS AM/PM |
| 37 | Redemption Payment Entity | VARCHAR | | NCL, AMC |
| 38 | Settlement Number | VARCHAR | | Reconciliation settlement number |
| 39 | Reconciliation Date & Time | VARCHAR | | DD/MM/YYYY HH:MM::SS AM/PM |
| 40 | Reconciliation Status | VARCHAR | | PENDING, SUCCESS |
| 41 | Order Status | VARCHAR | | VALID, INVALID |
| 42 | Order Remarks | VARCHAR | | As per order details |
| 43 | Refund Date | VARCHAR | | the date on which refund is made to investor account. DD/MM/YYYY |
| 44 | Refund Amount | VARCHAR | | As per order details |
| 45 | Refund Account Number | VARCHAR | | As per order details |
| 46 | Refund Status | VARCHAR | | SUCCESS, PENDING, FAILED |
| 47 | Refund Reference Number | VARCHAR | | Internal reference number |
| 48 | Mandate ID | VARCHAR | | As per Mandate Reg. Details |
| 49 | Mandate Registration Date and Time | VARCHAR | | As per Mandate Reg. Details |
| 50 | Mandate Authorization Date and Time | VARCHAR | | As per Mandate Reg. Details |

| | | | | |
|----|----------------|---------|--|-----------------------------|
| 51 | Mandate Amount | VARCHAR | | As per Mandate Reg. Details |
| 52 | Mandate Type | VARCHAR | | As per Mandate Reg. Details |

| | | | | |
|----|-----------------------------|---------|-----|-----------------------------|
| 53 | Mandate Bank Name | VARCHAR | 100 | As per Mandate Reg. Details |
| 54 | Mandate Bank account Number | VARCHAR | 20 | As per Mandate Reg. Details |
| 55 | Mandate IFSC code | VARCHAR | 11 | As per Mandate Reg. Details |
| 56 | Mandate From Date | VARCHAR | | As per Mandate Reg. Details |
| 57 | Mandate To Date | VARCHAR | | As per Mandate Reg. Details |
| 58 | Mandate Status | VARCHAR | | As per Mandate Reg. Details |
| 59 | Mandate UMRN | VARCHAR | | As per Mandate Reg. Details |

NFT Bulk Upload – Nominee

Path: [NFT >>> NFT Bulk Upload](#)

| SEQ UE NC E | Header Name | Type | Length | FIELD Description | Mandatory /Optional | Validation Description | Re qui red for Su bje ct |
|----------------------|----------------|-----------|--------|----------------------|---------------------|--------------------------|--|
| Field 1 | AMC_CODE | Character | 3 | FUND | Mandatory | Refer AMC code structure | |
| Field 2 | FH_NAME | Character | 40 | INVESTOR NAME | Optional | INVESTOR NAME | Change / up dat ion of no mi ne e det ails |

| | | | | | | | |
|---------|------------|-----------|----|--|-----------|--|--------------------------------------|
| Field 3 | TAX_NUMBER | Character | 40 | Primary PAN | Mandatory | Primary PAN | Change / updation of nominee details |
| Field 4 | DOC_TYPE | Character | 1 | Document Type (W - Wet Signature; E - eSign; Blank - 2FA) | Mandatory | Document Type (W - Wet Signature; E - eSign; Blank - 2FA) | Change / updation of no |

| | | | | | | | |
|---------|------------|-----------|----|---|-----------------------|---|--------------------------------------|
| | | | | | | | mine details |
| Field 5 | 2FA_AUTH_M | Character | 1 | 2FA authentication mode ("M" - Mobile (or) "E" - Email (or) "B" - Both) | Conditional Mandatory | 2FA authentication mode ("M" - Mobile (or) "E" - Email (or) "B" - Both) | Change / updation of nominee details |
| Field 6 | IMG_REF_NO | Character | 40 | IF doc type is W or E img_ref_no is mandatory | Conditional Mandatory | IF doc type is W or E img_ref_no is mandatory | |

| | | | | | | | |
|----------|---------------|-----------|-----|------------------------------------|-----------------------|--|--------------------------------------|
| Field 7 | NCT_TYPE | Character | 10 | NCT SUBJECT/TYPE | Mandatory | | |
| Field 8 | TWOF_A_EMAIL | Character | 100 | 2FA and Confirmation email | Mandatory | | |
| Field 9 | TWOF_A_MOBILE | Number | 10 | 2FA and Confirmation mobile number | Mandatory | | |
| Field 10 | NOM_OPT | Character | 1 | Nomination not opted - "Y"/"N" | Conditional Mandatory | Opting out of Nominee - "Y" & Change / updation of nominee details - "N" (Mandatory) | Change / updation of nominee details |
| Field 11 | NOM_1_NAME | Character | 40 | Nominee 1 Name | Conditional Mandatory | Mandatory if Nom Opt Out is "N" | Change |

| | | | | | | | |
|--|--|-----------|--|--|--|--|-------------------------------|
| | | Character | | | | | / updation of nominee details |
|--|--|-----------|--|--|--|--|-------------------------------|

| | | | | | | | |
|----------|-------------------|-----------|----|-----------------------------------|-----------------------|--|--------------------------------------|
| Field 12 | NOM1_REL A | Character | 25 | Nominee 1 Relation (numeric only) | Conditional Mandatory | Mandatory if Nom Opt Out is "N" | Change / updation of nominee details |
| Field 13 | NOM1_PEAR | Character | 3 | Nominee 1 Allocation % | Conditional Mandatory | Mandatory if Nom Opt Out is "N" (Nominee % Should not be in decimals) | Change / updation of nominee details |
| Field 14 | NOM1_ID_NO | Character | 30 | Nominee 1 ID number | Conditional Mandatory | Mandatory if nominee opt flag is N and NOM1_ID_T is selected as 1 (PAN,PAN 541 validation shall apply), 2 (Aadhaar number, last 4 digits only) 3(Driving license number) 4 (Passport number) | Change / updation of nominee |

| | | | | | | | |
|--|--|--|--|--|--|--|---------|
| | | | | | | | details |
|--|--|--|--|--|--|--|---------|

| | | | | | | | |
|----------|------------|-----------|------------|-----------------------------|---|--------------------------------------|--------------------------------------|
| Field 15 | NOM1_MIN_F | Character | 1 | Nominee 1 Minor Flag (Y/N) | Conditional Mandatory if Nominee 1 is Minor | Change / updation of nominee details | Change / updation of nominee details |
| Field 16 | NOM1_DOB | Date | MM/DD/YYYY | Nominee 1 DOB | Conditional mandatory | Mandatory if Nominee 1 is Minor | Change / updation of nominee details |
| Field 17 | NOM1_GUARD | Character | 40 | Nominee 1 Guardian | Optional | Change / updation of nominee details | Change / updation of nominee details |
| Field 18 | NOM1_GREL | Character | 1 | Nominee 1 Guardian Relation | Optional | Change / updation of nominee details | Change / |

| | | | | | | | |
|----------|-------------------|-----------------------|----|---|--|--|---|
| | | | | | | | up dat ion of no mi nee de tails |
| Field 19 | NOM 1_GP AN | Ch ara cte r | 10 | Nominee 1 Guardian PAN | Optional | Change / updation of nominee details | Ch an ge / up dat ion of no mi nee de tails |
| Field 20 | NOM 2_NA ME | Ch ara cte r | 40 | Nominee 2 Name | Conditional Mandatory if Nom Opt Out is "N" and Nom 2 is Opted | Change / updation of nominee details | Ch an ge / up dat ion of no mi nee de tails |
| Field 21 | NOM 2_REL A | Ch ara cte r | 25 | Nominee 2 Relation (numeric only) | Conditional Mandatory | Conditional Mandatory if Nom Opt Out is "N" and Nom 2 is Opted | Ch an ge / up dat ion of no mi nee |

| | | | | | | | |
|----------|----------------------------|-----------------------|----|----------------------------------|--|--|---|
| | | | | | | | det ails |
| Field 22 | NOM 2_P E R | Ch ara cte r | 3 | Nominee 2 Allocation % | Conditional Mandatory | Mandatory if Nom Opt Out is "N" (Nominee % Should not be in decimals) | Ch an ge / up dat ion of no mi ne e det ails |
| Field 23 | NOM 2_ID NO | Ch ara cte r | 30 | Nominee 2 ID number | Conditional Mandatory | Mandatory if nominee opt flag is N and NOM2_ID_T is selected as 1 (PAN,PAN 541 validation shall apply), 2 (Aadhaar number, last 4 digits only) 3(Driving license number) . 4 (passport number) | Ch an ge / up dat ion of no mi ne e det ails |
| Field 24 | NOM 2_MI N_F | Ch ara cte r | 1 | Nominee 2 Minor Flag (Y/N) | Conditional Mandatory if Nominee 2 is Minor | Change / updation of nominee details | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|----------|-----------|------|------------|---------------|-----------------------|---------------------------------|-------------------|
| Field 25 | NOM 2_DOB | Date | MM/DD/YYYY | Nominee 2 DOB | Conditional mandatory | Mandatory if Nominee 2 is Minor | Change / updation |
|----------|-----------|------|------------|---------------|-----------------------|---------------------------------|-------------------|

| | | | | | | | |
|----------|-------------|-----------|----|-----------------------------|----------|--------------------------------------|--------------------------------------|
| | | | | | | | of nominee details |
| Field 26 | NOM 2_GUARD | Character | 40 | Nominee 2 Guardian | Optional | Change / updation of nominee details | Change / updation of nominee details |
| Field 27 | NOM 2_GREL | Character | 1 | Nominee 2 Guardian Relation | Optional | Change / updation of nominee details | Change / updation of nominee details |

| | | | | | | | |
|----------|-------------|-----------|----|------------------------|----------|--------------------------------------|--------------------------------------|
| Field 28 | NOM 2_GP AN | Character | 10 | Nominee 2 Guardian PAN | Optional | Change / updation of nominee details | Change / updation of nominee details |
|----------|-------------|-----------|----|------------------------|----------|--------------------------------------|--------------------------------------|

| | | | | | | | |
|----------|------------|-----------|----|----------------|--|--------------------------------------|--------------------------------------|
| Field 29 | NOM 3_NAME | Character | 40 | Nominee 3 Name | Conditional Mandatory if Nom Opt Out is "N" and Nom 3 is Opted | Change / updation of nominee details | Change / updation of nominee details |
|----------|------------|-----------|----|----------------|--|--------------------------------------|--------------------------------------|

| | | | | | | | |
|----------|-------------|-----------|----|-----------------------------------|-----------------------|--|--------------------------------------|
| Field 30 | NOM 3_REL A | Character | 25 | Nominee 3 Relation (numeric only) | Conditional Mandatory | Conditional Mandatory if Nom Opt Out is "N" and Nom 3 is Opted | Change / updation of nominee details |
|----------|-------------|-----------|----|-----------------------------------|-----------------------|--|--------------------------------------|

| | | | | | | | |
|----------|-----------|-----------|----|------------------------|-----------------------|--|--------------------------------------|
| Field 31 | NOM3_PERR | Character | 3 | Nominee 3 Allocation % | Conditional Mandatory | Mandatory if Nom Opt Out is "N" (Nominee % Should not be in decimals) | Change / updation of nominee details |
| Field 32 | NOM3_IDNO | Character | 30 | Nominee 3 ID number | Conditional Mandatory | Mandatory if nominee opt flag is N and NOM3_ID_T is selected as 1 (PAN,PAN 541 validation shall apply), 2 (Aadhaar number, last 4 digits only) 3(Driving | Change / updation of no |

| | | | | | | | |
|----------|------------|-----------|---|----------------------------|-----------------------|---------------------------------------|--------------------------------------|
| | | | | | | license number) . 4 (passport number) | mine details |
| Field 33 | NOM3_MIN_F | Character | 1 | Nominee 3 Minor Flag (Y/N) | Conditional Mandatory | Mandatory if Nominee 3 is Minor | Change / updation of nominee details |

| | | | | | | | |
|----------|------------|-----------|------------|-----------------------------|-----------------------|--------------------------------------|--------------------------------------|
| Field 34 | NOM3_DOB | Date | MM/DD/YYYY | Nominee 3 DOB | Conditional mandatory | Mandatory if Nominee 3 is Minor | Change / updation of nominee details |
| Field 35 | NOM3_GUARD | Character | 40 | Nominee 3 Guardian | Optional | Change / updation of nominee details | Change / updation of nominee details |
| Field 36 | NOM3_GREL | Character | 1 | Nominee 3 Guardian Relation | Optional | Change / updation of nominee details | Change / |

| | | | | | | | |
|--|--|--|--|--|--|--|-----------------------------|
| | | | | | | | updation of nominee details |
|--|--|--|--|--|--|--|-----------------------------|

| | | | | | | | |
|----------|------------|-----------|----|--|-----------------------|---|--------------------------------------|
| Field 37 | NOM_3_GPAN | Character | 10 | Nominee 3 Guardian PAN | Optional | Change / updation of nominee details | Change / updation of nominee details |
| Field 38 | NOM_COUNT | Character | 2 | Number of Nominee(s) | Conditional mandatory | Mandatory if Nominee Opt flag is "N" and value should be 1 to 10 only Note: from June1 to Aug 31,2025 nominee count should be 1to 3 only acceptable | Change / updation of nominee details |
| Field 39 | NOM_SOA | Character | 1 | Nominee names/Registration Status in SOA | Conditional mandatory | Mandatory if Nominee Opt flag is "N" Nominee registration display in SOA status should be Y/N, if it is Y, then only the registration status should be display and if it is "N", then the name of all the nominees to be displayed | Change / updation of nominee details |

| | | | | | | | |
|--|--|--|--|--|--|--|---------|
| | | | | | | | details |
|--|--|--|--|--|--|--|---------|

| | | | | | | | |
|----------|------------|-----------|-----|------------------------|-----------------------|--|------------------------------------|
| Field 40 | NOM1_ID_T | Character | 1 | Nominee1 Identity Type | Conditional mandatory | Mandatory if Nominee Opt flag is "N" and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license 4- Passport number (Applicable only for Nominee country is other than India) | Change / update of nominee details |
| Field 41 | NOM2_ID_T | Character | 1 | Nominee2 Identity Type | Conditional mandatory | Mandatory if Nominee2 Opted and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license 4- Passport number (Applicable only for Nominee country is other than India) | Change / update of nominee details |
| Field 42 | NOM3_ID_T | Character | 1 | Nominee3 Identity Type | Conditional mandatory | Mandatory if Nominee3 Opted and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license 4- Passport number (Applicable only for Nominee country is other than India) | Change / update of nominee details |
| Field 43 | NOM1_EMAIL | Character | 120 | Nominee1 Email | Conditional mandatory | Mandatory if Nominee Opt flag is "N" (Valid | Change / |

| | | | | | | | |
|----------|-------------------|-----------------------|-----|-----------------|-----------------------|---|---|
| | | | | | | email for respective nominee) | up dat ion of no mi nee de tails |
| Field 44 | NOM 2_EM AI | Ch ara cte r | 120 | Nominee2 Email | Conditional mandatory | Mandatory if Nominee2 Opted (Valid email for respective nominee) | Ch an ge / up dat ion of no mi nee de tails |
| Field 45 | NOM 3_EM AI | Ch ara cte r | 120 | Nominee3 Email | Conditional mandatory | Mandatory if Nominee Opted (Valid email for respective nominee) | Ch an ge / up dat ion of no mi nee de tails |
| Field 46 | NOM 1_MO B | Ch ara cte r | 15 | Nominee1 Mobile | Conditional mandatory | Mandatory if Nominee opt flag is "N" (Valid mobile number for respective nominee) | Ch an ge / up dat ion of no mi nee |

| | | | | | | | |
|----------|-------------------|-----------------------|----|----------------------|-----------------------|--|---|
| | | | | | | | det ails |
| Field 47 | NOM 2_MO B | Ch ara cte r | 15 | Nominee2 Mobile | Conditional mandatory | Mandatory if Nominee2 name provided (Valid mobile number for respective nominee) | Ch an ge / up dat ion of no mi ne e det ails |
| Field 48 | NOM 3_MO B | Ch ara cte r | 15 | Nominee3 Mobile | Conditional mandatory | Mandatory if Nominee3 name provided (Valid mobile number for respective nominee) | Ch an ge / up dat ion of no mi ne e det ails |
| Field 49 | NOM 1_AD D1 | Ch ara cte r | 40 | Nominee1 Address1 | Conditional mandatory | Mandatory if nominee opt flag is "N" Combined address1, address2 and address3 column of respective Nominee. If the address of Nominee as that of FH, specify as Same address of First Holder | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|----------|------------|-----------|----|-------------------|----------|--|-----------------|
| Field 50 | NOM1_AD D2 | Character | 40 | Nominee1 Address2 | Optional | | Change / update |
|----------|------------|-----------|----|-------------------|----------|--|-----------------|

| | | | | | | | |
|--|--|--|--|--|--|--|------------------------|
| | | | | | | | ion of nominee details |
|--|--|--|--|--|--|--|------------------------|

| | | | | | | | |
|----------|------------|-----------|----|-------------------|----------|--|------------------------------------|
| Field 51 | NOM1_AD D3 | Character | 40 | Nominee1 Address3 | Optional | | Change / update of nominee details |
|----------|------------|-----------|----|-------------------|----------|--|------------------------------------|

| | | | | | | | |
|----------|------------|-----------|----|-------------------|-----------------------|--|------------------------------------|
| Field 52 | NOM2_AD D1 | Character | 40 | Nominee2 Address1 | Conditional mandatory | Mandatory if nominee2 opted Combined address1, address2 and address3 column of respective Nominee. If the address of Nominee as that of FH, specify as Same address of First Holder | Change / update of nominee details |
|----------|------------|-----------|----|-------------------|-----------------------|--|------------------------------------|

| | | | | | | | |
|----------|------------|-----------|----|-------------------|----------|--|---|
| Field 53 | NOM2_AD D2 | Character | 40 | Nominee2 Address2 | Optional | | Change / updat ion of no mi ne e det ails |
|----------|------------|-----------|----|-------------------|----------|--|---|

| | | | | | | | |
|----------|------------|-----------|----|-------------------|----------|--|---|
| Field 54 | NOM2_AD D3 | Character | 40 | Nominee2 Address3 | Optional | | Change / updat ion of no mi ne e det ails |
|----------|------------|-----------|----|-------------------|----------|--|---|

| | | | | | | | |
|----------|------------|-----------|----|-------------------|-----------------------|--|---|
| Field 55 | NOM3_AD D1 | Character | 40 | Nominee3 Address1 | Conditional mandatory | Mandatory if nominee3 opted Combined address1, address2 and address3 column of respective Nominee. If the address of Nominee as that of FH, specify as Same address of First Holder | Change / updat ion of no mi ne e det ails |
|----------|------------|-----------|----|-------------------|-----------------------|--|---|

| | | | | | | | |
|----------|------------|-----------|----|-------------------|----------|--|--------------------------------------|
| Field 56 | NOM3_AD D2 | Character | 40 | Nominee3 Address2 | Optional | | Change / updation of nominee details |
| Field 57 | NOM3_AD D3 | Character | 40 | Nominee3 Address3 | Optional | | Change / updation of no |

| | | | | | | | |
|----------|-----------|-----------|----|--------------------|-----------------------|---|--------------------------------------|
| | | | | | | | mi ne e det ails |
| Field 58 | NOM1_CITY | Character | 35 | Nominee1 City name | Conditional mandatory | Mandatory if Nominee opt flag is "N" (City Name and Pincode of the respective Nominee) | Change / updation of nominee details |

| | | | | | | | |
|----------|--------------|-----------|----|-----------------------|-----------------------|---|------------------------------------|
| Field 59 | NOM1_PIN | Character | 15 | Nominee1 Pin code | Conditional mandatory | Mandatory if Nominee opt flag is "N" (Pincode of the respective Nominee) | Change / update of nominee details |
| Field 60 | NOM1_COUNTRY | Character | 35 | Nominee1 Country name | Conditional mandatory | Mandatory if Nominee opt flag is "N" (Country name of the respective Nominee) | Change / update of nominee details |
| Field 61 | NOM2_CITY | Character | 35 | Nominee2 City name | Conditional mandatory | Mandatory if Nominee2 Opted | Change / |

| | | | | | | | |
|--|--|--|--|--|--|---------------------------------------|---------------------------|
| | | | | | | (City Name of the respective Nominee) | update of nominee details |
|--|--|--|--|--|--|---------------------------------------|---------------------------|

| | | | | | | | |
|----------|--------------|-----------|----|-----------------------|-----------------------|--|------------------------------------|
| Field 62 | NOM2_PIN | Character | 15 | Nominee2 Pin code | Conditional mandatory | Mandatory if Nominee2 Opted (Pincode of the respective Nominee) | Change / update of nominee details |
| Field 63 | NOM2_COUNTRY | Character | 35 | Nominee2 Country name | Conditional mandatory | Mandatory if Nominee2 Opted (Country name of the respective Nominee) | Change / update of nominee details |
| Field 64 | NOM3_CITY | Character | 35 | Nominee3 City name | Conditional mandatory | Mandatory if Nominee3 Opted (City Name of the respective Nominee) | Change / update of nominee details |

| | | | | | | | |
|--|--|--|--|--|--|--|---------|
| | | | | | | | details |
|--|--|--|--|--|--|--|---------|

| | | | | | | | |
|----------|---------------|-----------|----|-----------------------------------|-----------------------|--|------------------------------------|
| Field 65 | NOM3_PIN | Character | 15 | Nominee3 Pin code | Conditional mandatory | Mandatory if Nominee3 Opted (PIN code of the respective Nominee) | Change / update of nominee details |
| Field 66 | NOM3_COUNTRY | Character | 35 | Nominee3 Country name | Conditional mandatory | Mandatory if Nominee3 Opted (Country Name of the respective Nominee) | Change / update of nominee details |
| Field 67 | NOM4_NAME | Character | 40 | Nominee 4 Name | Conditional mandatory | Mandatory if Nominee4 opted | Change / update of nominee details |
| Field 68 | NOM4_RELATION | Character | 25 | Nominee 4 Relation (numeric only) | Conditional mandatory | mandatory if Nominee4 opted | Change / update |

| | | | | | | | |
|----------|-----------|-----------|----|------------------------|-----------------------|---|--------------------------------------|
| | | | | | | | ion of nominee details |
| Field 69 | NOM4_PERR | Character | 10 | Nominee 4 Allocation % | Conditional mandatory | Mandatory if Nominee4 opted(Nominee % Should not be in decimals) | Change / updation of nominee details |
| Field 70 | NOM4_ID_T | Character | 1 | Nominee4 Identity Type | Conditional mandatory | Mandatory if Nominee4 Opted and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license | Change / updation of nominee details |
| Field 71 | NOM4_IDNO | Character | 30 | Nominee 4 ID number | Conditional mandatory | Mandatory if nominee4 opted and if NOM4_ID_T is selected as 1 (PAN,PAN 541 validation shall apply) or 2 (Aadhaar number, last 4 digits only) or 3(Driving license number) . | Change / updation of nominee details |

| | | | | | | | |
|----------|------------|-----------|------------|----------------------------|-----------------------|--------------------------------------|--------------------------------------|
| Field 72 | NOM4_MIN_F | Character | 1 | Nominee 4 Minor Flag (Y/N) | Conditional mandatory | Mandatory if Nominee 4 is Minor | Change / updation of nominee details |
| Field 73 | NOM4_DOB | Date | MM/DD/YYYY | Nominee 4 DOB | Conditional mandatory | Mandatory if Nominee 4 is Minor | Change / updation of nominee details |
| Field 74 | NOM4_GUARD | Character | 40 | Nominee 4 Guardian | Optional | Change / updation of nominee details | Change / updation of nominee details |

| | | | | | | | |
|----------|------------|-----------|---|-----------------------------|----------|--------------------------------------|--------------------------------------|
| Field 75 | NOM4_GRELA | Character | 1 | Nominee 4 Guardian Relation | Optional | Change / updation of nominee details | Change / updation of nominee details |
|----------|------------|-----------|---|-----------------------------|----------|--------------------------------------|--------------------------------------|

| | | | | | | | |
|----------|------------|-----------|-----|------------------------|-----------------------|--|--------------------------------------|
| | | | | | | | nominee details |
| Field 76 | NOM4_GPAN | Character | 10 | Nominee 4 Guardian PAN | Optional | Change / updation of nominee details | Change / updation of nominee details |
| Field 77 | NOM4_EMAIL | Character | 120 | Nominee4 Email | Conditional mandatory | Mandatory if Nominee4 Opted (Valid email for respective nominee) | Change / updation of nominee details |

| | | | | | | | |
|----------|------------|-----------|----|-------------------|-----------------------|--|------------------------------------|
| Field 78 | NOM4_MOB | Character | 15 | Nominee4 Mobile | Conditional mandatory | Mandatory if Nominee4 opted (Valid mobile number for respective nominee) | Change / update of nominee details |
| Field 79 | NOM4_AD D1 | Character | 40 | Nominee4 Address1 | Conditional mandatory | Mandatory if nominee4 opted If the address of | Change / |

| | | | | | | | |
|----------|------------|-----------|----|-------------------|----------|--|------------------------------------|
| | | | | | | Nominee as that of FH, specify as Same address of First Holder | update of nominee details |
| Field 80 | NOM4_AD D2 | Character | 40 | Nominee4 Address2 | Optional | | Change / update of nominee details |

| | | | | | | | |
|----------|------------|-----------|----|-------------------|-----------------------|---|--------------------------------------|
| Field 81 | NOM4_AD D3 | Character | 40 | Nominee4 Address3 | Optional | | Change / updation of nominee details |
| Field 82 | NOM4_CITY | Character | 35 | Nominee4 City | Conditional mandatory | Mandatory if Nominee4 Opted (City Name of respective Nominee) | Change / updation of nominee |

| | | | | | | | |
|----------|----------|-----------|----|-------------------|-----------------------|-----------------------------|--------------------------------------|
| | | | | | | | details |
| Field 83 | NOM4_PIN | Character | 15 | Nominee4 pin code | Conditional mandatory | Mandatory if Nominee4 Opted | Change / updation of nominee details |

| | | | | | | | |
|----------|------------|-----------|----|-----------------------------------|-----------------------|-----------------------------|------------------------------------|
| Field 84 | NOM4_CON | Character | 35 | Nominee4 country | Conditional mandatory | Mandatory if Nominee4 Opted | Change / update of nominee details |
| Field 85 | NOM5_NAME | Character | 40 | Nominee 5 Name | Conditional mandatory | Mandatory if Nominee5 opted | Change / update of nominee details |
| Field 86 | NOM5_REL A | Character | 25 | Nominee 5 Relation (numeric only) | Conditional mandatory | mandatory if Nominee5 opted | Change / update |

| | | | | | | | |
|--|--|--|--|--|--|--|------------------------|
| | | | | | | | ion of nominee details |
|--|--|--|--|--|--|--|------------------------|

| | | | | | | | |
|----------|-----------|-----------|----|------------------------|-----------------------|---|--------------------------------------|
| Field 87 | NOM5_PERR | Character | 10 | Nominee 5 Allocation % | Conditional mandatory | Mandatory if Nominee5 opted(Nominee % Should not be in decimals) | Change / updation of nominee details |
| Field 88 | NOM5_ID_T | Character | 1 | Nominee5 Identity Type | Conditional mandatory | Mandatory if Nominee5 Opted and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license | Change / updation of nominee details |
| Field 89 | NOM5_IDNO | Character | 30 | Nominee 5 ID number | Conditional mandatory | Mandatory if nominee5 opted and if NOM5_ID_T is selected as 1 (PAN,PAN 541 validation shall apply) or 2 (Aadhaar number, last 4 digits only) or 3(Driving license number) . | Change / updation of nominee details |

| | | | | | | | |
|----------|------------|-----------|------------|----------------------------|-----------------------|--------------------------------------|--------------------------------------|
| Field 90 | NOM5_MIN_F | Character | 1 | Nominee 5 Minor Flag (Y/N) | Conditional mandatory | Mandatory if Nominee 5 is Minor | Change / updation of nominee details |
| Field 91 | NOM5_DOB | Date | MM/DD/YYYY | Nominee 5 DOB | Conditional mandatory | Mandatory if Nominee 5 is Minor | Change / updation of nominee details |
| Field 92 | NOM5_GUARD | Character | 40 | Nominee 5 Guardian | Optional | Change / updation of nominee details | Change / updation of nominee details |

| | | | | | | | |
|----------|------------|-----------|---|-----------------------------|----------|--------------------------------------|-------------------------|
| Field 93 | NOM5_GRELA | Character | 1 | Nominee 5 Guardian Relation | Optional | Change / updation of nominee details | Change / updation of no |
|----------|------------|-----------|---|-----------------------------|----------|--------------------------------------|-------------------------|

| | | | | | | | |
|----------|------------|-----------|-----|------------------------|-----------------------|--|--------------------------------------|
| | | | | | | | minee details |
| Field 94 | NOM5_GPAN | Character | 10 | Nominee 5 Guardian PAN | Optional | Change / updation of nominee details | Change / updation of nominee details |
| Field 95 | NOM5_EMAIL | Character | 120 | Nominee5 Email | Conditional mandatory | Mandatory if Nominee5 Opted (Valid email for respective nominee) | Change / updation of nominee details |

| | | | | | | | |
|----------|------------|-----------|----|-------------------|-----------------------|--|------------------------------------|
| Field 96 | NOM5_MOB | Character | 15 | Nominee5 Mobile | Conditional mandatory | Mandatory if Nominee5 opted (Valid mobile number for respective nominee) | Change / update of nominee details |
| Field 97 | NOM5_AD D1 | Character | 40 | Nominee5 Address1 | Conditional mandatory | Mandatory if nominee5 opted If the address of | Change / |

| | | | | | | | |
|----------|------------|-----------|----|-------------------|----------|--|------------------------------------|
| | | | | | | Nominee as that of FH, specify as Same address of First Holder | update of nominee details |
| Field 98 | NOM5_AD D2 | Character | 40 | Nominee5 Address2 | Optional | | Change / update of nominee details |

| | | | | | | | |
|-----------|------------|-----------|----|-------------------|-----------------------|---|---|
| Field 99 | NOM5_AD D3 | Character | 40 | Nominee5 Address3 | Optional | | Change / up dation of no mi ne e det ails |
| Field 100 | NOM5_CIT Y | Character | 35 | Nominee5 City | Conditional mandatory | Mandatory if Nominee5 Opted (City Name of respective Nominee) | Change / up dation of no mi ne e |

| | | | | | | | |
|-----------|----------|-----------|----|-------------------|-----------------------|-----------------------------|---|
| | | | | | | | det ails |
| Field 101 | NOM5_PIN | Character | 15 | Nominee5 pin code | Conditional mandatory | Mandatory if Nominee5 Opted | Change / up dation of no mi ne e det ails |

| | | | | | | | |
|--------------|-------------------|-----------------------|----|---|-----------------------|--------------------------------|---|
| Field 102 | NOM 5_CO N | Ch ara cte r | 35 | Nominee5 country | Conditional mandatory | Mandatory if Nominee5 Opted | Ch an ge / up dat ion of no mi ne e det ails |
| Field 103 | NOM 6_NA ME | Ch ara cte r | 40 | Nominee 6 Name | Conditional mandatory | Mandatory if Nominee6 opted | Ch an ge / up dat ion of no mi ne e det ails |
| Field 104 | NOM 6_REL A | Ch ara cte r | 25 | Nominee 6 Relation (numeric only) | Conditional mandatory | mandatory if Nominee6 opted | Ch an ge / up dat |

| | | | | | | | |
|--|--|--|--|--|--|--|---|
| | | | | | | | ion of no mi ne e det ails |
|--|--|--|--|--|--|--|---|

| | | | | | | | |
|--------------|----------------------|---------------------------------------|----|---------------------------|-----------------------|--|---|
| Field 105 | NOM 6_P E R | Ch a r a c t e r | 10 | Nominee 6 Allocation % | Conditional mandatory | Mandatory if Nominee6 opted(Nominee % Should not be in decimals) | Ch a n g e / u p d a t i o n o f n o m i n e e d e t a i l s |
| Field 106 | NOM 6_ID_ T | Ch a r a c t e r | 1 | Nominee6 Identity Type | Conditional mandatory | Mandatory if Nominee6 Opted and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license | Ch a n g e / u p d a t i o n o f n o m i n e e d e t a i l s |
| Field 107 | NOM 6_IDN O | Ch a r a c t e r | 30 | Nominee 6 ID number | Conditional mandatory | Mandatory if nominee6 opted and if NOM6_ID_T is selected as 1 (PAN,PAN 541 validation shall apply) or 2 (Aadhaar number, last 4 digits only) or 3(Driving license number) . | Ch a n g e / u p d a t i o n o f n o m i n e e d e t a i l s |

| | | | | | | | |
|-----------|------------|-----------|------------|----------------------------|-----------------------|--------------------------------------|--------------------------------------|
| Field 108 | NOM6_MIN_F | Character | 1 | Nominee 6 Minor Flag (Y/N) | Conditional mandatory | Mandatory if Nominee 6 is Minor | Change / updation of nominee details |
| Field 109 | NOM6_DOB | Date | MM/DD/YYYY | Nominee 6 DOB | Conditional mandatory | Mandatory if Nominee 6 is Minor | Change / updation of nominee details |
| Field 110 | NOM6_GUARD | Character | 40 | Nominee 6 Guardian | Optional | Change / updation of nominee details | Change / updation of nominee details |

| | | | | | | | |
|--------------|--------------------|-----------|---|-----------------------------------|----------|---|---|
| Field 111 | NOM 6_GR ELA | Character | 1 | Nominee 6 Guardian Relation | Optional | Change / updation of nominee details | Change / up dat ion of no |
|--------------|--------------------|-----------|---|-----------------------------------|----------|---|---|

| | | | | | | | |
|--------------|-------------------|-----------|-----|---------------------------|-----------------------|--|---|
| | | | | | | | mi ne e det ails |
| Field 112 | NOM 6_GP AN | Character | 10 | Nominee 6 Guardian PAN | Optional | Change / updation of nominee details | Change / up dat ion of no mi ne e det ails |
| Field 113 | NOM 6_EM AI | Character | 120 | Nominee6 Email | Conditional mandatory | Mandatory if Nominee6 Opted (Valid email for respective nominee) | Change / up dat ion of no mi ne e det ails |

| | | | | | | | |
|-----------|------------|-----------|----|-------------------|-----------------------|--|------------------------------------|
| Field 114 | NOM6_MOB | Character | 15 | Nominee6 Mobile | Conditional mandatory | Mandatory if Nominee6 opted (Valid mobile number for respective nominee) | Change / update of nominee details |
| Field 115 | NOM6_AD D1 | Character | 40 | Nominee6 Address1 | Conditional mandatory | Mandatory if nominee6 opted If the address of | Change / |

| | | | | | | | |
|-----------|------------|-----------|----|-------------------|----------|--|------------------------------------|
| | | | | | | Nominee as that of FH, specify as Same address of First Holder | update of nominee details |
| Field 116 | NOM6_AD D2 | Character | 40 | Nominee6 Address2 | Optional | | Change / update of nominee details |

| | | | | | | | |
|-----------|------------|-----------|----|-------------------|-----------------------|---|--------------------------------------|
| Field 117 | NOM6_AD D3 | Character | 40 | Nominee6 Address3 | Optional | | Change / updation of nominee details |
| Field 118 | NOM6_CITY | Character | 35 | Nominee6 City | Conditional mandatory | Mandatory if Nominee6 Opted (City Name of respective Nominee) | Change / updation of nominee |

| | | | | | | | |
|-----------|----------|-----------|----|-------------------|-----------------------|-----------------------------|--------------------------------------|
| | | | | | | | details |
| Field 119 | NOM6_PIN | Character | 15 | Nominee6 pin code | Conditional mandatory | Mandatory if Nominee6 Opted | Change / updation of nominee details |

| | | | | | | | |
|-----------|------------|-----------|----|-----------------------------------|-----------------------|-----------------------------|------------------------------------|
| Field 120 | NOM6_CON | Character | 35 | Nominee6 country | Conditional mandatory | Mandatory if Nominee6 Opted | Change / update of nominee details |
| Field 121 | NOM7_NAME | Character | 40 | Nominee 7 Name | Conditional mandatory | Mandatory if Nominee7 opted | Change / update of nominee details |
| Field 122 | NOM7_REL A | Character | 25 | Nominee 7 Relation (numeric only) | Conditional mandatory | mandatory if Nominee7 opted | Change / update |

| | | | | | | | |
|--|--|--|--|--|--|--|------------------------|
| | | | | | | | ion of nominee details |
|--|--|--|--|--|--|--|------------------------|

| | | | | | | | |
|-----------|-----------|-----------|----|------------------------|-----------------------|---|--------------------------------------|
| Field 123 | NOM7_PERR | Character | 10 | Nominee 7 Allocation % | Conditional mandatory | Mandatory if Nominee7 opted(Nominee % Should not be in decimals) | Change / updation of nominee details |
| Field 124 | NOM7_ID_T | Character | 1 | Nominee7 Identity Type | Conditional mandatory | Mandatory if Nominee7 Opted and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license | Change / updation of nominee details |
| Field 125 | NOM7_IDNO | Character | 30 | Nominee 7 ID number | Conditional mandatory | Mandatory if nominee7 opted and if NOM7_ID_T is selected as 1 (PAN,PAN 541 validation shall apply) or 2 (Aadhaar number, last 4 digits only) or 3(Driving license number) . | Change / updation of nominee details |

| | | | | | | | |
|--------------|--------------------|-----------|--------------------|----------------------------------|-----------------------|---|---|
| Field 126 | NOM 7_MI N_F | Character | 1 | Nominee 7 Minor Flag (Y/N) | Conditional mandatory | Mandatory if Nominee 7 is Minor | Change / updat ion of no mi ne e det ails |
| Field 127 | NOM 7_DO B | Date | MM/ DD/Y YYY | Nominee 7 DOB | Conditional mandatory | Mandatory if Nominee 7 is Minor | Change / updat ion of no mi ne e det ails |
| Field 128 | NOM 7_GU ARD | Character | 40 | Nominee 7 Guardian | Optional | Change / updation of nominee details | Change / updat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|--------------------|-----------|---|-----------------------------------|----------|---|---|
| Field 129 | NOM 7_GR ELA | Character | 1 | Nominee 7 Guardian Relation | Optional | Change / updation of nominee details | Change / up dat ion of no |
|--------------|--------------------|-----------|---|-----------------------------------|----------|---|---|

| | | | | | | | |
|--------------|-------------------|-----------|-----|---------------------------|-----------------------|--|---|
| | | | | | | | mi ne e det ails |
| Field 130 | NOM 7_GP AN | Character | 10 | Nominee 7 Guardian PAN | Optional | Change / updation of nominee details | Change / up dat ion of no mi ne e det ails |
| Field 131 | NOM 7_EM AI | Character | 120 | Nominee7 Email | Conditional mandatory | Mandatory if Nominee7 Opted (Valid email for respective nominee) | Change / up dat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|-------------------|-----------------------|----|----------------------|-----------------------|---|---|
| Field 132 | NOM 7_MO B | Ch ara cte r | 15 | Nominee7 Mobile | Conditional mandatory | Mandatory if Nominee7 opted (Valid mobile number for respective nominee) | Ch an ge / up dat ion of no mi ne e det ails |
| Field 133 | NOM 7_AD D1 | Ch ara cte r | 40 | Nominee7 Address1 | Conditional mandatory | Mandatory if nominee7 opted If the address of | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|-------------------|-----------------------|----|----------------------|----------|--|---|
| | | | | | | Nominee as that of FH, specify as Same address of First Holder | up dat ion of no mi ne e det ails |
| Field 134 | NOM 7_AD D2 | Ch ara cte r | 40 | Nominee7 Address2 | Optional | | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|-----------|------------|-----------|----|-------------------|-----------------------|---|--------------------------------------|
| Field 135 | NOM7_AD D3 | Character | 40 | Nominee7 Address3 | Optional | | Change / updation of nominee details |
| Field 136 | NOM7_CITY | Character | 35 | Nominee7 City | Conditional mandatory | Mandatory if Nominee7 Opted (City Name of respective Nominee) | Change / updation of nominee |

| | | | | | | | |
|-----------|----------|-----------|----|-------------------|-----------------------|-----------------------------|--------------------------------------|
| | | | | | | | details |
| Field 137 | NOM7_PIN | Character | 15 | Nominee7 pin code | Conditional mandatory | Mandatory if Nominee7 Opted | Change / updation of nominee details |

| | | | | | | | |
|--------------|-------------------|-----------------------|----|---|-----------------------|--------------------------------|---|
| Field 138 | NOM 7_CO N | Ch ara cte r | 35 | Nominee7 country | Conditional mandatory | Mandatory if Nominee7 Opted | Ch an ge / up dat ion of no mi ne e det ails |
| Field 139 | NOM 8_NA ME | Ch ara cte r | 40 | Nominee 8 Name | Conditional mandatory | Mandatory if Nominee8 opted | Ch an ge / up dat ion of no mi ne e det ails |
| Field 140 | NOM 8_REL A | Ch ara cte r | 25 | Nominee 8 Relation (numeric only) | Conditional mandatory | mandatory if Nominee8 opted | Ch an ge / up dat |

| | | | | | | | |
|--|--|--|--|--|--|--|---|
| | | | | | | | ion of no mi ne e det ails |
|--|--|--|--|--|--|--|---|

| | | | | | | | |
|-----------|-----------|-----------|----|------------------------|-----------------------|---|--------------------------------------|
| Field 141 | NOM8_PERR | Character | 10 | Nominee 8 Allocation % | Conditional mandatory | Mandatory if Nominee8 opted(Nominee % Should not be in decimals) | Change / updation of nominee details |
| Field 142 | NOM8_ID_T | Character | 1 | Nominee8 Identity Type | Conditional mandatory | Mandatory if Nominee8 Opted and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license | Change / updation of nominee details |
| Field 143 | NOM8_IDNO | Character | 30 | Nominee 8 ID number | Conditional mandatory | Mandatory if nominee8 opted and if NOM8_ID_T is selected as 1 (PAN,PAN 541 validation shall apply) or 2 (Aadhaar number, last 4 digits only) or 3(Driving license number) . | Change / updation of nominee details |

| | | | | | | | |
|--------------|--------------------|-----------|--------------------|----------------------------------|-----------------------|---|---|
| Field 144 | NOM 8_MI N_F | Character | 1 | Nominee 8 Minor Flag (Y/N) | Conditional mandatory | Mandatory if Nominee 8 is Minor | Change / updat ion of no mi ne e det ails |
| Field 145 | NOM 8_DO B | Date | MM/ DD/Y YYY | Nominee 8 DOB | Conditional mandatory | Mandatory if Nominee 8 is Minor | Change / updat ion of no mi ne e det ails |
| Field 146 | NOM 8_GU ARD | Character | 40 | Nominee 8 Guardian | Optional | Change / updation of nominee details | Change / updat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|--------------------|-----------|---|-----------------------------------|----------|---|---|
| Field 147 | NOM 8_GR ELA | Character | 1 | Nominee 8 Guardian Relation | Optional | Change / updation of nominee details | Change / up dat ion of no |
|--------------|--------------------|-----------|---|-----------------------------------|----------|---|---|

| | | | | | | | |
|--------------|-------------------|-----------|-----|---------------------------|-----------------------|--|--|
| | | | | | | | mi ne e det ails |
| Field 148 | NOM 8_GP AN | Character | 10 | Nominee 8 Guardian PAN | Optional | Change / updation of nominee details | Change / up dat ion of no mi nee e det ails |
| Field 149 | NOM 8_EM AI | Character | 120 | Nominee8 Email | Conditional mandatory | Mandatory if Nominee8 Opted (Valid email for respective nominee) | Change / up dat ion of no mi nee e det ails |

| | | | | | | | |
|--------------|-------------------|-----------------------|----|----------------------|-----------------------|---|---|
| Field 150 | NOM 8_MO B | Ch ara cte r | 15 | Nominee8 Mobile | Conditional mandatory | Mandatory if Nominee8 opted (Valid mobile number for respective nominee) | Ch an ge / up dat ion of no mi ne e det ails |
| Field 151 | NOM 8_AD D1 | Ch ara cte r | 40 | Nominee8 Address1 | Conditional mandatory | Mandatory if nominee8 opted If the address of | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|-------------------|-----------------------|----|----------------------|----------|--|---|
| | | | | | | Nominee as that of FH, specify as Same address of First Holder | up dat ion of no mi ne e det ails |
| Field 152 | NOM 8_AD D2 | Ch ara cte r | 40 | Nominee8 Address2 | Optional | | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|-----------|------------|-----------|----|-------------------|-----------------------|---|---|
| Field 153 | NOM8_AD D3 | Character | 40 | Nominee8 Address3 | Optional | | Change / up dation of no mi ne e det ails |
| Field 154 | NOM8_CIT Y | Character | 35 | Nominee8 City | Conditional mandatory | Mandatory if Nominee8 Opted (City Name of respective Nominee) | Change / up dation of no mi ne e |

| | | | | | | | |
|-----------|----------|-----------|----|-------------------|-----------------------|-----------------------------|---|
| | | | | | | | det ails |
| Field 155 | NOM8_PIN | Character | 15 | Nominee8 pin code | Conditional mandatory | Mandatory if Nominee8 Opted | Change / up dation of no mi ne e det ails |

| | | | | | | | |
|-----------|------------|-----------|----|-----------------------------------|-----------------------|-----------------------------|------------------------------------|
| Field 156 | NOM8_CO N | Character | 35 | Nominee8 country | Conditional mandatory | Mandatory if Nominee8 Opted | Change / update of nominee details |
| Field 157 | NOM9_NAME | Character | 40 | Nominee 9 Name | Conditional mandatory | Mandatory if Nominee9 opted | Change / update of nominee details |
| Field 158 | NOM9_REL A | Character | 25 | Nominee 9 Relation (numeric only) | Conditional mandatory | mandatory if Nominee9 opted | Change / update |

| | | | | | | | |
|--|--|--|--|--|--|--|------------------------|
| | | | | | | | ion of nominee details |
|--|--|--|--|--|--|--|------------------------|

| | | | | | | | |
|-----------|-----------|-----------|----|------------------------|-----------------------|---|--------------------------------------|
| Field 159 | NOM9_PERR | Character | 10 | Nominee 9 Allocation % | Conditional mandatory | Mandatory if Nominee9 opted(Nominee % Should not be in decimals) | Change / updation of nominee details |
| Field 160 | NOM9_ID_T | Character | 1 | Nominee9 Identity Type | Conditional mandatory | Mandatory if Nominee9 Opted and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license | Change / updation of nominee details |
| Field 161 | NOM9_IDNO | Character | 30 | Nominee 9 ID number | Conditional mandatory | Mandatory if nominee9 opted and if NOM9_ID_T is selected as 1 (PAN,PAN 541 validation shall apply) or 2 (Aadhaar number, last 4 digits only) or 3(Driving license number) . | Change / updation of nominee details |

| | | | | | | | |
|--------------|--------------------|-----------|--------------------|----------------------------------|-----------------------|---|---|
| Field 162 | NOM 9_MI N_F | Character | 1 | Nominee 9 Minor Flag (Y/N) | Conditional mandatory | Mandatory if Nominee 9 is Minor | Change / updat ion of no mi ne e det ails |
| Field 163 | NOM 9_DO B | Date | MM/ DD/Y YYY | Nominee 9 DOB | Conditional mandatory | Mandatory if Nominee 9 is Minor | Change / updat ion of no mi ne e det ails |
| Field 164 | NOM 9_GU ARD | Character | 40 | Nominee 9 Guardian | Optional | Change / updation of nominee details | Change / updat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|--------------------|-----------|---|-----------------------------------|----------|---|---|
| Field 165 | NOM 9_GR ELA | Character | 1 | Nominee 9 Guardian Relation | Optional | Change / updation of nominee details | Change / up dat ion of no |
|--------------|--------------------|-----------|---|-----------------------------------|----------|---|---|

| | | | | | | | |
|--------------|-------------------|-----------|-----|---------------------------|-----------------------|--|---|
| | | | | | | | mi ne e det ails |
| Field 166 | NOM 9_GP AN | Character | 10 | Nominee 9 Guardian PAN | Optional | Change / updation of nominee details | Change / up dat ion of no mi ne e det ails |
| Field 167 | NOM 9_EM AI | Character | 120 | Nominee9 Email | Conditional mandatory | Mandatory if Nominee9 Opted (Valid email for respective nominee) | Change / up dat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|-------------------|-----------------------|----|----------------------|-----------------------|---|---|
| Field 168 | NOM 9_MO B | Ch ara cte r | 15 | Nominee9 Mobile | Conditional mandatory | Mandatory if Nominee9 opted (Valid mobile number for respective nominee) | Ch an ge / up dat ion of no mi ne e det ails |
| Field 169 | NOM 9_AD D1 | Ch ara cte r | 40 | Nominee9 Address1 | Conditional mandatory | Mandatory if nominee9 opted If the address of | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|-------------------|-----------------------|----|----------------------|----------|--|---|
| | | | | | | Nominee as that of FH, specify as Same address of First Holder | up dat ion of no mi ne e det ails |
| Field 170 | NOM 9_AD D2 | Ch ara cte r | 40 | Nominee9 Address2 | Optional | | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|-------------------|-----------------------|----|----------------------|-----------------------|---|---|
| Field 171 | NOM 9_AD D3 | Ch ara cte r | 40 | Nominee9 Address3 | Optional | | Ch an ge / up dat ion of no mi nee de tails |
| Field 172 | NOM 9_CIT Y | Ch ara cte r | 35 | Nominee9 City | Conditional mandatory | Mandatory if Nominee9 Opted (City Name of respective Nominee) | Ch an ge / up dat ion of no mi nee e |

| | | | | | | | |
|--------------|--------------|-----------------------|----|----------------------|-----------------------|--------------------------------|--|
| | | | | | | | det ails |
| Field 173 | NOM 9_PIN | Ch ara cte r | 15 | Nominee9 pin code | Conditional mandatory | Mandatory if Nominee9 Opted | Ch an ge / up dat ion of no mi nee e de tails |

| | | | | | | | |
|-----------|------------|-----------|----|------------------------------------|-----------------------|------------------------------|------------------------------------|
| Field 174 | NOM9_CON | Character | 35 | Nominee9 country | Conditional mandatory | Mandatory if Nominee9 Opted | Change / update of nominee details |
| Field 175 | NOM10_NAME | Character | 40 | Nominee 10 Name | Conditional mandatory | Mandatory if Nominee10 opted | Change / update of nominee details |
| Field 176 | NOM10_REL | Character | 25 | Nominee 10 Relation (numeric only) | Conditional mandatory | mandatory if Nominee10 opted | Change / update |

| | | | | | | | |
|--|--|--|--|--|--|--|------------------------|
| | | | | | | | ion of nominee details |
|--|--|--|--|--|--|--|------------------------|

| | | | | | | | |
|--------------|-----------------------|---------------------------------------|----|----------------------------|-----------------------|--|---|
| Field 177 | NOM 10_P E R | Ch a r a c t e r | 10 | Nominee 10 Allocation % | Conditional mandatory | Mandatory if Nominee10 opted(Nominee % Should not be in decimals) | Ch a n g e / u p d a t i o n o f n o m i n e e d e t a i l s |
| Field 178 | NOM 10_ID _T | Ch a r a c t e r | 1 | Nominee10 Identity Type | Conditional mandatory | Mandatory if Nominee10 Opted and values should be in numeric 1- PAN 2- Aadhaar 3- Driving license | Ch a n g e / u p d a t i o n o f n o m i n e e d e t a i l s |
| Field 179 | NOM 10_ID NO | Ch a r a c t e r | 30 | Nominee 10 ID number | Conditional mandatory | Mandatory if nominee10 opted and if NOM10_ID_T is selected as 1 (PAN,PAN 541 validation shall apply) or 2 (Aadhaar number, last 10 digits only) or 3(Driving license number) . | Ch a n g e / u p d a t i o n o f n o m i n e e d e t a i l s |

| | | | | | | | |
|--------------|-----------------------------|-----------|--------------------|-----------------------------------|-----------------------|---|--|
| Field 180 | NOM 10_M I_F | Character | 1 | Nominee 10 Minor Flag (Y/N) | Conditional mandatory | Mandatory if Nominee 10 is Minor | Change / updat ion of no mi nee de tails |
| Field 181 | NOM 10_D OB | Date | MM/ DD/Y YYY | Nominee 10 DOB | Conditional mandatory | Mandatory if Nominee 10 is Minor | Change / updat ion of no mi nee de tails |
| Field 182 | NOM 10_G UAR | Character | 40 | Nominee 10 Guardian | Optional | Change / updation of nominee details | Change / updat ion of no mi nee de tails |

| | | | | | | | |
|--------------|-----------------------------|-----------|---|------------------------------------|----------|---|---|
| Field 183 | NOM 10_G REL | Character | 1 | Nominee 10 Guardian Relation | Optional | Change / updation of nominee details | Change / up dat ion of no |
|--------------|-----------------------------|-----------|---|------------------------------------|----------|---|---|

| | | | | | | | |
|--------------|-----------------------------|-----------|-----|----------------------------|-----------------------|--|---|
| | | | | | | | mi ne e det ails |
| Field 184 | NOM 10_G PAN | Character | 10 | Nominee 10 Guardian PAN | Optional | Change / updation of nominee details | Change / up dat ion of no mi ne e det ails |
| Field 185 | NOM 10_E MAI | Character | 120 | Nominee10 Email | Conditional mandatory | Mandatory if Nominee10 Opted (Valid email for respective nominee) | Change / up dat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|--------------------|-----------------------|----|-----------------------|-----------------------|--|---|
| Field 186 | NOM 10_M OB | Ch ara cte r | 15 | Nominee10 Mobile | Conditional mandatory | Mandatory if Nominee10 opted (Valid mobile number for respective nominee) | Ch an ge / up dat ion of no mi ne e det ails |
| Field 187 | NOM 10_A DD1 | Ch ara cte r | 40 | Nominee10 Address1 | Conditional mandatory | Mandatory if nominee10 opted If the address of | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|--------------------|-----------------------|----|-----------------------|----------|--|---|
| | | | | | | Nominee as that of FH, specify as Same address of First Holder | up dat ion of no mi ne e det ails |
| Field 188 | NOM 10_A DD2 | Ch ara cte r | 40 | Nominee10 Address2 | Optional | | Ch an ge / up dat ion of no mi ne e det ails |

| | | | | | | | |
|--------------|--------------------|-----------------------|----|-----------------------|-----------------------|---|--|
| Field 189 | NOM 10_A DD3 | Ch ara cte r | 40 | Nominee10 Address3 | Optional | | Ch an ge / up dat ion of no mi nee de tails |
| Field 190 | NOM 10_CI TY | Ch ara cte r | 35 | Nominee10 City | Conditional mandatory | Mandatory if Nominee10 Opted (City Name of respective Nominee) | Ch an ge / up dat ion of no mi nee e de tails |
| | | | | | | | |
| Field 191 | NOM 10_PI N | Ch ara cte r | 15 | Nominee10 pin code | Conditional mandatory | Mandatory if Nominee10 Opted | Ch an ge / up dat ion of no mi nee e de tails |

| | | | | | | | |
|--------------|-----------------------|-----------------------|----|----------------------|-----------------------|---------------------------------|---|
| Field 192 | NOM 10_ C ON | Ch ara cte r | 35 | Nominee10 country | Conditional mandatory | Mandatory if Nominee10 Opted | Ch an ge / up dat ion of no mi ne e det ails |
|--------------|-----------------------|-----------------------|----|----------------------|-----------------------|---------------------------------|---|